EXERGETICS

by

Göran Wall

Bucaramanga 2009
Foreword

This is a guide book to introduce the concept of exergy and its applications. The text assumes a basic skill in science and engineering.

The purpose is to give an understanding of the concept of exergy and its potentials, enough for you to carry out exergy analysis of real systems or processes.

The material is equivalent to about 200 hours study at graduate level. All proposals to improve the text in order to understand the concept of exergy are highly appreciated.


The present situation for mankind is serious from an increasing lack of natural resources and increasing environmental destruction. I recommend a better understanding of our situation and possibilities by adopting the concept of exergy and a focus on sustainable development.

Finally, I am deeply grateful for the kind support of Dr. Darwish M. K. Al Gobaisi at the International Centre for Water and Energy Systems in Abu Dhabi, who have made this work possible. I also want to thank Mei Gong for preparing the manuscript and for all the comments to a previous text I have received from readers on the web.

Bucaramanga in January, 2009

Göran Wall
Solhemsgatan 46
SE-431 44 Mölndal, Sweden
Tel./fax: +46-31-877579
gw@exergy.se
http://exergy.se/
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## NOMENCLATURE

Symbol | Quantity | [Unit according to the SI-system]
--- | --- | ---
\(A\) | Area | \([\text{m}^2]\)
\(a, A\) | Specific free energy (= Helmholtz’ function) | \([\text{J/kg, Wh/kg}]\) and free energy \([\text{J, Wh}]\), \(A = U - TS\). Sometimes the symbol \(F\) is also used.
\(\text{COP}\) | Coefficient of Performance, for heat pumps: produced heat (energy) divided by used work (usually electricity) and for refrigerators: removed heat (energy) divided by used work (usually electricity) | [no unit]
\(c\) | Velocity of light in vacuum \(\approx 2.997925 \times 10^8\) \(\text{m/s}\)
\(c, C\) | Specific heat capacity \([\text{J/kg K}]\) or heat capacity \([\text{J/K}]\), gives the amount of heat (internal energy) which per unit mass is captured in a body as the temperature increases by one degree Celsius, i.e. one Kelvin
\(e, E\) | Specific exergy \([\text{J/kg, Wh/kg}]\) or exergy, availability or available work \([\text{J, Wh}]\)
\(E/Q\) | Exergy factor | [no unit, %]
\(F\) | Power | \([\text{N}]\)
\(g\) | Constant of gravity \(\approx 9.81\) \([\text{m/s}^2]\)
\(g, G\) | Specific free enthalpy (= Gibbs’ function) \([\text{J/kg, Wh/kg}]\) and free enthalpy \([\text{J, Wh}]\), \(G = H - TS\).
\(h\) | Height | \([\text{m}]\)
\(h, H\) | Specific enthalpy \([\text{J/kg, Wh/kg}]\) or enthalpy, \(H = U + PV\) \([\text{J, Wh}]\). In German literature often signed by \(i\) and \(I\).
\(H_0\) | Enthalpy for systems at environmental state, i.e. in equilibrium with the environment
\(I\) | Electric current | \([\text{A}]\)
\(m\) | Mass | \([\text{kg}]\)
\(n_i\) | Number of mole of substance \(i\) | \([\text{mol}]\)
\(n_{i0}\) | Number of mole of substance \(i\) for a system at equilibrium state | \([\text{mol}]\)
\(P\) | Pressure | \([\text{Pa}]\)
\(P_0\) | Environment pressure \([\text{Pa, bar}]\) here \(101.3\) \(\text{kPa} = 1.013\) \(\text{bar}\)
\(q, Q\) | Specific heat \([\text{J/kg, Wh/kg}]\) and heat \([\text{J, Wh}]\)
\(R\) | Molar gas constant \(\approx 8.314\) \([\text{J/mol K}]\), state equation for ideal gases: \(PV = nRT\)
\(S\) | Entropy, gives degree of disorder, the following relation holds (2nd Law): \(\frac{dS}{\delta Q/T} \geq 0\) | \([\text{J/K}]\)
\(S\) | Distance | \([\text{m}]\)
\(S_0\) | Entropy of a system at environmental state | \([\text{J/K}]\)
\(s_i\) | Specific entropy, entropy per unit mass, of substance \(i\), | \([\text{J/kg K}]\)
\(t\) | Time | \([\text{s, h}]\), 1 year = \(8760\) \(\text{h} = 31,536,000\) \(\text{s}\)
\(T\) | Temperature | \([\text{K}]\) \((0\ K = -273.15°C)\)
\(T_0\) | Environment temperature | \([\text{K}]\) usually \(20°C = 293.15\) \(\text{K}\)
\(U\) | Electric potential | \([\text{V}]\)
\(u, U\) | Specific internal energy \([\text{J/kg, Wh/kg}]\) or internal energy \([\text{J, Wh}]\)
\(U\) | Overall heat transfer coefficient, gives the heat transfer rate per unit area of a substance, when the temperature difference is \(1°C\), i.e. \(1\) \(\text{K} \left[\text{W/m}^2\text{K}\right]\).
\(v\) | Velocity | \([\text{m/s}]\)
\(v, V\) | Specific volume \([\text{m}^3/\text{kg}]\) and volume \([\text{m}^3]\)
\(W\) | Work | \([\text{J, Wh}]\), by definition equal to exergy
\(x_i\) | Molar fraction of substance \(i\) | [no unit]
\(Z\) | Height | \([\text{m}]\)
\(\mu_i\) | Chemical potential of substance \(i\) \([\text{J/mol}]\), often equal to Gibbs function per mole
EXERGETICS

$\mu_{i0}$ Chemical potential of substance $i$ in environmental state [J/mol]
$\eta_{en}$ Energy efficiency = $Q_{out}/Q_{in}$ where $Q$ states used energy [no unit or %]
$\eta_{ex}$ Exergy efficiency = $E_{out}/E_{in}$ where $E$ states used exergy [no unit or %]
$\rho$ Density [kg/m³]
$\theta$ Temperature in degrees Celsius, see $T$ above [°C]

Index

$i$ Indicates a substance, i.e. element or summation index
$0$ Indicates environmental state
INTRODUCTION

This guide-book will introduce the exergy concept into engineering work. Especially fundamental concepts of thermodynamics are treated to understand and be able to apply the exergy concept. It is offered to engineers of different background and experience. Thus, some parts might be well-known for some readers, but new for others. If you find yourself well aware with what is treated — go ahead but make sure you understand the content being treated.

In the text I use the following hints:

R: Read carefully through the indicated text and solve treated examples or problems.
L: Look briefly through the text indicated to be aware of what is treated.
S: Solve indicated problems.
Q: Question to answer, maybe a problem to calculate.

Indicated literature is written in short accordingly:


The following complementary paper is also recommended:


These documents are found on the Internet: http://www.exergy.se.

There are also a number of excellent textbooks available, however none of them includes the statistical treatment of entropy and the link to information theory, being carried out in this material. This is a selection of most of them:

Bejan, A. Advanced Engineering Thermodynamics (1988)
Kotas, T. J., The exergy Method of Thermal Plant Analysis, Butterwoods (1985)

Modern textbooks in thermodynamics also more often treat the exergy concept. Below are some examples:


An excellent hypertext on physics including thermodynamics is found at: [http://hyperphysics.phy-astr.gsu.edu/hbase/hframe.html](http://hyperphysics.phy-astr.gsu.edu/hbase/hframe.html). This offers explanations to most of the concepts and phenomena presented in this text.

**Hints**: Usually the theory becomes clearer after you have worked through some exercises. Do not become frustrated if you do not understand everything immediately. Also be prepared to repeat some sections more than one time. If you get stuck, sometimes it might be better to read another section to come back later with a new approach. If nothing else helps you should of course ask for help. You are always welcome to ask me through my email address: gw@exergy.se.

**But**: this self-instruction guide needs to be improved, e.g. poor language, misspelling and misprinting. Please, let me know your corrections or comments to improve the material!

**When**: you have worked yourself through this guide, and then you are prepared to study done exergy analysis of real processes to later be able to carry out your own exergy analysis.

**Good Luck!**
FUNDAMENTAL CONCEPTS

Energy vs. Exergy
Energy vs. Exergy Power
Energy vs. Exergy Efficiency

Energy: The word energy is derived from the Greek: en (in or internal) and ergon (force or work). The concept was first formulated in the middle of the 19th century by lord Kelvin and Joule, after many scientists (Benjamin, Thomson, Carnot, Mayer and others) for decades had tried to find relations between mechanical work, power (horse power) and heat.

Energy can be defined:

Energy = motion* or ability of motion

Motion might be a train running through the landscape or heat, i.e. moving molecules in a body of temperature above 0 K. At 0 K or -273.15°C all motion is assumed to stop.

We differ between different kinds of energy, such as:

Potential energy: A body of mass \( m \) [kg] at the height \( h \) [m] in a gravitational field with gravitational constant \( g \) [m/s²], i.e. weight \( mg \) [N] has the potential energy

\[ W_{\text{potential}} = mgh. \]

Kinetic energy: A body of mass \( m \) and velocity \( v \) [m/s] has the kinetic energy

\[ W_{\text{kinetic}} = \frac{1}{2} mv^2. \]

Pressure energy or external energy is energy stored as high pressure. A body with pressure \( P \) and volume \( V \) represents the pressure energy (cf. work below)

\[ W_{\text{pressure}} = PV. \]

Internal energy \( U \) (molecular kinetic energy) is energy stored in a body usually as increased temperature. A body of mass \( m \), specific heat \( c \) [J/K kg] and increased temperature \( T \) is storing the internal energy

\[ U = mcT. \]

Electrical energy: just as a weight has potential energy in a gravitational field a charged particle has potential energy in an electrical field. An electric current of intensity \( I \) [A] and electric potential \( U \) [V] during time \( t \) [s] is equivalent to the electric energy

\[ W_{\text{electric}} = UIt. \]

* Motion in a very general meaning, e.g. the molecular motion in a warm body.
EXERGETICS

Chemical energy is energy stored in substances as binding energy between its components (atoms) and may be released as kinetic energy of the products at a chemical reaction. Values of some substances are listed below

<table>
<thead>
<tr>
<th>Substance</th>
<th>$g_{\text{chemical}}$ [MJ/kg]</th>
<th>$g_{\text{chemical}}$ [kWh/kg]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Coal</td>
<td>32</td>
<td>9</td>
</tr>
<tr>
<td>Oil</td>
<td>42</td>
<td>12</td>
</tr>
<tr>
<td>Natural gas</td>
<td>50</td>
<td>14</td>
</tr>
<tr>
<td>Petrol</td>
<td>44</td>
<td>12</td>
</tr>
<tr>
<td>Dynamite</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Firewood</td>
<td>14</td>
<td>4</td>
</tr>
<tr>
<td>Hydrogen</td>
<td>124</td>
<td>34</td>
</tr>
</tbody>
</table>

Nuclear energy is in the same way stored energy as binding energy between its internal components (nuclear particles) and may also be released as kinetic energy of the final products at a nuclear reaction (fission or fusion). This energy is actually stored as mass, i.e. we have the famous expression $E = mc^2$ which will be discussed later. Values of some substances are listed below

<table>
<thead>
<tr>
<th>Substance</th>
<th>$u_{\text{nuclear}}$ [MJ/kg]</th>
<th>$u_{\text{nuclear}}$ [kWh/kg]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Uranium ore</td>
<td>30</td>
<td>8</td>
</tr>
<tr>
<td>Uranium (Light Water Reactor)</td>
<td>$1.9 \times 10^5$</td>
<td>$5.3 \times 10^4$</td>
</tr>
<tr>
<td>Uranium (Breeder Reactor)</td>
<td>$1.3 \times 10^7$</td>
<td>$3.6 \times 10^6$</td>
</tr>
<tr>
<td>Deuterium (Fusion)</td>
<td>$3.5 \times 10^8$</td>
<td>$9.7 \times 10^7$</td>
</tr>
<tr>
<td>Mass ($E = mc^2$)</td>
<td>$9 \times 10^{10}$</td>
<td>$2.5 \times 10^{10}$</td>
</tr>
</tbody>
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The following forms of energy are more than the other related to a process, that something happens, i.e. an energy conversion.

Work – if a force $F$ [N] acts over the distance $S$ [m] this is equal to the work:

$$W = FS.$$  

Electromagnetic radiation as light and heat radiation emitted from a body of area $A$ [m²] and temperature $T$ [K] during time $t$ [s] gives the energy (“Black body radiation”)

$$Q_{\text{electromagnetic}} = \sigma T^4 At$$

where $\sigma \approx 5.67 \times 10^{-8}$ [W/m²K⁴] is usually referred to as the Stefan-Boltzmann’s constant.

Heat – can be transferred to or from a body by changing the temperature, i.e. the internal energy

$$Q_{\text{heat}} = \Delta U = U_2 - U_1 = mc(T_2 - T_1) = mc\Delta T.$$
EXERGETICS

Energy and mass

From the theory of relativity we know that energy and mass are equal (cf. nuclear energy above)

\[ E = mc^2. \]

Einstein showed that the mass of a body depends on its speed according to

\[ m = \frac{m_0}{\sqrt{1 - \frac{v^2}{c^2}}} \]

where \( c \) is the speed of light in vacuum about \( 3 \times 10^8 \) m/s. Thus, a body has a larger mass when it is in motion than at rest. (We also note that the speed can never exceed the speed of light.) The kinetic energy of a body with rest mass \( m_0 \) and speed \( v \) becomes*

\[ E_{\text{kin}} = mc^2 - m_0c^2 = mc^2 \left( 1 - \sqrt{1 - \frac{v^2}{c^2}} \right) = m_0c^2 \left( 1 - \left( 1 - \frac{v^2}{2c^2} \right) \right) = \frac{m_0v^2}{2} \]

The energy forms we will mainly use are heat, light and mechanical work. Most of the others may be regarded as subsidiary forms. The transformation between different forms of energy is called conversion.

Everything that happens involves conversion of energy

Whenever something happens energy is converted from one form to an other, i.e. an energy conversion. Everything that can be described as a change in time involves an energy conversion, from a supernova explosion to a thought of mind. The amount of energy being converted may be large or small, but without exception energy is converted in everything that happens.

Condensing power plants, see Fig. 1 below, are main energy converters in the society of fossil or fissile fuels, i.e. chemical or nuclear fuels into heat and electricity. The common principal in both cases is to boil water to get steam at high temperature and pressure that through pipes is forced to move in one direction that can turn a turbine connected to an electric generator in order to produce electricity.

From experience we know that there is something that always remains no matter what kind of experiment we perform. This thing, which we do not know what it is, this we call energy and energy is always conserved. We may also say that energy is everything and if there was no energy there would not be anything. However, we do not understand what energy actually is, this is beyond our intellectual capacity.

The SI unit of energy is J (Joule), Wh, kcal, eV. (1 eV = \( 0.16 \times 10^{-18} \) J)

*\((1-x)^2 = 1-2x+x^2\approx 1-2x\) when \( x<<1 \) and \( m \approx m_0 \).
Some SI energy units:

2500 kcal = 2500×1.16×10⁻³ kWh = 2.9 kWh = 10.4 MJ;
1 kWh = 3.6×10³ kJ = 3.6×10⁶ J; 1 TWh = 3.6 PJ
1 J = 1 Nm = 1 Ws

Condensing Power Plant

Fig. 1 The principle of condensing power plants is to boil water.
**EXERGETICS**

Prefix of multiple units

<table>
<thead>
<tr>
<th>Factor</th>
<th>Prefix</th>
<th>Notation</th>
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<tbody>
<tr>
<td>$10^{24}$</td>
<td>yotta</td>
<td>Y</td>
</tr>
<tr>
<td>$10^{21}$</td>
<td>zetta</td>
<td>Z</td>
</tr>
<tr>
<td>$10^{18}$</td>
<td>exa</td>
<td>E</td>
</tr>
<tr>
<td>$10^{15}$</td>
<td>peta</td>
<td>P</td>
</tr>
<tr>
<td>$10^{12}$</td>
<td>tera</td>
<td>T</td>
</tr>
<tr>
<td>$10^9$</td>
<td>giga</td>
<td>G</td>
</tr>
<tr>
<td>$10^6$</td>
<td>mega</td>
<td>M</td>
</tr>
<tr>
<td>$10^3$</td>
<td>kilo</td>
<td>k</td>
</tr>
<tr>
<td>$10^2$</td>
<td>hecto</td>
<td>h</td>
</tr>
<tr>
<td>$10^1$</td>
<td>deca</td>
<td>da</td>
</tr>
<tr>
<td>$10^{-1}$</td>
<td>deci</td>
<td>d</td>
</tr>
<tr>
<td>$10^{-2}$</td>
<td>centi</td>
<td>c</td>
</tr>
<tr>
<td>$10^{-3}$</td>
<td>milli</td>
<td>m</td>
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<tr>
<td>$10^{-6}$</td>
<td>micro</td>
<td>µ</td>
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<td>$10^{-9}$</td>
<td>nano</td>
<td>n</td>
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<td>p</td>
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<td>$10^{-21}$</td>
<td>zepto</td>
<td>z</td>
</tr>
<tr>
<td>$10^{-24}$</td>
<td>yocto</td>
<td>y</td>
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</table>

**Definition of 1 cal** (between 1 – 100°C approximately)

1 cal heats 1 g water 1K  
1 kcal heats 1 kg water 1K  
1.16 Wh heats 1 kg water 1K  
4.2 kJ heats 1 kg water 1K

**Exercise:** How much energy is needed to make 1 kg of water at 5°C to reach boiling temperature? Answer in kWh.

**Solution:**

\[
Q = \Delta U(5^\circ C \rightarrow 100^\circ C) = mc\Delta T
\]

where  
\[Q\] = heat added  
\[U\] = internal energy  
\[m\] = mass  
\[c\] = specific heat [J/kg K]

* This will be treated further in the Chapter on thermodynamics below.
\[ \theta^\circ C \Leftrightarrow T + 273.15 K \]
\[ \Delta \theta^\circ C \Leftrightarrow \Delta T K \]

here:

\[
m = 1 \text{ kg} \\
c = 4.2 \text{ kJ/kg K} \\
\Delta T = \Delta \theta = 100^\circ C - 5^\circ C = 95^\circ C \text{ “=” } 95 \text{ K} \]

\[
Q = \Delta U = 1 \times 4.2 \times 95 \left[ \frac{\text{kg} \times \text{kJ} \times \text{K}}{\text{kg} \times \text{K}} = \text{kJ} \right] \approx 400 \text{ kJ} = 400 \times 10^3 \text{ J} \approx 400 \times 10^3 \times 0.28 \times 10^{-6} \text{ kWh} \approx 0.11 \text{ kWh} \]

**Answer:** 0.11 kWh (1 J = 0.2777…×10^{-3} Wh = 0.2777…×10^{-3}×3600 Ws = 1 Ws)

**Energy power**:

- **Definition of 1 hp:** lifts 75 kg 1 m in 1 second, i.e. 75×9.80665×1/1≈735.5 W
  - [kg×m/s²×m/s = Nm/s = J/s = W]

**Exercise:** What energy power is at least needed to make 1 kg of water at 5°C to boil in 5 minutes?

**Solution:** Energy power = \[
\frac{0.11}{5} = \frac{0.11 \times 60}{5} = 1.32 \text{ [kWh/h = kW]} \]

**Q:** How long time would it at least take with a 500 Watt heater?

**Energy efficiency†**

- **Exercise:** What is the energy efficiency if we must use 1.5 kW for 7 minutes in the previous exercise?

* As we soon will see it is important to distinguish between energy and exergy, which then is valid also for energy and exergy power.
† Analogously, as for power we also distinguish between energy and exergy efficiency.
Solution: The energy efficiency becomes \[ \frac{0.11 \text{[kWh]}}{1.5 \times \frac{7}{60} \text{[kWh]}} = \frac{0.11 \times 60}{1.5 \times 7} \approx 0.63 = 63\%. \]

Q: Try your own stove or heater. What energy efficiency do you get?

Units

Used units refer to the metric system or international system of units* (SI). Please, get used to them!

Exercise: A. What is the energy power of a hot water-tap if the water is heated from 5°C to 70°C? The flow rate is 0.2 kg/s.

B. What electric current does this corresponds to at a voltage of 220 V?

Solution: A. \[
Q = \Delta U = mc\Delta T
\]

- \[ m = 1 \text{ kg takes the time } 1/0.2 \text{ s} = 5 \text{ s} \]
- \[ \Delta T = T_1 - T_2 = (70 - 5) \text{°C} \]
- \[ c_{\text{water}} = 1 \text{ kcal/kg°C} \approx 1.16 \text{ Wh/kg°C} \approx 4.19 \text{ kJ/kg°C} \]

\[
P = \frac{Q}{t} = \frac{mc\Delta T}{t} = \frac{1 \times 1.16 \times (70 - 5)}{5} \approx 54288 \approx 54 \times 10^3 \left[ \text{kg} \times \text{Wh/°C} \times \text{°C} \times \text{hr} = \text{W} \right]
\]

or if you count in SI units \[ mc \] in J/°C

\[
P = \frac{1 \times 4.19 \times (70 - 5)}{5} \approx 54 \left[ \frac{\text{kg} \times \text{kJ/°C}}{\text{kg} \times \text{°C} \times \text{s}} = \text{kW} \right]
\]

Q: B. Try yourself by using the relation \[ P = UI \] (electric power=voltage×current).

Q: What is your conclusion from this?

Exercise: Estimate the size of a water tank to support the heating needs of a single family dwelling, about 20,000 kWh/yr? The water temperature is 80°C?

Assume the temperature is changed from 80 to 40°C?

Solution: \[ Q = \Delta U = mc\Delta T = 20000 \times 10^3 \text{ Wh} \]

* The International System of Units (SI) ensures world-wide uniformity of measurements and their traceability see http://www.bipm.org.
Thus: \[ m = \frac{Q}{c\Delta T} \approx \frac{20000 \times 10^3}{1.16 \times (80 - 40)} = 431034 \text{kg} \approx 431 \text{ton} \approx 431 \text{m}^3 \]

Proposal of practical arrangement

*Energy storage in a water tank*

The tank is charged during the summer with 20 000 kWh solar energy and reach the temperature 80°C by the winter season.

During the winter the tank is discharged 20 000 kWh and the temperature decrease to 40°C by the summer. However, this proposal is far too simple to be economical. Better options are to store the heat directly in the ground (clay or rock).

Q: Consider the relation between heat content and heat losses. What does this imply?

Additional illustrations of energy conversions

Thus, different forms of energy are convertible into each other. When you lift a stone you perform mechanical work, converting into increased potential energy of the stone. If the stone is dropped this converts to kinetic energy in the motion, which later converts to heat as it reaches the ground.

The combustion engine is an engineering application of energy conversions. The stored chemical energy in the fuel is released through combustion and it is converted to heat. The heat implies an increased pressure of the enclosed gas in the cylinder which forces the piston to move, i.e. the volume expands. Through the connecting rod and the crankshaft the linear motion is converted to a circular motion, i.e. rotation of the shaft. We have a torque that may turn the wheels of a car thus generating kinetic energy again. If the engine instead operates a pump the mechanical work may be converted to pressure energy by decreasing the volume of an enclosed gas, to potential energy by lifting a liquid to a higher level or to kinetic energy by increasing the flow rate.
In a hydro power plant the potential energy of water is converted to a torque of the turbine shaft that is connected to an electric generator, where mechanical energy is converted to electrical energy. In an electric motor the electrical energy is converted back to a torque that might operate a fan which may generate potential, kinetic and pressure energy in a gas.

As we see a certain form of energy may appear in many different parts of a process. Potential energy is for instance the input in a hydro power plant but might be the output in a pumping process. Electrical energy has the opposite position in these two cases.

But different forms of energy have different ability to convert into each other. Heat and electricity are the most familiar forms of energy used in daily life. Of these electrical energy may almost without exceptions be converted to any other form of energy without substantially reducing the amount of energy. This makes electricity a high ranked form of energy, with high availability. Heat or rather internal energy at high temperature can only partly be converted to other forms of energy, e.g. mechanical energy. The rest of the energy is converted to heat at lower temperature. If this lower temperature is close to ambient, e.g. 30-40°C it is hardly usable at all and must be low ranked. However, this low ranked heat might be useful for space heating, but when the temperature reaches ambient it is useless. The usefulness or availability of heat or internal energy is strongly depending on the temperature of the heat and the environment.

In a furnace we convert the highly ranked chemical energy in the fuel into relatively high ranked internal energy in the flame (high temperature), which is then converted into low ranked internal energy in the radiators (30-50°C). To understand these kinds of processes exergy is a very useful concept.

**Exergy**

The word exergy is derived from the Greek *ex* (out or outer) and *ergon* (force or work), *(ex = outer, ergos = work, cf. energy: en = internal)*. The phenomenon behind this concept was first noticed in 1824 by Carnot in the relation between heat and work.

Exergy can be defined as:

\[
\text{Exergy} = \text{work (ordered motion) or ability to do work (ordered motion)}
\]

**Exercise**: Compare the following two energy conversions. 1 kJ converted to 1 kg of water as:

1. Internal energy, i.e. disordered motion of the water molecules.
2. Kinetic energy, i.e. ordered motion of the water molecules.

**Solution**: 1. \( \Delta U = mc\Delta T \Rightarrow \Delta T = \frac{\Delta U}{mc} = \frac{1}{1 \times 4.2} \approx 0.24 ^\circ \text{C} \approx \frac{\text{kJ}}{\text{kg} \times \text{kJ}/(\text{kg} \cdot ^\circ \text{C})} ^\circ \text{C} \), i.e. a hardly measurable change of the temperature.

2. \( E_{\text{kin}} = \frac{mv^2}{2} \Rightarrow v = \sqrt{\frac{2E_{\text{kin}}}{m}} = \sqrt{2 \times 1 \times 10^3 \frac{\text{m}}{1}} \approx 44.7 \text{m/s} \approx 161 \text{km/h} \), i.e. a very extensive change of the speed.
What about units?: \[ \sqrt{\frac{J}{kg}} = \sqrt{\frac{Nm}{kg}} = \sqrt{\frac{(kg\times m/s^2) m}{kg}} = m/s \]

Q: What happens if we try to make the processes above in the opposite directions?

It is also important to distinguish between energy or exergy power and energy or exergy efficiency.

**Exergy power**

\[ \text{Exergy power} = \frac{\text{Exergy}}{\text{Time}} \]

**Exergy efficiency**

\[ \text{Exergy efficiency} = \frac{\text{Utilized exergy}}{\text{Used exergy}} \]

Later we will see that everything that happens implies exergy consumption – the consumption of exergy is actually the driving force of everything that happens.

Everything that happens involves consumption of exergy

**To remember**

Energy = motion or ability of motion.

Everything that happens involves conversion of energy.

\[ \text{Energy power} = \frac{\text{Energy}}{\text{Time}} \]

\[ \text{Energy efficiency} = \frac{\text{Utilized energy}}{\text{Used energy}} \]
Exergy = work (ordered motion) or ability to do work (ordered motion)

Exergy power = \( \frac{\text{Exergy}}{\text{Time}} \)

Exergy efficiency = \( \frac{\text{Utilized exergy}}{\text{Used exergy}} \)

Everything that happens involves consumption of exergy

We will now introduce some thermodynamics and later come back to exergy.
THERMODYNAMICS OR BETTER THERMOSTATICS*

The history of thermodynamics might be summarized accordingly:
1769, James Watt built the first steam engine, thus starting the development for heat engines. A theory of heat was needed to improve their performance.
1824, Sadi Carnot claimed that the efficiency of a heat engine relates to temperature, what later became the so called Carnot factor.
1834, Clapeyron introduced the pressure-volume diagram to calculate work.
1842, Robert Meyer concluded the mechanical heat equivalence, which led to the definition of the 1st law of thermodynamics.
1845, Joule developed the kinetic theory of gas, which explained heat as the result of molecular motions.
1850 and -51, lord Kelvin and Clausius formulated the 2nd law of thermodynamics; Kelvin also introduced the scale of temperature.
1865, Clausius introduced the concept of entropy, which was an important aid to the theory of thermodynamics.
1872, Belpaire introduced the temperature-entropy diagram.
1873-78, Gibbs presented his phase rule, which increased the usability of thermodynamics into new areas. Gibbs also established a base for the exergy concept.
1877, Boltzmann suggested that probability or order is linked to entropy.
1906, Nernst formulates the 3rd law of thermodynamics.
1911, Planck generalized the 3rd law such that entropy and specific heat are zero at absolute zero temperature for a chemically homogenous body of limited density.
1905 and -15, Einstein published his works on the relation between energy and mass and the how the mass was related to its speed. Thus, thermodynamics was linked to the modern physics, theory of atoms and quantum mechanics.
1948, Shannon verified the relation between entropy and probability, which linked thermodynamics to information theory through the statistical mechanics.
1953, Rant proposed the word exergy.
Thermodynamics may be regarded as the theory of energy and its behavior.
A system in thermodynamic equilibrium may be described by state variables. In thermodynamics the three state variables usually are pressure \( P \), volume \( V \) and temperature \( T \).

Temperature, heat and internal energy

Temperature may be described as disordered motion of the substances smallest parts – higher temperature implies faster motions. We distinguish between objects which we call hot or cold. A hot object we say has a high temperature and a cold object has a low temperature, but what we identify is based on that we touch the object, establish a contact. Steel we experience as colder than tree even though they have the same temperature. The reason is the difference in heat conductivity. Steel transfer heat more efficient than tree. The temperature should be regarded as a quality of heat, the intensity of the molecular motions, to be distinguished from the quantity of heat. This sometimes makes it difficult in practice to differentiate between temperature and heat content, which also historically was a problem.

* The aspect of thermodynamics concerned with thermal equilibrium.
Q: Why can you walk barefooted on live coal without burning?

Thermodynamics is built up of a number of laws, which also defines a number of concepts. The zeroth law, which was defined after the first law, concerns the concept of thermal equilibrium:

Two systems in thermal equilibrium with a third one are in thermal equilibrium with each other

When two objects are in thermal equilibrium they are said to have the same temperature. Thus temperature of a system has meaning only if the system is in thermal equilibrium. Temperature is a concept for the whole system. Thus, it is meaningless to talk about the temperature of a glass of lukewarm water where you just put a piece of ice.

The concepts of heat and heat content \( Q \) states the energy being transported between systems. Thus, it is principally wrong to say that a system contains heat, instead it contains energy. This energy, which is the sum of the containing particles’ kinetic and potential energies, we call internal energy \( U \), or sometimes it is called thermal energy. One problem of understanding the concept of heat is that we can not experience heat, instead what we experience when we feel “heat” is heat transfer.

Assume we add the heat \( Q \), to increase the temperature from \( \theta_1 (T_1) \) to \( \theta_2 (T_2) \) of a substance of mass \( m \), and specific heat \( c \), i.e. we change the internal energy from \( U_1 \) till \( U_2 \). We have:

\[
Q = \int_{U_1}^{U_2} dU = m \int_{T_1}^{T_2} c dT \approx m\hat{c}(T_2 - T_1) = m\hat{c}\Delta T
\]

- \( Q \) = heat [J]
- \( U \) = internal energy [J]
- \( m \) = mass [kg]
- \( c \) = specific heat capacity [J/kg K], the heat needed to raise the temperature 1 K of 1 kg of the substance. \( c \) often increases with temperature (but not for water between 0 and 33.5°C where it decreases!?). \( \hat{c} \) is the average value of \( c \) in the temperature interval \( (T_1, T_2) \). \( c \) is also depending on how the state changes occurs when the temperature raises (see below for \( c_p \) and \( c_v \)).

\[
C = mc = \text{heat capacity [J/K]}
\]
\[
T = \text{temperature [K]}
\]
\[
c_p = \text{specific heat capacity when the state change occurs during constant pressure (P = constant), i.e. } c_p = \left( \frac{\partial q}{\partial T} \right)_{P = \text{constant}} = \frac{dh}{dT} \text{. The concept}
\]
\[
H \text{ or specific enthalpy, } h \text{ we will define and examine below.}
\]
EXERGETICS

\[ c_v = \text{specific heat capacity when the state change occurs during constant volume (} V = \text{constant}), \text{ i.e. } \frac{\partial q}{\partial T} = \frac{du}{dT} \]

Thus, the following holds: (1) supplied heat at constant pressure is equal to the increase in enthalpy and (2) supplied heat at constant volume is equal to the increase in internal energy.

For liquids or solids \( c_p \approx c_v \) since these usually can be regarded as incompressible.

Gases needs more heat to raise the temperature at constant pressure than at constant volume, i.e. \( c_p > c_v \). (The gas increases the volume to maintain constant pressure, i.e. performs work by expansion on the environment in addition to the change of internal energy given by the temperature change).

For gases the relation:

\[ \frac{c_p}{c_v} = \kappa \]

has a typical value for specific gases. At low pressures, i.e. more ideal gases, \( \kappa \) becomes almost constant accordingly.*

One atomic gases as inert gases: \( \kappa \approx 1.66 \)

Two atomic gases as N\(_2\), O\(_2\), H\(_2\) and air: \( \kappa \approx 1.40 \)

Three atomic gases as CO\(_2\) and steam H\(_2\)O: \( \kappa \approx 1.30 \)

Before we look closer at the ideal gas model we need to define some further concepts:

\[ \rho = \text{density} [\text{kg/m}^3] \]

\[ v = \text{specific volume} [\text{m}^3/\text{kg}], \quad v = \frac{V}{m} = \frac{1}{\rho} \]

\[ M = \text{molar weight of gas} [\text{kg/kmol}], \text{ e.g. } M_{\text{H}_2} \approx 2, M_{\text{Air}} \approx 29 \text{ and } M_{\text{O}_2} \approx 32 \]

S: Calculate the molar weight of Carbon Dioxide and steam.

The ideal gas model

According to above the equilibrium state of a system is described by the state variables \( P, V \) and \( T \). These are not independent of each other – for all substances there are state equations \( f(P,V,T) = 0 \). If the state equation is known all state variables may be calculated if we know two of them.

In reality the state equation of a substance is often too complicated to derive analytically, but from experiments we may get empirical relations. With modern

---

* The heat capacity may be regarded as the capacity of a substance to store energy by the embodied molecules. This can be done as kinetic energy from three dimensional motion and rotation. This energy depends linearly with temperature, thus not adding any temperature dependence from the heat capacity. This is valid for single atom gases as Ar, Ne and He. Contribution from vibrations and from electrons implies that the heat capacity becomes temperature dependent, especially for two atoms gases as H\(_2\), O\(_2\) and air. For many atoms gases as CO\(_2\) and H\(_2\)O we have additional contributions from more possible states of vibrations, which make an even stronger temperature dependence in the heat capacity.
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computers, we may even describe liquids with fairly good correspondence between theory and practice.

An ideal gas is a gas where the molecules only interact by collisions, and they do not occupy any space, i.e. they are infinitely small.

For ideal gases there are three important experimental results:

**Boyles-Mariotte’s law from 1660:**

\[ PV = \text{constant, when } T = \text{constant} \]

**Charles’ law stated 1787:**

\[ \frac{P}{T} = \text{constant, when } V = \text{constant} \]

**Gay-Lussac’s law from 1802:**

\[ \frac{V}{T} = \text{constant, when } P = \text{constant} \]

All these empirical relations can be summarized in a state equation:

\[ PV = mRT \]

named the ideal gas equation, where:

- \( P \) = pressure \([N/m^2 = \text{Pa (Pascal)}]\)
- \( V \) = total volume \([m^3]\)
- \( m \) = mass \([\text{kg}]\)
- \( R \) = specific gas constant, \( R = \frac{8314.3}{M} \) \([\text{J/kg K}]\) were \( M \) is the molar mass of the substance, sometimes this symbol is also used for the universal gas constant, i.e. \( R = 8314.3 \) \([\text{J/kmol K}]\), which is experimentally measured.
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\[ T = \text{temperature [K]} \]

If we insert the specific volume \( v = \frac{V}{m} \) in the ideal gas equation we get:

\[ PV = RT \]

For the ideal gas we also have:

\[ c_p - c_v = R \]

Q: Derive this relation from the definitions of \( c_p, c_v \), the enthalpy \( h = u + Pv \) and the ideal gas equation.

Ex: A specific amount of air has the temperature 290 K and pressure 0.2 MPa. What is the pressure if the temperature increases to 310 K at constant volume? (For instance car tires subject to heat by friction.)

S: Assume ideal gas!

before: \( P_1V = mRT_1 \)

after: \( P_2V = mRT_2 \)

\[ V = \text{constant} \quad m = \text{constant} \]

\[ \frac{P_1}{P_2} = \frac{T_1}{T_2} \Rightarrow P_2 = \frac{P_1T_2}{T_1} \approx \frac{2 \times 10^5 \times 310}{290} \approx 2.14 \times 10^5 \text{ Pa} = 0.214 \text{ MPa}, \text{ i.e. } 7\% \text{ pressure increase. (We can also use Charles’ law.)} \]

Ex: If the volume in the previous exercise is 10 m³ how much heat is needed?

S: Added heat becomes \( Q = U_2 - U_1 \approx m \dot{c}_v(T_2 - T_1) \)

From the ideal gas relation we get the mass of the air: \( m = \frac{PV}{RT_1} \)

The state change appears during constant volume, but we only have data for \( c_p \).

\[ \frac{c_p}{c_v} = \kappa \approx 1.4 \Rightarrow c_v \approx \frac{c_p}{1.4} \]

\( \dot{c}_p \approx 1005 \text{ [J/kg K]} \) (Average value between 290 and 310 K, however \( \dot{c}_p \approx 1.00 \text{ [kJ/kg K]} \) is also of course acceptable).
**EXERGETICS**

\[
Q = \frac{PV}{RT_i} \times \frac{c_p}{1.4} \times (T_2 - T_i) \approx \frac{2 \times 10^5 \times 10^3}{8314.3 \times 290} \times \frac{1005}{1.4} \times (310 - 290) \approx 341.84 \approx 342 \text{[J]}
\]

\[
\left[ \frac{\text{Nm}^3 \text{kg K J K}}{\text{m}^2 \text{J K kg K}} = \text{Nm} = J \right]
\]

**Work, Mechanical Work and Pressure-Volume Work**

From Mechanics we have the following well-known relation: *Work is equal to the Force times the Distance*,

\[ W = FS \]

where:
- \( W \) = work \([\text{Nm} = \text{J}]\)
- \( F \) = force \([\text{N}]\)
- \( S \) = distance \([\text{m}]\)

Assume a cylinder with a mobile piston (no friction) with the cross section area \( A \). In the cylinder we have a gas with pressure \( P \).

![Diagram of a cylinder with a mobile piston](image)

What work is done by the gas if the piston is allowed to move a short distance, so that it does not influence the pressure?

If \( A \) is the area which is subject to the pressure \( P \), the force becomes \( F = PA \)

The piston moves the distance \( S_2 - S_1 \)

The work done by the piston, assuming constant pressure becomes:

\[ W = F(S_2 - S_1) = PA(S_2 - S_1) = P(V_2 - V_1) \]

Since the volume in state 1 is \( V_1 = AS_1 \) and in state 2 is \( V_2 = AS_2 \).

If we allow the piston to move out a longer distance the pressure will drop according to the ideal gas equation \( PV = mRT \).
The work done by the volume change $dV$ is:

$$\delta W = P(V)dV$$

The total work when the volume changes from $V_1$ to $V_2$ becomes:

$$W = \int_{V_1}^{V_2} P(V)dV$$

$W$ is sometimes called pressure-volume work.

If we draw $P$ as a function of $V$, i.e. $P(V)$ in a $PV$-diagram, then $W$ becomes the area under the curve $P(V)$ and between $V_1$ and $V_2$, i.e. the indicated area in the figure above.

Thus, the work performed by the gas depends on the shape of $P(V)$, i.e. how the state change occurs between 1 and 2.

**The 1st Law of Thermodynamics — Nothing disappears**

> Energy can not be created nor destroyed.

A **closed system**: is a system where matter is not allowed to cross the system boundary. The cylinder above is a closed system. The piston represents a moving boundary allowing work to be extracted, i.e. energy to cross. In **physics**, a closed system can exchange heat and work (energy), but not, with its surroundings. In contrast an **isolated system** can exchange neither heat nor matter with the surroundings.

The total energy of an isolated system is constant. The energy before and after a change of state is the same, i.e. we have an energy balance. Energy is defined as that which is always conserved in every process, i.e. energy is always in balance.

Consequently, it is wrong to say energy production, instead use energy supply. By the same reason energy consumption is impossible, what we actually mean is that an energy form is consumed or that the energy is converted or maybe used but not consumed.
An open system is a system where mass may cross the system boundary. The mass of the system is determined from in and out flows, $m_i$ and $m_e$ (exit), see the figure below.

**Ex:** Water is falling 55 m at the rate of 500 m$^3$/s. Estimate the temperature rise, if we assume that all potential energy heats the water.

**S:** From energy conservation the potential energy, $E_{pot} = mgh$ [J], completely converts to increased internal energy, $\Delta U = mc\Delta T$, i.e. the temperature increases.

$$E_{pot} = \Delta U \Rightarrow mgh = mc\Delta T \Rightarrow gh = c\Delta T \Rightarrow \Delta T = \frac{gh}{c} \approx \frac{9.81 \times 55}{4.2 \times 10^3} \approx 0.13\text{[K]}$$

Units?

Note that the flow rate is not needed.

**Q:** If you try to verify this by experiment, you might find that the temperature drops. Why, do you think? (Think about pictures of a water fall, e.g. the Niagara falls.)

**Ex:** Estimate the energy power from the water fall above?

**S:** Mass flow: $\dot{m} = 500\text{ ton/s} = 5 \times 10^5 \text{ kg/s}$, i.e. in 1 second the energy $E_{pot} = mgh$ is converted. The energy power $P$, which is energy per unit time then becomes:

$$P = \frac{E_{pot}}{t} = \frac{mgh}{t} \approx \frac{5 \times 10^5 \times 9.81 \times 55}{1} = 269,775,000 \text{ J/s} \approx 270 \text{ MW}$$

Units?

We will now introduce enthalpy, $H$, which assigns the total energy of a system, i.e. the sum of the internal and external energies.

If the external energy is $PV$ [J], where $P$ = pressure [Pa = N/m$^2$] and $V$ = volume [m$^3$] and the internal energy is, $U$ [J], then the enthalpy is

$$H = U + PV$$
Work and Technical Work

Closed system

Assume a system where no matter can cross the system boundary. We add heat, $Q$ and extract work, $W$ and the internal energy changes from $U_1$ to $U_2$, but nothing else happens. The 1st Law then becomes

$$Q = U_2 - U_1 + W = \Delta U + W$$

where $Q =$ heat added [J]
$U_1 =$ internal energy [J] before heat is added
$U_2 =$ internal energy [J] after heat is added
$W =$ extracted work [J].

Note that we regard input heat and output work as positive.

(Warning! By some authors input work is positive!)

Steady-State processes

In a steady state process the total mass and the total energy enclosed by the system boundary is always conserved. This implies that the total amount of mass and energy input must balance the total output. Such systems are pumps, compressors, fans, turbines, power plants, engines, etc.

Assume a process with different inlet and outlet pressures.

The mass input is $m_i$, and from conservation the mass output $m_e$ is the same as the input, i.e. $m_i = m_e = m$. Assume that the input specific internal energy is $u_i$ [J/kg]. The surrounding air performs a specific external work $P_i v_i$ [J/kg] on the input mass.
Analogously for the output specific internal energy $u_e$ and the mass performs an external work $P_e v_e$ on the surrounding air. We also add heat $Q$ [J] to the system, which also performs work $W_t$ [J], we call this technical work.

The total energy of the system is constant according to the 1st Law, i.e. energy input is equal to energy output.

$$Q + m(P_i v_i + u_i) = m(P_e v_e + u_e) + W_t$$

By introducing the enthalpy concept $h = P v + u$ we get.

$$Q + m h_i = m h_e + W_t \Rightarrow Q + H_i = H_e + W_t \Rightarrow Q = H_e - H_i + W_t = \Delta H + W_t$$

An important case is when no heat is added, $Q = 0$, i.e. an adiabatic process.

$$W_t = H_i - H_e = \Delta H$$

Thus, the technical work is equal to the enthalpy change in an adiabatic process.

However, for a Steady-State process we also have that $Q = \Delta U + W = U_2 - U_1 + W$, where $i=1$ and $e=2$. $U$ and $W$ refers to the mass element $m$ going through our system. We have

$$Q = \Delta U + W = \Delta H + W_t$$

$$U_2 - U_1 + W = U_2 + P_2 v_2 - U_1 - P_1 v_1 + W_t$$

$$W = P_2 v_2 - P_1 v_1 + W_t$$

$$W_t = P_1 v_1 - P_2 v_2 + \int_{v_1}^{v_2} P dV$$

since $W = \int_{v_1}^{v_2} P dV$
If we indicate the pressure as a function of the volume, $P(V)$, in a $PV$-diagram we see that $W_t$ is the indicated area in the diagrams above and below.

\[ P_1 V_1 \]

\[ P_1 V_1 + \int_{V_1}^{V_2} PdV \]

\[ P_1 V_1 - \int_{V_1}^{V_2} PdV - P_2 V_2 \]

The area can also be defined by the integral

\[ W_t = \int_{V_1}^{V_2} VdP = -\int_{P_1}^{P_2} VdP \]
Thus, we may write the energy balance for a closed system

$$Q = U_2 - U_1 + \int_{V_1}^{V_2} PdV$$

and for an open system

$$Q = H_2 - H_1 - \int_{P_1}^{P_2} VdP$$

Assume the heat is added during constant volume, \(dV = 0\), then we have

$$Q_v = mc_v(T_2 - T_1)$$

which in combination with the energy balance of a closed system gives

$$Q_v \approx mc_v(T_2 - T_1) = U_2 - U_1 = \Delta U$$

Thus, for a closed system we have that the heat transferred at constant volume is equal to the change of the internal energy.

Instead, assume the heat is added during constant pressure, \(dP = 0\), then we have

$$Q_p = mc_p(T_2 - T_1)$$

which in combination with the energy balance of an open system gives

$$Q_p \approx mc_p(T_2 - T_1) = H_2 - H_1 = \Delta H$$

Thus, for an open system we have that the heat transferred at constant pressure is equal to the change of the enthalpy.

This is valid for \(\Delta U\) and \(\Delta H\) at all change of state.

**Ex/S:** A closed isolated volume of 2 m³ with air of pressure 0.5 MPa and temperature 293 K, receives 2500 kJ of heat.

The internal energy?

We have a closed system, thus

$$Q = \Delta U + W$$

No work is done \(\Rightarrow \Delta U = Q = 2500\) kJ
Final temperature of the gas?

\[ V = \text{const.} \Rightarrow Q \approx mc_v \Delta T \Rightarrow \Delta T \approx \frac{Q}{mc_v} \]

The mass we can get from the ideal gas relation.

Air is approximately an ideal gas with \( \kappa \approx 1.40 \), and for an ideal gas we have

\[
\begin{align*}
\{ & \quad c_p - c_v = R \\
& \quad c_v = \kappa \\
\} \quad \Rightarrow c_v (\kappa - 1) = R \Rightarrow c_v = \frac{R}{\kappa - 1}
\end{align*}
\]

The temperature change:

\[
\Delta T = \frac{Q}{mc_v} = \frac{Q}{P_1V_1} \times \frac{R}{RT_1} = \frac{QT_1(\kappa - 1)}{P_1V_1} \approx \frac{2500 \times 10^5 \times 293 (1.4 - 1)}{5 \times 10^5 \times 2} \approx 293K
\]

The final temperature \( T_2 = T_1 + \Delta T \approx 586 \) K

The final pressure?

From the ideal gas relation we get

State 1: \( P_1V_1 = mRT_1 \)

State 2: \( P_2V_2 = mRT_2 \)

By dividing these relations we get

\[
\frac{P_1V_1}{P_2V_2} = \frac{T_1}{T_2} \quad \text{but since} \quad V_1 = V_2
\]

we get the final pressure

\[
P_2 = \frac{P_1T_2}{T_1} \approx \frac{5 \times 10^5 \times 586}{293} \approx 10 \times 10^5 \text{Pa} = 1 \text{MPa}
\]

Ex: Air is compressed from 10 to 60 MPa, the temperature increases from 293 to 373 K and the flow rate is 3 kg/s. What is the work needed?

S: Assume we can neglect the heat leakage from the compressor, i.e. an adiabatic process.
The process is an adiabatic steady state process, i.e. $Q = 0$. The work output per second then becomes

$$W_i = H_i - H_e = m(h_i - h_e) \approx m\dot{c}_p \Delta T \approx 3 \times 1009 \times (293 - 373) \approx -242 \text{ [kJ]}$$

The minus sign indicates that work is needed, 242 kJ per second, i.e. the needed exergy power is 242 kW.

**State variables and State changes**

Volume $V$, temperature $T$, enthalpy $H$ and pressure $P$ are called state variables. A state variable has a specific value for a certain state independent from how the state was attained. Thus, a state variable does not contain the history of the state. Work $W_i$, technical work $W_t$ and heat $Q$ are depending on how the state of the substance changes, i.e. they are “process related.”

Variables may also be extensive, i.e. they are depending on the size of the system, as volume $V$ and enthalpy $H$, or intensive, i.e. they are not depending of the size, as pressure $P$ and temperature $T$.

The four most common state changes or processes are defined

- **Isochoric process** $\Rightarrow V = \text{constant}$, i.e. $dV = 0$
- **Isobaric process** $\Rightarrow P = \text{constant}$, i.e. $dP = 0$
- **Isothermal process** $\Rightarrow T = \text{constant}$, i.e. $dT = 0$
- **Adiabatic process** $\Rightarrow \delta Q = 0$, no heat lost or added to the system. (This is also called isocaloric. Note the “curly” differential sign since the change is not unambiguous because it depends on the state change, as we just mentioned above.)

In all four cases we assume that the state changes are reversible. This means that the process is ideal, i.e. no losses occur when the system changes from $1 \rightarrow 2$ and back again $2 \rightarrow 1$. In reality there are no reversible processes. In real processes we have always
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losses – more or less. A real process must be irreversible, i.e. not reversible, to have a direction.

Let us now see what this means for the work $W$, technical work $W_t$ and heat $Q$ for an ideal gas, i.e. $PV = mRT$.

**Isochoric process** $\Rightarrow \frac{P}{T} = \text{constant}$

\[
W = \int_{V_1}^{V_2} P \, dV = 0
\]

\[
W_t = \int_{p_1}^{p_2} V \, dp = V(P_1 - P_2)
\]

\[
Q = m \int_{T_1}^{T_2} c_v(T) \,dT \approx m \hat{c}_v(T_2 - T_1)
\]

where $\hat{c}_v$ is the average value in the temperature region $[T_1, T_2]$.

**Isobaric process** $\Rightarrow \frac{V}{T} = \text{constant}$

\[
W = \int_{V_1}^{V_2} P \, dV = P(V_2 - V_1)
\]
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\[ W_t = \int_{P_1}^{P_2} V dP = 0 \]

\[ Q = m \int_{T_1}^{T_2} c_p(T) dT \approx m \hat{c}_p(T_2 - T_1) \]

where \( \hat{c}_p \) is the average value in the temperature region \([T_1, T_2]\).

**Isothermal process** \(\Rightarrow PV = \text{constant} \)

\[ W = \int_{V_1}^{V_2} P dV = \int_{V_1}^{V_2} \frac{mRT}{V} dV = mRT \ln \left( \frac{V_2}{V_1} \right) = mRT \ln \left( \frac{P_1}{P_2} \right) \]

\[ W_t = \int_{P_1}^{P_2} V dP = \int_{P_1}^{P_2} \frac{mRT}{P} dP = mRT \ln \left( \frac{P_1}{P_2} \right) \]

Thus we have \( W = W_t \).

\[ Q = U_2 - U_1 + \int_{V_1}^{V_2} P dV = W = W_t, \]

since \( U_2 - U_1 = m \int_{T_1}^{T_2} c(T) dT = 0 \).

**Adiabatic process** \(\delta Q = 0 \). For a reversible adiabatic process we have Poisson’s relation \( PV^\kappa = \text{constant} \), which also can be written \( TV^{\kappa-1} = \text{constant} \) or \( TP^{\frac{\kappa-1}{\kappa}} = \text{constant} \).

**Q:** Derive Poisson’s relation, i.e. show that \( PV^\kappa = \text{constant} \).
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Hint: 1st Law and adiabatic process ($\delta Q = 0 \Rightarrow PdV + dU = 0$), with $dU = mc_{v}dT$ we get $PdV + mc_{v}dT = 0$. By differentiating the ideal gas model we get: $PdV + VdP = mRdT$. Eliminate $dT$ and we have: $PdV + VdP = \frac{R}{c_{v}}PdV$ or

$$ (1 + \frac{R}{c_{v}})PdV + VdP = 0. $$

By using that $c_{p} = c_{v} + R$ and $\gamma = \frac{c_{p}}{c_{v}}$ we have:

$$ \gamma \frac{dV}{V} = \frac{dP}{P} $$

which after integration becomes $-\gamma \ln V = \ln P + \text{constant}$ or $PV^{\gamma} = \text{constant}$. From the ideal gas model $PV = mRT$ we also get the relations for $T$ and $P$ and for $T$ and $V$ as above.

Work is

$$ W = \int_{v_{1}}^{v_{2}} PdV $$

but from the 1st law we have

$$ Q = U_{2} - U_{1} + \int_{v_{1}}^{v_{2}} PdV = 0, $$

which gives

$$ W = \int_{v_{1}}^{v_{2}} PdV = U_{1} - U_{2} = \Delta U. $$

but

$$ \Delta U = m \int_{T_{1}}^{T_{2}} c_{v}(T)dT $$

which gives

$$ W = m \int_{T_{1}}^{T_{2}} c_{v}(T)dT. $$

The technical work is

$$ W_{t} = \int_{P_{1}}^{P_{2}} VdP $$

but

$$ Q = H_{2} - H_{1} - \int_{P_{1}}^{P_{2}} VdP = 0, $$

and

$$ \Delta H = m \int_{T_{1}}^{T_{2}} c_{p}(T)dT $$

which gives

$$ W_{t} = m \int_{T_{1}}^{T_{2}} c_{p}(T)dT = m \kappa \int_{T_{1}}^{T_{2}} c_{v}(T)dT. $$

thus, we have $W_{t} = \kappa W$.

We will now study the polytropic process, which occurs during heat exchange with the environment.

The process is characterized by

$$ PV^{n} = \text{constant} $$
n is the polytropic coefficient and can have any value larger than zero.

A polytropic process summarize all the processes above, where the value of n indicate the kind of process we have

- **Isochoric process**  \( n = \infty \)
- **Isobaric process**  \( n = 0 \)
- **Isothermal process**  \( n = 1 \)
- **Isentropic process**  \( n = \kappa \)

**Q:** Show that when \( n \to \infty \) a polytropic process becomes an isochoric process.

*Hint:* \( PV^n = \text{constant} \) we can write as \( V^n = \frac{1}{P} \times \text{constant} \) or \( V = \left( \frac{1}{P} \right)^n \times \text{constant} \to \left( \frac{1}{P} \right)^0 \times \text{constant} = \text{constant} \) when \( n \to \infty \)

**Ex:** A gas with \( c_P = 1.0 \text{ kJ/kg K} \) and \( \kappa = 1.4 \) receives the heat 100 kJ/kg. How much will the temperature rise if the heat is added at 1) constant pressure 2) constant volume?

**S:**

1) \( \Delta Q = mc_p(T_2 - T_1) = mc_p\Delta T \Rightarrow \Delta T = \frac{\Delta Q}{mc_p} = \frac{100 \times 10^3}{1 \times 1.0 \times 10^3} \approx 100 \text{ K} \).

2) \( \kappa = \frac{c_P}{c_V} \) \( \Rightarrow \Delta T = \frac{\Delta Q\kappa}{mc_p} = \frac{100 \times 10^3 \times 1.4}{1 \times 1.0 \times 10^3} = 140 \text{ K} \)

**Ex:** A gas with \( \kappa = 1.4 \) and the initial values \( P_1 = 0.4 \text{ MPa}, V_1 = 3 \text{ m}^3 \) and \( T_1 = 473 \text{ K} \) is adiabatically expanding to \( V_2 = 9 \text{ m}^3 \). Estimate final pressure, temperature and the works \( W \) and \( W_t \).

**S:** For an adiabatic process we have \( PV^\kappa = \text{constant} \), where \( \kappa = \frac{c_P}{c_V} \), i.e.

\[ P_1 V_1^\kappa = P_2 V_2^\kappa \Rightarrow P_2 = P_1 \left( \frac{V_1}{V_2} \right)^\kappa = 4 \times 10^5 \left( \frac{3}{9} \right)^{1.4} \approx 0.86 \times 10^5 \text{ Pa} .\]

\[ TV^{\kappa-1} = \text{constant} \Rightarrow T_2 = T_1 \left( \frac{V_1}{V_2} \right)^{\kappa-1} \approx 473 \times \left( \frac{3}{9} \right)^{1.4-1} \approx 305 \text{ K} \]
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\[ W = \int_{V_1}^{V_2} PdV = \text{constant} \times \int_{V_1}^{V_2} \frac{1}{V^\kappa} dV = \frac{1}{\kappa-1} (P_1V_1 - P_2V_2) \approx \]

\[ \approx \frac{1}{1.41-1} (0.4 \times 10^6 \times 3 - 0.86 \times 10^4 \times 9) \approx 1.04 \times 10^6 \, J \approx 1.04 \, \text{MJ} \]

\[ W_t = \kappa W \approx 1.4 \times 1.06 \approx 1.48 \, \text{MJ} \]

The 2nd Law of Thermodynamics — Everything disperse

By experience we know that heat spontaneous goes from a warm body to a cold body, but never the opposite. We also know that the energy of heat can not completely be transformed to work, even if we disregard losses as friction and heat losses. This implies the second law, which has been formulated in many ways:

Clausius:

Heat can not “by itself” go from a low to a higher temperature.

Lord Kelvin:

Heat can not completely transfer to work.

A more poetic interpretation of the second law is offered in the poem of science fiction written by the Swedish Nobel laureate Harry Martinson in 1956 Aniara, which is a story of the space craft Aniara, that during a journey through space loses its course, and subsequently aimlessly floats through space, without destination. As the space travel continues, things become increasingly worn out, and many passengers are dying. The vast halls of the golgonder are cold and empty as told in poem 99:

I paced the halls and it was very late,
paced Mima’s hall one night and I was cold.
Still colder, far from all things temperate
roared memory in my soul for Dorisworld.

Ever more mute and numb lay Aniara’s ship:
a proud golonder once, now sarcophagus
which, having lost all power, through empty space was flung
in line with the loxodrome
to which in her fall she clung.

From the concept entropy \( S \), which was introduced by Clausius the year 1865, we may also make a formulation of the second law.

As we saw above work \( W \) and technical work \( W_t \) can be illustrated as areas in a pressure/volume diagram \( PV \)-diagram. Similarly heat \( Q \) can be illustrated as an area in a temperature/entropy diagram \( TS \)-diagram, see below.
If the area below the graph \( T(S) \) and between \( S_1 \) and \( S_2 \) is the heat content \( Q \), then we have

\[
\delta Q = TdS
\]

or

\[
dS = \frac{\delta Q}{T},
\]

with the unit J/K. When heat \( \delta Q \) is transferred to a system it is the temperature \( T \) of the heat that predicts the entropy \( dS \) that simultaneously is being transferred. By integration we get

\[
\Delta S = \int_{S_1}^{S_2} dS = S_2 - S_1 = \int_{S_1}^{S_2} \frac{\delta Q}{T}
\]

The value of the integral is independent of the integration path, i.e. it is only depending on the values of \( S_1 \) and \( S_2 \). Thus, the entropy is a state variable, i.e. a function only depending on the state of the system. Therefore we may express the entropy as a function of the state variables pressure, volume, and temperature, \( S(P,V,T) \). We will also notice that the entropy is an extensive variable, i.e. depends on the size of the system as mass, volume, and internal energy.

The 1st law says that energy is always conserved in any process. The 2nd law states that every process mostly take place in such a way that the entropy is constant for a reversible process or increases for an irreversible process, i.e.

\[
\Delta S \geq 0
\]

Everything that happens implies an increase of the total entropy. Locally the entropy may decrease but only if the entropy increases even more somewhere else so that the total entropy increases.

Entropy may also be regarded as a value of order. (This we will treat in the section on exergy of information.) Thus the 2nd law states that everything moves towards increased disorder – increased disintegration. Locally we may still create increased order. In the case of creating order on the earth, as in the living nature, this is established by the order offered by the sun and the disorder offered by the space.

So far all the state changes we have studied have been reversible. A reversible process is a process where you can always return to the initial state by running the process backwards. Such a process has no losses and assumes all processes to take place during thermodynamic equilibrium, i.e. no differences in temperature and pressure. This makes
such processes infinite in time and unrealistic. By the second law we may say that we consider the irreversible processes which we have in reality.

Assume we have two containers with different gases, \( \mathcal{O} \) and \( \mathcal{O} \). We know which gas we have in each container, i.e. our system is ordered. If we open the valve connecting the containers the gases will spontaneously diffuse into each other and we have lost some order since we do not know where the \( \mathcal{O} \)-gas or \( \mathcal{O} \)-gas is, \( S \) increases. We may not predict the exact distribution of \( \mathcal{O} \) and \( \mathcal{O} \) in the two containers.

![Diagram showing two containers with different gases](image.png)

Case 1: Order \( \Leftrightarrow S_1 \) is small. Case 2: Disorder \( \Leftrightarrow S_2 > S_1 \).

Also, we do not expect that the gases will spontaneously return to the initial state.

Let us see what this implies for different substances.

For a solid or liquid substance, i.e. an incompressible substance we have \( \delta Q \approx dU \approx mc dT \), which gives

\[
\Delta S = \int_1^2 ds \approx \int_{T_1}^{T_2} \frac{mc dT}{T} \approx mc \ln \left( \frac{T_2}{T_1} \right),
\]

where we have assumed the specific heat capacity to be constant.

**Ex:** 1 kg water is heated from 20 to 90°C. Estimate the entropy change when the specific heat is constant and compare with steam tables.

\[
\Delta S = mc \ln \left( \frac{T_2}{T_1} \right) \approx 1 \times 4.184 \ln \left( \frac{273.15 + 90}{273.15 + 20} \right) \approx 0.8959 \text{ kJ/K}.
\]

The steam tables give \( \Delta s \approx 1.1925 - 0.2966 = 0.8959 \text{ kJ/kg K} \). Thus, a very good resemblance.

For an ideal gas the relation becomes more complicated. From 1st law we have:

\[
\delta Q = dU + PdV
\]

and for an ideal gas we have:

\[
\begin{align*}
dU &= mc_v dT \\
P &= \frac{mR}{V}
\end{align*}
\]

Thus, the entropy change becomes

\[
\Delta S = \int_1^2 \frac{\delta Q}{T} = \int_1^2 \frac{mc_v}{T} dT + \int_1^2 \frac{mR}{V} dV = m \int_1^2 \frac{c_v}{T} dT + mR \ln \left( \frac{V_2}{V_1} \right)
\]
If the specific heat is constant, i.e. independent of the temperature we get

$$\Delta S = m c_p \ln \left( \frac{T_2}{T_1} \right) + m R \ln \left( \frac{V_2}{V_1} \right)$$

Analogously we have

$$\delta Q = dH - V dP$$

and for an ideal gas we have

$$\begin{align*}
    dH &= mc_p dT \\
    \frac{V}{T} &= \frac{mR}{P}
\end{align*}$$

Thus the entropy change becomes

$$\Delta S = \int_{1}^{2} \frac{\delta Q}{T} = \int_{1}^{2} \frac{mc_p}{T} dT - \int_{1}^{2} \frac{mR}{P} dP = m \int_{1}^{2} \frac{c_p}{T} dT - mR \ln \left( \frac{P_2}{P_1} \right)$$

If the specific heat is constant we get

$$\Delta S = mc_p \ln \left( \frac{T_2}{T_1} \right) - mR \ln \left( \frac{P_2}{P_1} \right)$$

\textbf{Ex:} In a container 2 kg of steam is condensed at temperature $T = 100^\circ C$, and a heat $Q = 4514$ kJ is transferred to the surrounding air at temperature $T_0 = 20^\circ C$. Calculate the change in entropy in the container $S$, and the environment $S_0$. Also calculate the total entropy change $S_{\text{tot}}$. The temperature of the environment is constant.

\textbf{S:} If we assume that the heat is transferred at constant temperature we have for the entropy

$$S \geq \frac{Q}{T}$$

Container: $S \geq \frac{Q}{T} \approx \frac{-4514}{273 + 100} \approx -12.10$ kJ/K, the minus sign indicates that entropy and heat is transported out of the system.

Environment: $S_0 \geq \frac{Q}{T_0} \approx \frac{4514}{273 + 20} \approx 15.41$ kJ/K.
The total entropy change becomes:

\[ S_{\text{tot}} = S + S_0 \geq -12.10 + 15.41 = 3.31 \text{ kJ/K} \geq 0, \text{ i.e. in accordance with the 2nd law.} \]

We can summarize the following:

**Laws**

0th law defines the concept of temperature

1st law: \( \delta Q = dU + \delta W \), defines energy as a conserved quantity and work: \( \delta W = PdV \)

2nd law: \( dS \geq 0 \), \( dS_{\text{reversible}} = 0 \), defines the concept of entropy through heat: \( \delta Q = TdS \)

3rd law defines the zero level for entropy

**Concepts**

Enthalpy: \( H = U + PV \)

Heat capacity: \( \delta Q = C_dT \), \( C_v \), and \( C_p \)

**Model**

Ideal gas: \( PV = nRT = mRT \)

**Processes**

Ideal gas: \( PV^m = \text{constant} \)

\[
\begin{align*}
    n &= 0 \text{ isobaric} \\
    n &= 1 \text{ isothermal} \\
    n &= \frac{C_p}{C_v} \text{ adiabatic} \\
    n &= \infty \text{ isochoric}
\end{align*}
\]

Principally this is all you need to understand of classical thermodynamics to be able to manage this part of science. All other relations can be derived from these relations.

**Remember!**

*The energy of a closed system is constant (1st law).*

*Energy forms may be destroyed and created, consumed and produced.*

*Energy production should be called energy supply*

*Energy consumption should be called energy use*
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Additional examples and solutions:

We are now ready to study additional examples to repeat thermodynamics. Try to solve the problem before looking at the solution. If you do not succeed, look at the solution part by part and try to solve as much as possible yourself. Simultaneously, repeat appropriate parts of the theory.

Ex: A bottle of the volume 0.02 m$^3$ contains hydrogen at 120 bar, 10°C. What is the weight of the gas?

S: $V = 0.02$ m$^3$
$P = 120 \times 10^5$ N/m$^2$
$R = \frac{8314}{M_{\text{H}_2}} \approx \frac{8314}{2} = 4157$ J/kg K
$T = 273.15 + 10 \approx 273 + 10 = 283$ K
$m = \frac{PV}{RT} \approx \frac{120 \times 10^5 \times 0.02}{4157 \times 283} \approx 0.204 \approx 0.20$ kg

Ex: 3 kg air of temperature 100°C expands isothermally in a closed volume from 0.1 m$^3$ to 0.3 m$^3$. How much heat must be added?

S: $m = 3$ kg, $T \approx (273+100)$ K = 373 K, $V_1 = 0.1$ m$^3$ and $V_2 = 0.3$ m$^3$.

For a isothermal process we have: $Q = mRT \ln \left( \frac{V_2}{V_1} \right)$

The specific gas constant becomes $R_{\text{air}} \approx \frac{8314}{M_{\text{air}}} \approx \frac{8314}{29} \approx 287$ J/kg K.

Thus $Q \approx 287 \times 3 \times 373 \times \ln \left( \frac{0.3}{0.1} \right) \approx 352$ kJ $\approx 98$ Wh.

In $PV$-diagram:

$W = Q$ since $Q = (U_2 - U_1) + W$ where $U_2 - U_1 = mc_v(T_2 - T_1) = 0$.

Ex: A closed container of 20 liter with air at 20°C, 1 bar receives heat until the pressure reaches 3 bar. How much heat is received?
S: Heat is being transferred at constant volume, thus the process is an isochor. Transferred heat is, \( Q = U_2 - U_1 = mc_v(T_2 - T_1) \), \( c_v = \frac{R}{\kappa - 1} \), \( \kappa_{air} = 1.4 \),
\[
R_{air} = \frac{8314}{29} \Rightarrow c_v \approx \frac{8314}{29 \times 0.4} \approx 716.7 \text{ J/kg K}
\]

The ideal gas model gives:
\[
m = \frac{P_1 V_1}{RT_1} \approx \frac{1 \times 10^5 \times 0.02}{8314 \times (273 + 20)} \approx 0.0238 \text{ [kg]}
\]

We get: \( T_2 = \frac{P_2}{P_1} T_1 \approx \frac{3}{1} \times 293 = 879 \text{ K} \)

And the added heat becomes: \( Q \approx 0.0238 \times 716.6 \times (879 - 293) \approx 10 \text{ kJ} \)

Ex: Air (2 kg/s) at 6 bar, 400°C expands in a turbine to the ambient pressure 1 bar. What is the maximal electric power to be utilized?

S: The process is a steady state adiabatic process
Thus: \( W_i = \kappa W = H_1 - H_2 = mc_v(T_1 - T_2) \)

The power \( W_i \) per time \( \Rightarrow W_i = W_i \) per second = \( \dot{m}c_v(T_1 - T_2) \)

We have: \( \frac{T_1}{T_2} = \left( \frac{P_1}{P_2} \right)^{\frac{\kappa - 1}{\kappa}} \approx \left( \frac{6}{1} \right)^{\frac{1.4 - 1}{1.4}} \approx 6^{0.286} \approx 1.67 \), i.e. \( T_2 \approx \frac{673}{1.67} \approx 403 \text{ K} \).

The average value of \( \dot{c}_p \) becomes: \( \dot{c}_p = \frac{c_p(T_1) + c_p(T_2)}{2} \approx 1039 \text{ J/kg K} \)
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(The specific heat is only depending on the temperature, since we assume an ideal gas, thus independent of the pressure difference $P_1 - P_2$)

We get $\dot{W} \approx 2 \times 1039 \times (673 - 403) \left( \frac{\text{kg} \times \text{J} \times \text{K}}{\text{s} \times \text{kg} \times \text{K}} = \text{J/s} = \text{W} \right) \approx 561.1 \approx 560 \text{kW}$
Exercises

Try to solve these problems by yourself, without checking the solutions.

1. Which alternative use most energy? a) To remove the snow by truck that uses 5 liter diesel to remove 5 tons of snow. b) To melt the snow by a diesel burner with 80% efficiency. The enthalpy of melting is 334 kJ/kg. How much diesel will be used for 5 tons of snow?

2. Snow equivalent to 20 mm water falls over a city. What is the energy difference per m² if this instead was as rain? The difference causes an extra cooling effect, but also other effects. What other effects?

3. How much fuel oil is needed to raise the temperature of the indoor air from 0°C to 20°C in a house of 125 m² and height 2.4 m? The efficiency of the heater is 65%. How is this effected if the volume is fixed or if the volume expands?

4. 10 kg hydrogen gas is heated 100K at constant volume. What is $W$ and $W_t$? (Hint: use the definition of technical work and the ideal gas model!)

5. What is the volume of the gas in the previous problem if the initial state was +27°C and 1 bar? (Hint: use the ideal gas model.)

6. 148 kJ of heat is isothermally added to 1 kg air of volume 0.5 m³, so the final volume becomes 2 m³. What was the initial temperature if $R_{air} = 287$ J/kg K? (Hint: use 1st law and the ideal gas model for an isothermal process!)

7. What was the initial pressure in the previous problem? (Hint: use the ideal gas model.)

8. A gas with $\kappa = 1.30$ expands adiabatic from $P_1 = 6$ bar to $P_2 = 2$ bar. How many percent does the volume increase? (Hint: adiabatic process.)

9. The air of a combustion engine is compressed polytropically from $P_1 = 0.9$ bar and $T_1 = 40°C$. Determine the final pressure and temperature if the initial volume is 9 times the final volume and the polytropic coefficient is $n = 1.35$. (Hint: polytropic process.)

10. A closed container of 5 liter contains air at 1 bar, 20°C. The air is heated to 50°C. Calculate a) heat added b) final pressure (Hint: $R = 285$ J/kg K and the ideal gas model. Calculate the average value of $c_P$ between 20-50°C, and use the relation for $c_P$ and $c_v$.)

11. Air is adiabatically compressed from 1 bar, 20°C to 6 bar. Calculate a) work needed b) temperature after compression c) change of internal energy of the air. (Hint: adiabatic process.)

12. Consider 0.6 m³ of air at 2 bar, 20°C. Calculate a) internal energy, $U$ b) enthalpy, $H$ if both of them are 0 at 1 bar, 0°C. (Hint: isothermal and isobaric process.)
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**Answers**

1. To melt the snow “costs” about 60 liter diesel.
2. 6.7 MJ/m³ or 2 kWh/m³
3. 12 MJ or 3.3 kWh
4. \( W = 0, W_t = -4200 \, (4157) \, \text{kJ}, \text{i.e. we must add the technical work 4.2 MJ} \)
5. The volume of the gas is 125 m³
6. The temperature of the air was 373 K \( \approx \) 100°C
7. The initial pressure was 2.1 \( \times \) 10⁶ Pa
8. The volume increase 130%
9. The final pressure is 17.5 \( \times \) 10⁶ Pa. The final temperature is 676 K \( \approx \) 403°C \( \approx \) 400°C
10. a) Added heat 130 (128) J, b) Final pressure 110 kPa
11. a) We add the work 200 (199) kJ/kg, b) Final temperature becomes 220 (216)°C, c) \( \Delta U \approx \) 140 kJ/kg
12. a) Internal energy at 20°C is 20 kJ b) Enthalpy at 20°C is 28 kJ.

**Solutions**

1. To melt 1 kg snow you need 334 kJ \( \Rightarrow \) 5 tons of snow need \( 5000 \times 334 \times 10^3 \, \text{J} \approx 1.7 \times 10^9 \, \text{J} \approx 1.7 \, \text{GJ} \).
   Efficiency is 80%, i.e. \( \frac{\text{Heat of melting}}{\text{Heat input}} = \frac{H_{\text{melt}}}{Q_{\text{input}}} = 0.8 \)
   \( \Rightarrow Q_{\text{input}} = \frac{H_{\text{melt}}}{0.8} \approx \frac{1.7 \times 10^9}{0.8} \approx 2.1 \times 10^9 \).
   1 liter diesel contains about 10 kWh or 36 MJ.
   Needed amount becomes: \( \frac{2.1 \times 10^9}{3.6 \times 10^7} \approx 58 \approx 60 \) liter, which is more than 10 times what is needed for truck removal.

2. Per square meter we get \( 20 \times 10^{-3} \, \text{m}^3 \) or 20 liter ice as snow, i.e. about 20 kg snow. To melt 1 kg snow we need 334 kJ \( \Rightarrow \) 20 kg snow need \( 20 \times 334 \times 10^3 \approx 6.7 \times 10^6 \approx 6.7 \, \text{MJ} \)
   \( \approx \) 2 kWh, i.e. the energy difference between snow and rain is about 6.7 MJ/m² or 2 kWh/m².

3. Amount of air becomes \( 125 \times 2.4 \, [\text{m}^3] \times 1.3 \, [\text{kg/m}^3] = 390 \, \text{kg} \).
   Added heat is \( Q = cm\Delta T \approx 1.0 \, [\text{kJ/kg K}] \times 390 \, [\text{kg}] \times 20 \, [\text{K}] = 7.8 \times 10^6 \, \text{J} \).
   The need of fuel oil is \( Q_{\text{fuel}} = \frac{Q}{\eta} \approx \frac{7.8 \times 10^6}{0.65} = 12 \times 10^6 \, \text{J} \approx 3.3 \, \text{kWh} \) or about 1/3 liter.

4. Work \( W = \int PdV = 0 \), because an isochor process, i.e. \( dV = 0 \).
   Technical work \( W_t = \int VdP \) (ideal gas model gives) = \( mR \int dT = mR \Delta T \approx \)
   \( \approx 10[\text{kg}] \times \frac{8314.3[J/kmolK]}{2[kg/kmol]} \times 100[\text{K}] \approx 4.157 \times 10^6 \, \text{J} \approx 4.2 \, \text{MJ} \), i.e. we must add the technical work 4.2 MJ.
5. The ideal gas model gives: 
\[ V = \frac{mRT}{P} \approx \frac{10\,\text{[kg]} \times \frac{8314.3\,\text{[J/kmol]}}{2\,\text{[kg/kmol]}} \times (273.15 + 27)\,\text{[K]}}{1 \times 10^5\,\text{[Pa]}} \approx 125\,\text{m}^3. \]

6. 1st law gives: 
\[ Q = \Delta U + W = \int mc_dT + W = 0 + W \], i.e. \( Q = W \) of an isothermal process.
Work \( W = \int PdV = \int \frac{mRT}{V} dV = mRT \int \frac{dV}{V} \) of an ideal gas and isothermal process.
We get: \( T = \frac{Q}{mR \ln \frac{V_2}{V_1}} = \frac{148 \times 10^5\,\text{[J]}}{\ln [\text{kg}] \times 287\,\text{[J/kgK]} \times \ln \frac{2}{0.5}} \approx 373 \,\text{K} \) or about 100°C.

7. The ideal gas model gives:
\[ P = \frac{mRT}{V} = \frac{\ln [\text{kg}] \times 287\,\text{[J/kgK]} \times 373\,\text{[K]}}{0.5\,\text{[m}^3\text{]}} \approx 2.14 \times 10^5 \,\text{Pa}. \]

8. For an adiabatic process we have \( PV^\kappa \) constant, where \( \kappa = \frac{c_p}{c_v} \), i.e.
\[ P_1V_1^\kappa = P_2V_2^\kappa \implies P_1 = \left( \frac{V_2}{V_1} \right)^\kappa \implies \frac{V_2}{V_1} = \left( \frac{P_1}{P_2} \right)^\frac{1}{\kappa} \approx \left( \frac{6 \times 10^5}{2 \times 10^7} \right)^{\frac{1}{1.35}} \approx 3^{0.77} \approx 2.33 \text{, i.e. the volume increases 133%}. \]

9. For a polytropic process we have \( PV^n \) constant, where \( n \) is the polytropic coefficient, i.e. the final pressure becomes \( P_2 \):
\[ P_1V_1^n = P_2V_2^n \implies P_2 = P_1 \left( \frac{V_1}{V_2} \right)^n \approx 0.9 \times 10^5 \left( \frac{9V_2}{V_2} \right)^{1.35} \approx 0.9 \times 10^5 \times 9^{1.35} \approx 17.5 \times 10^5 \,\text{Pa} \]
From the ideal gas model (\( PV = mRT \)) we can rewrite this as:
\[ T_2 = T_1 \left( \frac{V_1}{V_2} \right)^{n-1} \approx (40 + 273.15) \left( \frac{9V_2}{V_2} \right)^{1.35-1} \approx 313 \times 9^{0.35} \approx 676 \,\text{K} \approx 403\,\text{˚C}. \]

10. a) To determine \( Q \) we must know \( c \), in the temperature interval \([T_1, T_2]\) and the mass \( m \). The mass we get from the ideal gas model (\( PV = mRT \), where \( R \) is the special gas constant, i.e. “per kg” according to \( R = \frac{\overline{R}}{M} \), where \( \overline{R} \) is the general gas constant and \( M \) is mol):
\[ m = \frac{P_1V_1}{RT_1} \approx \frac{1 \times 10^5 \times 5 \times 10^3}{8314 \times \frac{29}{293.15}} \approx 5.95 \times 10^3 \,\text{kg} \]
\[ PV_p = P_2 V_2 \quad \Leftrightarrow \quad P_2 = P_1 \left( \frac{V_1}{V_2} \right) ^{\kappa} \approx 0.9 \times 10^6 \left( \frac{9V_2}{V_2} \right) ^{1.35} \approx 0.9 \times 10^6 \times 9^{1.35} \approx 17.5 \times 10^5 \text{ Pa.} \]

From the ideal gas model \((PV = mRT)\) the relation above can be written as if we have the following relations for \(c_p\) and \(c_v\): \(\kappa = \frac{c_p}{c_v}\) and for air we have \(\kappa \approx 1.4\). From tables we get the average value for \(c_p\) in temperature range 20-50\(^\circ\)C to:

\[
\hat{c}_p = c_p(20\,^\circ\text{C}, 50\,^\circ\text{C}) \approx \frac{c_p(20\,^\circ\text{C}) + c_p(50\,^\circ\text{C})}{2} = \frac{1005 + 1005 + 1009}{2} \approx 1006 \text{ J/kg} \cdot \text{K. Which gives } \hat{c}_v = \frac{\hat{c}_p}{\kappa} \approx 719 \text{ J/kg} \cdot \text{K.}
\]

We can now determine the added heat from the definition of the heat capacity:

\[
Q = m\hat{c}_v(T_2 - T_1) = m\hat{c}_v\Delta \theta_{21} \approx 5.95 \times 10^3 \times 719 \times 30 \approx 128 \times \frac{\text{J}}{\text{kg} \cdot \text{K}} = \text{J}.
\]

(Always check the dimensions!)

b) To determine the final pressure we must know how the pressure changes during the process, since it is an isochoric process we have (according to the ideal gas model): \(P \times \text{constant} = T\) or

\[
\frac{P_1}{P_2} = \frac{T_1}{T_2} \quad \Leftrightarrow \quad P_2 = P_1 \frac{T_2}{T_1} \approx 1\times10^5 \frac{323.15}{293.15} \approx 1.10 \times 10^5 \text{ Pa} = 110 \text{ kPa.}
\]

11. a & b) The process is adiabatic, i.e.:

\[
T_2 = T_1 \left( \frac{P_2}{P_1} \right) ^{\frac{1}{\kappa-1}} \approx 293.15 \left( \frac{6 \times 10^5}{1 \times 10^5} \right) ^{\frac{1.4}{1.4-1}} \approx 489 \text{ K, i.e. } \theta_2 \approx 489-273 \approx 216\,^\circ\text{C.}
\]

We may now calculate the average value of the specific heat at constant pressure accordingly:

\[
\hat{c}_p = c_p(20\,^\circ\text{C},216\,^\circ\text{C}) \approx \frac{c_p(20\,^\circ\text{C}) + c_p(216\,^\circ\text{C})}{2} = \frac{1005 + 1027}{2} \approx 1016 \text{ J/kg K.}
\]

Added work, i.e. technical work, when we have an open process, can be calculated:

\[
w_t = h_1 - h_2 = \int c_p dT \approx \hat{c}_p(T_1 - T_2) \approx 1016 \times (293.15-489.15) \approx -199 \text{ kJ/kg. (The minus sign indicate that work is being added to the process!)}
\]

c) The change of the internal energy can be calculated:

\[
\frac{u_2 - u_1}{\kappa} = \frac{1}{\kappa} \int c_p dT = \frac{1}{\kappa} \int \frac{c_p}{\kappa} dT = \frac{-w_t}{\kappa}, \text{ i.e. the internal energy increases by, } \Delta u_{21} \approx \frac{-( -199)}{1.4} \approx 140 \text{ kJ/kg.}
\]

12. The mass \(m\) we get from the ideal gas model \((PV = mRT)\) accordingly:

\[
m = \frac{PV_2}{RT_2} \approx \frac{2 \times 10^5 \times 0.6}{287 \times 293.15} \approx 1.4 \text{ kg.}
\]
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a) For the internal energy:  
\[ U_2 - U_1 = m \int_1^2 c_v dT = \frac{2}{1} \int_1^2 \frac{c_p}{\kappa} dT \approx m \frac{\hat{c}_p}{\kappa} (T_2 - T_1) \approx \]
\[ \approx m \frac{c_p(0^\circ \text{C}, 20^\circ \text{C})}{\kappa} (T_2 - T_1) \approx m \frac{2}{\kappa} \frac{1005 + 1005}{1.4} (293.15 - 273.15) \approx 20 \text{ kJ} \Rightarrow U_2 \approx 20 + U_1 \approx 20 + 0 \approx 20 \text{ kJ}. \]
a) Analogously for the enthalpy:  
\[ H_2 = H_1 + m \int_1^2 c_v dT \approx H_1 + m \hat{c}_p (T_2 - T_1) \approx 0 + \]
\[ 1.4 \times 1005 \times (293.15 - 273.15) \approx 28 \text{ kJ}. \]
EXERGY

We have now concluded that it is a fundamental law of nature that energy neither can be created nor destroyed (the First Law of Thermodynamics). Energy is available in many different forms and may be converted between these forms. However, a strict limitation is always active. Different energies have different qualities, indicating to what extent they are theoretically convertible to mechanical work. This limitation, a Law of Nature, implies that the total energy quality always decreases in each conversion (the Second Law of Thermodynamics).

The quality of energy is described by the concept of entropy. High entropy is equal to low quality of energy. The Second Law states that conversions are possible only if the total entropy increases. By introducing exergy, we may treat energy and entropy simultaneously, i.e. “kill two birds with one stone”.

At this stage, introducing exergy is mere a matter of putting a name to something we already know. By reading the more verbal treatment of the exergy concept you are well prepared for the more analytic treatment.

**Exergy of a general process**

Assume a general (irreversible) process:

\[
\begin{align*}
U_2 - U_1 & \quad \text{W} \\
E & \quad \text{H}_i \\
Q & \quad \text{H}_e
\end{align*}
\]

The processes involves a change of the internal energy of the system, \( U_2 - U_1 \), and a change of the enthalpy of the flow through the system, \( H_e \) and \( H_i \). Thus, the process is a combination of a closed and open system.

Let us make the process reversible by adding a reversible heat engine as below.

\[
\begin{align*}
E & \quad \text{W}_c \\
Q & \quad \text{W}_{\text{rev}} \\
Q & \quad \text{Q}_{\text{rev}}
\end{align*}
\]

We can now describe the process in detail.

The work from the heat engine is \( W_c \), and the total maximal work we may extract from the system at a reversible process \( E (= \text{exergy}) \) is
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\[ E = W_{\text{rev}} + W_c \]

If we apply the first law on the modified system with a reversible process, we get

\[ Q_{\text{rev}} + H_i = U_2 - U_1 + W_{\text{rev}} + H_e \]

i.e.,

\[ W_{\text{rev}} = Q_{\text{rev}} + H_i - H_e - (U_2 - U_1) \]

Since, all processes are reversible the heat exchange with the environment must go through the heat engine, as is shown in the Fig above. The first law applied to the heat engine gives

\[ W_c = Q_0 - Q_{\text{rev}} \]

and the second law gives

\[ \frac{Q_0}{T_0} = S_0 = S_{\text{rev}} \]

since work \( W_c \) is free of entropy \((S_c = 0)\) and were \( S_{\text{rev}} \) is the entropy related to the heat \( Q_{\text{rev}} \). The work from the heat engine becomes:

\[ W_c = T_0S_{\text{rev}} - Q_{\text{rev}} \]

the second law for the system gives:

\[ S_{\text{rev}} = S_2 - S_1 + S_e - S_i \]

Thus the work from the heat engine becomes

\[ W_c = T_0(S_2 - S_1 + S_e - S_i) - Q_{\text{rev}} \]

By replacing \( W_{\text{rev}} \) and \( W_c \) in the Eq. for \( E \), we get

\[ E = Q_{\text{rev}} + H_i - H_e - (U_2 - U_1) + T_0(S_2 - S_1 + S_e - S_i) - Q_{\text{rev}} \]

Which may be rewritten as

\[ E = H_i - T_0S_1 - (H_e - T_0S_e) + (U_1 - T_0S_1) - (U_2 - T_0S_2) = E_1 - E_e + E_i - E_2 \]

As we see \( Q_{\text{rev}} \) disappears in the expression for the reversible work that can be extracted from a general process, which involves heat at ambient temperature \( T_0 \). This reversible work, which is the maximum work that can be extracted, we call the exergy. This is the part of the energy, which is completely convertible into any other forms of energy, i.e. especially work. Other forms of energy, e.g. kinetic and potential mechanical energies and electrical energy may just be directly added. Let us now look closer to special cases of this general exergy expression.

R: Appendix 2 in this material or Appendix A in G. Wall, “Exergy — A Useful Concept within Resource Accounting”, 1977, pp. 40-43, that you find on the Internet: http://exergy.se/. (This is an alternative derivation of the exergy concept
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based on a closed system in an infinite environment. Thus the environmental relations are stressed, but as we will see the environment only plays the role of reference state. And, the exergy can be related to any reference state.)

Exergy, work and entropy production

We will now derive an expression for the total entropy production and the relation to exergy.

Consider a defined thermodynamic system going through a process from state 1 to 2, where work $W$ is extracted. Further more heat $Q$ is added from a reservoir, e.g. the environment at temperature $T_0$, see Fig. below.

The situation is completely general, the only restriction is that the system is defined.

The first law gives:

$$Q = U_2 - U_1 + W$$  \hspace{1cm} (1)

The entropy change of the system is $S_{sys} = S_2 - S_1$. Further has the entropy of the reservoir also changed? The reservoir has delivered the heat $Q$. The entropy change of the reservoir then becomes

$$S_{res} = \frac{Q}{T_0}$$  \hspace{1cm} (2)

We use $S^{tot}$ to indicate the total entropy change of the system and the environment, which becomes

$$S^{tot} = S_{sys} + S_{res} = S_2 - S_1 - \frac{Q}{T_0}$$  \hspace{1cm} (3)

By eliminating $Q$ from Eq. 3 and Eq. 1 we get

$$W = U_1 - U_2 - T_0 (S_1 - S_2) - T_0 S^{tot}$$  \hspace{1cm} (4)

The total entropy change $S^{tot}$ is always positive (Second Law)

$$S^{tot} > 0$$  \hspace{1cm} (5)

so we will from now on call $S^{tot}$ the total entropy production.
Equations 4 and 5 give an upper limit of the work \( W \) which may be extracted from the process. This upper limit is given from a reversible process, since the total entropy production \( S^{\text{tot}} \) of such a process is zero.

We now assume a reversible process, which utilizes the given heat reservoir and converts the system from state 1 to 2. Any kind of extra apparatus is allowed. However, these should be in the same state after the reversible process as they were before. The maximal extractable work, i.e. the exergy then becomes according to Eq. 4:

\[
E = U_1 - U_2 - T_0(S_1 - S_2) \tag{6}
\]

If we combine Eq. 4 and Eq. 6 we have an important relation

\[
W = E - T_0S^{\text{tot}} \tag{7}
\]

The exergy obviously gives an upper limit to the work which is extractable from the process, since the entropy production \( S^{\text{tot}} \) is always positive. Thus the available work \( W \) is limited by the exergy \( E \).

At an irreversible process as above with the entropy production \( S^{\text{tot}} \), this corresponds to a loss of available work or exergy. Thus, for an irreversible process we have:

\[
\begin{cases}
W_{\text{loss}} = E_{\text{loss}} = T_0S^{\text{tot}} \\
S^{\text{tot}} \geq 0
\end{cases} \tag{8}
\]

Thus, the relation between exergy and entropy is very fundamental. We may say that exergy is the concept which links the universal concept of entropy with the conditions on the Earth through the temperature. In the section on exergy and information we will develop this fundamental relation further.

Exergy loss = Ambient temperature × Entropy production

This relation may also be expressed as the following: the maximal available exergy, that is equal to the maximal possible exergy loss, is equal to the ambient temperature times the maximal entropy production.

The result of this section may be expressed in many ways as we will see in the coming sections. This simple conclusion is still a very fundamental relation. We will now calculate the entropy production of some simple cases.

Heat transfer

Assume that a certain amount of heat \( Q \) is transferred from a body with the temperature \( T_1 \) to a body with the temperature \( T_2 \) and that the temperatures \( T_1 \) and \( T_2 \) remain constant. The entropy changes for the bodies are \(-Q/T_1\) and \(Q/T_2\). We may regard this as if the heat flow is removing the entropy \( Q/T_1 \) from \( “T_1” \) and adding the entropy \( Q/T_2 \) to \( “T_2” \), and that the difference gives the entropy production. The heat exchange has occurred through some intermediate medium. This medium is in the same state after the process as before. The intermediate medium does not change its entropy.
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The total entropy change then becomes

$$S_{\text{tot}} = \frac{Q}{T_2} - \frac{Q}{T_1}$$

(9)

If a heat $Q$ is transferred from $T_1$ to $T_2$ and further to $T_3$ then we get for each transfer

$$S_{12}^{\text{tot}} = \frac{Q}{T_2} - \frac{Q}{T_1} \quad \text{and} \quad S_{23}^{\text{tot}} = \frac{Q}{T_3} - \frac{Q}{T_2}$$

(10)

and for the total transfer we get

$$S_{13}^{\text{tot}} = \frac{Q}{T_3} - \frac{Q}{T_1}$$

(11)

We could have stated Eq. 11 directly from Eq. 9.

It is important to note that the entropy production becomes less if the heat transfer occurs at higher temperature. The entropy production at heat transfer from 610 K to 600 K is only one forth as big as if the same amount of heat is transferred from 310 K to 300 K.

Temperature exchange between two bodies

We have two bodies with the heat capacities $C_1$, $C_2$ and the temperatures $T_1$ and $T_2$. The volumes are constant, e.g. two buckets of water with different temperatures.

Heat is exchanged to reach equilibrium at temperature $T_e$. First law, i.e. energy conservation gives:

$$T_e = \frac{C_1 T_1 + C_2 T_2}{C_1 + C_2}$$

(12)

The total entropy production becomes:
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\[
S^{\text{tot}} = \int_{T_1}^{T_2} C_1 \frac{dT}{T} + \int_{T_1}^{T_2} C_2 \frac{dT}{T} = C_1 \ln \frac{T}{T_1} + C_2 \ln \frac{T}{T_2}
\]  

(13)

if we assume that the heat capacities are constant, i.e. do not depend on the temperature.

**Ex:** Convince yourself that the total entropy production always is positive.

**S:** To check this we set \( x = T_2/T_1 \), which gives:

\[
S^{\text{tot}} = C_1 \ln \frac{C_1 + xC_2}{C_1 + C_2} + C_2 \ln \frac{x}{C_1 + C_2}
\]  

(14)

The entropy production is of course zero if \( x = 1 \). The derivative with respect to \( x \) becomes:

\[
\frac{dS^{\text{tot}}}{dx} = \frac{C_1 C_2}{C_1 + C_2 x} \left( 1 - \frac{1}{x} \right)
\]  

(15)

The derivative is positive for \( x > 1 \) and negative for \( 0 < x < 1 \). Thus, the entropy production is positive for \( 0 < x < \infty \).

**Friction**

Assume \( w_f \) to be the frictional work of a process, and assume this becomes heat, i.e. no other effects. If the temperature of the frictional heat is \( T \), the entropy production becomes, according to Eq. 2 (\( Q = -w_f \)):

\[
s = \frac{w_f}{T}
\]  

(16)

As we see the entropy production becomes less with increasing temperature, i.e. the friction work is transferred to frictional heat of higher quality.

**Q:** Have you any ideas how this could be used? Do you know where?

If the frictional work \( W_f \) generates heat at temperature \( T_1 \) and if the temperature then decreases to \( T_2 \) we get the entropy production from Eq. 9 and 16:

\[
S^{\text{tot}} = \frac{W_f}{T_1} + W_f \left( \frac{1}{T_2} - \frac{1}{T_1} \right) = \frac{W_f}{T_2}
\]  

(17)

Thus, we get the same entropy production as if the temperature of the frictional heat originally was \( T_2 \).

The total entropy production from friction is given by contributions defined by Eq. 16. The produced frictional heat also creates additional contributions to the total entropy production.
production according to Eq. 9, and finally the total entropy production becomes according to Eq. 17.

Thus, if the total frictional work is $W_f$, and if all frictional heat is lost to the environment at temperature $T_0$, then the total entropy production from frictional work and heat transfer to the environment becomes:

$$S_{\text{tot}} = \frac{W_f}{T_0}$$  \hspace{1cm} (18)

All frictional heat finally reaches the environment, however the entropy production will appear at many sub processes.

**System in contact with a heat reservoir**

Our system is now in contact with a heat reservoir of temperature $T_0$ during the process 1 to 2. The initial and final temperatures must then be equal to $T_0$:

$$T_1 = T_2 = T_0$$  \hspace{1cm} (19)

We also assume that the volume is constant:

$$V_1 = V_2$$  \hspace{1cm} (20)

The process will then not generate any work on the environment.

These kinds of process usually occur for liquids and solids. The temperature might of course change during the process.

The exergy, i.e. the available reversible work then becomes according to Eqs. 6, 19 and the definition of Helmholtz’ function or free energy, $A = U - TS$:

$$E = A_1 - A_2$$  \hspace{1cm} (21)

From Eq. 7 we get:

$$W \leq A_1 - A_2$$  \hspace{1cm} (22)

Thus, the decrease of Helmholtz free energy of the system gives the upper limit of the available work of the process.

**System in contact with a heat and pressure reservoir**

In many processes the initial and final pressure is the same, i.e.:

$$P_1 = P_2 = P_0$$  \hspace{1cm} (23)

And often $P_0$ is the ambient pressure, towards which the system performs a work $P_0(V_2 - V_1)$ during the process. The available work then becomes:
The exergy then becomes according to Eq. 21, the definition of enthalpy $H = U + PV$ and Gibbs’ function or free enthalpy $G = H - TS$:

$$E = G_1 - G_2$$

(25)

For the available work we get:

$$W’ \leq G_1 - G_2$$

(26)

Thus, the decrease of free enthalpy $G$ for the system gives the upper limit of the available work of the process, i.e. similarly as above for Helmholtz’ function.

The Eqs. 22 and 26 explains why we use free energy for $A$ and free enthalpy for $G$. It is important to notice the conditions of these relations. As we have seen Helmholtz’ and Gibbs’ functions are special cases of the exergy for special processes. In Appendix 2, you will find a more detailed analysis between exergy and these and other concepts.

**Exergy of heat and cold**

We will now derive expressions for available work in some basic cases where heat transfer is involved. The ambient temperature is $T_0$.

Let us first assume that heat $Q$ is transferred between two reservoirs at temperatures $T$ and $T_0$, and $T > T_0$ and find the exergy involved. A reversible heat engine working between the temperatures $T$ and $T_0$ gives the maximal available work. By assuming that the heat is completely lost we may calculate the maximal entropy production which gives us the exergy being lost, i.e. the available exergy which is able to utilize.

From above we have:

$$E = T_0 S^{tot} = T_0 \left( \frac{O}{T_0} - \frac{Q}{T} \right) = Q \left( 1 - \frac{T_0}{T} \right) \quad (T > T_0)$$

(1)

If the temperature $T < T_0$, this only change the direction of the heat flow $Q$, which then will go from $T_0$ to $T$, the exergy now becomes:

$$E = T_0 \left( \frac{Q}{T} - \frac{O}{T_0} \right) = Q \left( \frac{T_0}{T} - 1 \right) \quad (T < T_0)$$

(2)
The ratio between the exergy $E$ and the energy of the transferred heat $Q$ we call the **exergy factor** $E/Q$. Thus, by multiplying the energy by the exergy factor we get the exergy. The exergy factor of heat from a heat reservoir, i.e. at constant temperature $T$, that differ from the ambient temperature $T_0$ is

$$\frac{E}{Q} = \left| \frac{T - T_0}{T} \right|$$

(3)

This is a generalization of the Carnot relation, since it also covers temperature below ambient. Let us exemplify this.

**Ex:** What is the exergy factor of heat at $20{^\circ}C$ ($\approx 293$ K) in an environment at $5{^\circ}C$ ($\approx 278$ K)? One example of this is exergy needed to maintain the inside temperature at $+20{^\circ}C$ when the outside temperature is $5{^\circ}C$.

**S:** From Eq. 3 we have:

$$\frac{E}{Q} = \left| \frac{293 - 278}{293} \right| = \frac{15}{293} \approx \frac{15}{3} \approx 5\%$$

So, the exergy factor of heat at $20{^\circ}C$ in an environment at $5{^\circ}C$ is approximately 5 %. Thus, the exergy efficiency of an electric heat radiator (electric short circuit), which maintain the indoor temperature at $+20{^\circ}C$ when the outdoor temperature is $+5{^\circ}C$ is about 5 %. However, the energy efficiency is 100%.

Let us look closer to the relation between energy and exergy efficiencies and exergy factor.

**Ex:** Estimate the energy efficiency of an oil furnace when the exergy efficiency is $3\%$, the exergy factors for in and out flows are $0.9$ and $0.04$ respectively?

**S:** We have the following relations:

Exergy factor $= \frac{\text{Exergy}}{\text{Energy}}$, i.e., $\frac{E}{Q}$

Energy efficiency $= \frac{\text{Energy output}}{\text{Energy input}}$, i.e., $\eta_{en} = \frac{Q_{\text{out}}}{Q_{\text{in}}}$

Exergy efficiency $= \frac{\text{Exergy output}}{\text{Exergy input}}$, i.e., $\eta_{ex} = \frac{E_{\text{out}}}{E_{\text{in}}}$

From these relations we may derive the following relation:
EXERGETICS

\[
\eta_{en} = \frac{Q_{out}}{Q_{in}} = \frac{E_{out}}{E_{in}} = \frac{Q_{out}}{E_{out}} = \frac{E_{out}}{E_{out}} = \eta_{ex} = \frac{Q_{ex}}{E_{ex}} = \eta_{ex} = \frac{E_{ex}}{Q_{ex}}
\]

Numerical values gives:

\[
\eta_{en} = \frac{0.9}{0.04} = 0.675 \approx 70\%
\]

The energy efficiency becomes about 70 %.

S: Calculate the exergy factor for heat transferred between the following temperatures in degrees Celsius and 0°C:

\[
\begin{array}{ccccccccccc}
-270 & -200 & -100 & -20 & 0 & 20 & 100 & 200 & 500 & 1000 & 5000 \\
E/Q & \ldots & \ldots & \ldots & \ldots & \ldots & \ldots & \ldots & \ldots & \ldots & \ldots \\
\end{array}
\]

Check your values with the black line in the Fig. below.

The upper black curve, in Fig. below illustrates Eq. 3. (The lower gray curve will be described below.) As we see cold has exergy, when energy is regarded as negative. We also see that the exergy factor strongly increase for low temperatures, which explains the difficulty to reach absolute zero temperature, i.e. 0K or \(-273.15°C\). At high temperatures the exergy factor comes closer to 1 (the dotted line), i.e. exergy \(E\) and energy \(Q\) of the heat becomes almost the same. As we know, high temperatures generate more work in a heat engine.
Equation 3 is useful to describe the exergy need to maintain the temperature in hot spaces, e.g. furnaces, stoves, ovens, or houses, as well as in cold spaces, e.g. refrigerators and freezers, or to keep a pleasant indoor climate by air-conditioning a hot summer day.

Exergy reflects better than energy that heat or cold is more “expensive” when we need it the most. Thus, it is easier to generate cold in winter and heat in summer. By using the energy concept it seems equal, since energy is not affected by the ambient conditions. So, from an energy point of view it seems independent of whether it is summer or winter. Thus, district heat should be more expensive in the winter than in the summer. This is an example of something obvious which is not explained by the energy concept, but is immediately explained by the exergy concept.

The exergy of a body with temperature $T$ is slightly more complicated to calculate since the temperature of the body as well as the delivered heat decreases when heat is removed. Assume that the heat capacity of the body is $C(T)$. Let us calculate the exergy when the temperature decrease from $T$ to $T_0$. The heat content of a temperature change $dT'$ is $C(T')dT'$, then the exergy becomes an integral from $T_0$ to $T$:

$$E = \int_{T_0}^{T} C(T')(1 - \frac{T_0}{T'})dT'$$  \hspace{1cm} (4)

It is not hard to see that this relation holds at both $T>T_0$ and $T<T_0$.

If the heat capacity is not depending on temperature, the exergy becomes

$$E = C \left( T - T_0 - T_0 \ln \frac{T}{T_0} \right) = C(T - T_0) \left( 1 - \frac{T_0}{T} - \ln \frac{T}{T_0} \right)$$  \hspace{1cm} (5)

Since

$$Q = C(T - T_0)$$  \hspace{1cm} (7)

the exergy factor $E/Q$ becomes:

$$\frac{E}{Q} = \left| 1 - \frac{T_0}{T - T_0} \ln \frac{T}{T_0} \right|$$  \hspace{1cm} (8)

Where the absolute signs make sure that the relation also holds for $T<T_0$. This relation is indicated by the gray line in the Fig. above.

In the derivation of exergy for a body we have neglected change in volume, which is usually acceptable for solids and for liquids.

S: Calculate the exergy factor for heat transferred between a body at the following temperatures in degrees Celsius and 0°C:

<table>
<thead>
<tr>
<th>°C</th>
<th>-270</th>
<th>-200</th>
<th>-100</th>
<th>-20</th>
<th>0</th>
<th>20</th>
<th>100</th>
<th>200</th>
<th>500</th>
<th>1000</th>
<th>5000</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\frac{E}{Q}$</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
</tr>
</tbody>
</table>

Check your values with the gray line in the Fig. above.

(Please observe that at low temperatures the heat capacity $C$ can not be regarded as constant.)
In the Fig. above the exergies of some bodies at different temperatures are indicated, e.g. liquid nitrogen, waste heat, boiling water, hot steam, glowing steel, and melted steel.

Let us compare the exergy factors between the two cases, reservoir and body, at temperature $T$, see the exercises above. If $T=100^\circ C$ then the exergy factor of the reservoir is 0.27 and for the body 0.15, i.e. almost half.

As we would expect the exergy factor $E/Q$ is lower for a body since the temperature decreases with the heat released. At low temperatures, $T<T_0$, and for temperatures close to ambient, $T_0$, the exergy factor $E/Q$ of a body is about half that of a reservoir. For high temperatures the exergy factors for a reservoir and a body become closer. Thus, it is important to make sure what kind of heat source is available, reservoir or body, for the system to be studied.

We will now examine district heat more in detail. District heat is regarded as a body, thus, the exergy factor becomes from Eq. 8:

$$\frac{E}{Q} = 1 - \frac{T_0}{T_{\text{supply}} - T_0} \ln \frac{T_{\text{supply}}}{T_0}$$

where $T_{\text{supply}}$ is the temperature of the supplied heat ($T_{\text{supply}} > T_0$). Assume this to be $85^\circ C$ when $T_0 > +2^\circ C$ and that it increases linearly with decreasing outdoor temperature to $120^\circ C$ when $T_0 < -20^\circ C$. Then, we get the lower gray curve in Fig. below. We see that the exergy factor is varying stepwise between about 0.10 and 0.22 when the temperature decreases from $+20$ to $-30^\circ C$.

However, since a part of the supplied exergy is returned, we may calculate the utilized exergy to:

$$\frac{E}{Q} = 1 - \frac{T_0}{T_{\text{supply}} - T_{\text{return}}} \ln \frac{T_{\text{supply}}}{T_{\text{return}}}$$

where $T_{\text{return}}$ is the temperature of the returned exergy, which we assume to $55^\circ C$. Then, we get the upper black curve in the Fig. below. As we expected the exergy factor becomes higher, since the heat now is taken out at a higher average temperature. It now varies stepwise between about 0.15 and 0.32.
**EXERGETICS**

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**Ex:** Calculate the exergy factor of district heat when the outdoor temperature is 0°C? Also calculate the exergy factor of the utilized heat, see Eq. 10 above?

**S:** First we must find the temperature on the supplied district heat $T_{\text{supply}}$ at the prevailing outdoor temperature $T_0$. When $-20^\circ \text{C} < T_0 < +2^\circ \text{C}$ we have:

$$T_{\text{supply}} = 85 + (120 - 85) \times \frac{2 - T_0}{2 - (-20)}$$

which gives $T_{\text{supply}} \approx 88.2^\circ \text{C} \approx 361 \text{K}$. Numbers in Eq. 9 give:

$$\frac{E}{Q} = 1 - \frac{273}{361 - 273} \ln \frac{361}{273} \approx 1 - \frac{273}{88} \ln(1.3223) \approx 0.13$$

Thus, the exergy factor of the supplied heat is 0.13.

If we assume the return temperature to be 55°C, i.e. 328K, then we get for the utilized heat:

$$\frac{E}{Q} = 1 - \frac{273}{361 - 328} \ln \frac{361}{328} \approx 1 - \frac{273}{33} \ln(1.1006) \approx 0.21$$

Thus, the exergy factor of the utilized heat is 0.21.

**S:** Verify the expression for the utilized district heat in Eq. 10 above.

We will return to exergy of heat and cold when we analyze the exergy conversions in industrial processes.
Exergy of black body radiation

For black body radiation\(^*\) we have the following relations for energy and entropy emission rates per unit area:

\[
\dot{u} = \sigma T^4 \\
\dot{s} = \frac{4}{3} \sigma T^3
\]  

(Please, note that \(d\dot{u} = Td\dot{s}\).

where \(\sigma = \frac{2\pi^5 k^4}{15h^2 c^5} \approx 5.67 \times 10^8 \text{[W/K}^4\text{m}^2]\) is called the Stefan-Boltzmanns constant.

Consider the reversible process, radiation from a black body at temperature \(T\) is transmitted to another black body at temperature, \(T_0\):

\[
\begin{align*}
\dot{u}_0, \dot{s}_0 & \quad \text{Black body at temperature } T_0 \\
\dot{u}, \dot{s} & \quad \text{Radiation}
\end{align*}
\]

\[
\begin{align*}
\dot{e} & \quad \text{Black body at temperature } T_0 \\
\dot{q} & \quad \text{Ambient at temperature } T_0
\end{align*}
\]

The exergy flow \(\dot{e}\), which is associated with the heat radiation is derived by applying the first and second law to an ideal reversible process. This process converts the radiation to exergy and heat at ambient temperature. Assume that the converter can not receive radiation without emit radiation, the principal of detailed balance.

The converter receives energy and entropy according to Eqs. 1 and 2. Simultaneously, it emits energy, \(\dot{u}_0\) and entropy, \(\dot{s}_0\) according to Eqs. 1 and 2.

\[
\begin{align*}
\dot{u}_0 &= \sigma T_0^4 \\
\dot{s}_0 &= \frac{4}{3} \sigma T_0^3
\end{align*}
\]

The first law gives:

\[
\dot{u} = \dot{u}_0 + \dot{e} + \dot{q}
\]  

\(^*\) A black body by definition absorbs all incoming radiation.
EXERGETICS

Since the process is reversible, there is no entropy production, and the second law gives

\[ \dot{s} = \dot{s}_0 + \frac{\dot{q}}{T_0} \]  \hspace{1cm} (4)

The work or exergy that can be extracted from the radiation becomes

\[ \dot{\varepsilon} = \dot{u} - \dot{u}_0 - \dot{q} = \dot{u} - \dot{u}_0 - T_0(\dot{s} - \dot{s}_0) = \]

\[ = \sigma \left[ T^4 - T_0^4 - \frac{4}{3} T_0 (T^3 - T_0^3) \right] = \sigma T^4 \left[ 1 + \frac{1}{3} \left( \frac{T_0}{T} \right)^3 - \frac{4}{3} \frac{T_0}{T} \right] \]  \hspace{1cm} (5)

Thus, the exergy factor of black body radiation is

\[ \frac{\dot{\varepsilon}}{\dot{u}} = 1 + \frac{1}{3} \left( \frac{T_0}{T} \right)^3 - \frac{4}{3} \frac{T_0}{T} \]  \hspace{1cm} (6)

Let us apply this result to sunlight*, where \( T_{\text{sun}} = 6000 \text{ K} \) and \( T_{\text{earth}} = T_0 = 300 \text{ K} \), the exergy factor becomes from Eq. 6

\[ \left( \frac{\dot{\varepsilon}}{\dot{u}} \right)_{\text{sunlight}} = 1 + \frac{1}{3} \left( \frac{300}{6000} \right)^3 - \frac{4}{3} \frac{300}{6000} \approx 0.933 \]

**Q:** What is the exergy factor for heat at heat transfer between these temperatures, 300 and 6000 K? What does the difference indicate?

**Ex:** Compare the energy and exergy efficiencies of the following solar collectors, all working in full sunlight, i.e. 1000 W/m\(^2\) and the ambient temperature is 15°C:
1. A flat solar panel for heat generation of 1 m\(^2\) which heats 3 dl of water from 10 to 40°C per minute.
2. A concentration solar collector of 1 m\(^2\) which gives 1 g steam at ambient pressure per minute from water at 10°C.
3. Solar cell 95×65 mm which gives 0.45 V and 400 mA.

**S:**
1. Energy power in \( P_{\text{en.in}} \approx 1000 \times 1 = 1000 \text{ W} \)
Exergy power in \( P_{\text{ex.in}} \approx 1000 \times 0.933 \times 1 = 933 \text{ W} \)
Energy power out \( P_{\text{en.out}} = \dot{C} \Delta T \approx \frac{0.3}{60} \times 4200(40 - 10) = 630 \text{ W} \)
Exergy power out \( P_{\text{ex.out}} = \dot{C} \left( \Delta T - T_0 \ln \frac{T_{\text{out}}}{T_{\text{in}}} \right) \approx \)
\[ \approx \frac{0.3}{60} \times 4200 \left( 40 - 10 - 288.15 \times \ln \frac{313.15}{283.15} \right) \approx 20.6 \text{ W} \)
Energy efficiency \( \eta_{\text{ex}} \approx \frac{630}{1000} = 63\% \)

* The sun and the earth can be regarded as almost black bodies.
Exergy efficiency $\eta_{\text{ex}} \approx \frac{20.6}{933} \approx 2.2\%$

2. Energy power in $P_{\text{en,in}} \approx 1000 \times 1 = 1000$ W
   Exergy power in $P_{\text{ex,in}} \approx 1000 \times 0.933 \times 1 = 933$ W
   Energy power out $P_{\text{en,ut}} = \dot{C} \Delta T + \dot{H}_{\text{phase}} \approx \frac{0.001}{60} \left[ 4200(100 - 10) + 2.3 \times 10^6 \right] = 44.6$ W
   Exergy power out $P_{\text{ex,ut}} = \dot{C} \left( \Delta T - T_0 \ln \frac{T_{\text{ut}}}{T_{\text{in}}} \right) + \dot{H}_{\text{phase}} \times \frac{T_{\text{ut}} - T_0}{T_{\text{out}}} \approx \frac{0.001}{60} \left[ 4200 \left( 100 - 10 - 288.15 \times \ln \frac{373.15}{283.15} \right) + 2.3 \times 10^6 \times \frac{100 - 15}{373.15} \right] \approx 0.7 + 8.7 = 9.4$ W
   Energy efficiency $\eta_{\text{en}} \approx \frac{44.6}{1000} \approx 4\%$
   Exergy efficiency $\eta_{\text{ex}} \approx \frac{9.4}{933} \approx 1\%$

3. Energy power in $P_{\text{en,in}} \approx 1000 \times 95 \times 65 \times 10^{-6} \approx 6.2$ W
   Exergy power in $P_{\text{ex,in}} \approx 0.933 \times 6.175 \approx 5.8$ W
   Energy power in $P_{\text{en,ut}} = UI \approx 0.45 \times 0.4 = 0.18$ W
   Exergy power in $P_{\text{ex,ut}} = UI \approx 0.18$ W
   Energy efficiency $\eta_{\text{en}} \approx \frac{0.18}{6.2} \approx 2.9\%$
   Exergy efficiency $\eta_{\text{ex}} \approx \frac{0.18}{5.8} \approx 3.1\%$

Q: What are suitable applications of these different collectors?

Exergy of materials

A simplified introduction to the exergy of substances and materials is given below. Assume the pressure $P$ and the temperature $T$ to be constant, i.e. $P = P_0$ and $T = T_0$, then we have from the general expression of exergy, see App. 2:

$$E = \sum_i n_i (\tilde{\mu}_i - \tilde{\mu}_{i,0})$$  \hspace{1cm} (1)

where $\tilde{\mu}_i$ is the generalized chemical potential of substance $i$ in its present state and $\tilde{\mu}_{i,0}$ is the generalized chemical potential of substance $i$ in its environmental state.

Further, assume that the substance only depart from the environment in chemical potential $\mu$ and concentration $c$. Also, assume that the general chemical potential may be written

$$\tilde{\mu}_i = \mu^0_i + RT_0 \ln c_i$$  \hspace{1cm} (2)
where \( \mu_i^0 \) is the chemical potential for the material (substance) \( i \) in relation to its standard state, given from chemical tables, usually the pure element. Then the exergy becomes

\[
E = \sum n_i (\mu_i^0 - \mu_i^0) + RT_0 \sum n_i \ln \frac{c_i}{c_i^0}
\]

(3)

where \( \mu_i^0 \) is the chemical potential for the material in the environment in relation to its standard state.

For only one material we have

\[
E = n \left[ \mu^0 - \mu_i^0 + RT_0 \ln \frac{c}{c_0} \right]
\]

(4)

**Ex./S:** Let us calculate the exergy of the Swedish iron ore production.

The Swedish iron ore has an average content of iron of about 60% (weight) and usually consists of magnetite (Fe\(_3\)O\(_4\)). The molar weight of iron is 55.8 g, which implies that 1 kg of iron ore contains \( \frac{600}{55.8} = 10.7 \) mol of iron = 3.58 mol magnetite = 0.83 kg magnetite.*

Assume that the reference (environmental) state of iron is hematite (Fe\(_2\)O\(_3\)) in solid form and with molar concentration \( 2.7 \times 10^{-4} \) and that oxygen O\(_2\) is in gaseous form at partial pressure 20.40 kPa in the environment [Szargut (1980)].

The chemical potentials of iron in magnetite and hematite then become [kJ/mol]:†

\[
\mu^0(\text{Fe}_{\text{magnetite}}) \approx \frac{1}{3}(-1015.5 + 2 \times 3.84) \approx -335.9
\]

\[
\mu^0(\text{Fe}_{\text{hematite}}) \approx \frac{1}{2}(-742.2 + 1.5 \times 3.84) \approx -368.2
\]

Where 3.84 kJ is the amount of exergy released when the partial pressure of 1 mol of oxygen gas (O\(_2\)) decreases from 101.325 kPa to 20.40 kPa at 15°C‡, since this part is not included in the Gibbs free energy data.

\[
RT_0 \ln \left( \frac{c}{c_0} \right) \approx 8.314 \times (273.15 + 15) \times \ln \left( \frac{101.325}{20.40} \right) \approx 3840 \text{ J}
\]

Thus, the specific exergy of iron ore and iron then becomes, [MJ/kg]:§

\[
e_{\text{iron ore}} \approx 10.7 \left[ -335.9 - (-368.2) \right] \times 10^3 + 8.31 \times 288 \times \ln \left( \frac{0.83 \times 0.43}{2 \times 2.7 \times 10^2} \right) \approx 0.51
\]

* Since we lack data on molar fraction of iron in iron ore, we use instead kg iron per kg iron ore.
† *Handbook of Chemistry and Physics*, CRC, vol. 63, sida D-72: \( \Delta G_f(\text{Fe}_2\text{O}_3) = -177.4 \) kcal/mol = –742.2 kJ/mol, \( \Delta G_f(\text{Fe}_3\text{O}_4) = -242.7 \) kcal/mol = –1015.5 kJ/mol.
‡ ISA - International Standard Atmosphere is defined to 101.325 kPa, 15˚C and 0% humidity.
§ 3 of 7 atoms in the magnetite molecule is iron atoms, i.e. the iron concentration in magnetite is \( \frac{3}{7} \approx 0.43 \).
\[ e_{\text{iron}} \approx 17.9 \left[ \left( 0 - (-368.2) \right) \times 10^5 + 8.31 \times 288 \times \ln \left( \frac{1}{2 \times 2.7 \times 10^5} \right) \right] = 6.91 \]

where 1 kg iron corresponds to 17.9 mol.

These values are in correspondence with those of others (McGannon, 1971, Gyftopoulos et al., 1974).

The Swedish mining of iron ore amounted to 26.9 Mton in 1980, by assuming this to be magnetite it corresponds to 14 PJ. The Swedish steel production was 3.5 Mton, i.e. about 24 PJ of exergy. To produce this 5.7 Mton of ore, i.e. about 3 PJ, about 34 PJ of electricity and about 77 PJ of coal and other fuels where needed. The exergy efficiency then becomes 21%.

**Q:** Use the exergy calculator at [http://www.exergoeconomy.com/excalc/](http://www.exergoeconomy.com/excalc/) to see what values you get and compare with the above results?

**Ex./S:** Let us also apply this to desalination and calculate the exergy of fresh water. Assume that the reference (environmental) state of water is sea water. Sea water has an average content of water, \( \text{H}_2\text{O} \) of about 96.5% (weight) and the molar weight is 18 g, which implies that 1 kg of sea water contains \( 965/18 \approx 53.6 \) mol of water. The rest is mainly sodium chloride (NaCl) with a molar weight of 58.5 g, i.e. 1 kg of water contains \( 35/58.5 \approx 0.598 \) mol of NaCl. However, when NaCl is dissolved in water it becomes \( \text{Na}^+ \) and \( \text{Cl}^- \) ions, i.e. separate molecules. Thus 1 kg of water contains 53.6 mol of \( \text{H}_2\text{O} \), 0.598 mol of \( \text{Na}^+ \) and 0.598 mol of \( \text{Cl}^- \), i.e. together about 54.8 mol. The mol concentration of water in sea water then becomes about 97.8%. The exergy of pure water at ambient temperature 15°C then becomes

\[ E = R T_o \ln \left( \frac{c}{c_0} \right) \approx 8.314 \times (273.15 + 15) \times \ln \left( \frac{1}{0.978} \right) \approx 54.9 \text{ J/mol} \]

where the chemical potentials vanishes since we assume no chemical effects. The exergy of pure water then becomes about 3.05 kJ/kg or about 0.847 kWh/m³. If we instead assume 4.5% of salt and the ambient temperature of 30°C, then we get 72.0 J/mol, 4.0 kJ/kg or 1.1 kWh/m³. These exergies of fresh water corresponds to the minimum amount of exergy needed to produce it from the assumed sea water, respectively. The exergy of sodium chloride, on the other hand, becomes 184 kJ/kg and 182 kJ/kg respectively, i.e. less when the salt content in sea water increases.

**Exergy of nuclear fuel**

When the nuclear particles, i.e. nucleons, regroup into more probable states, exergy is released. In fission this occurs by splitting heavy atoms and in fusion by putting together light atoms into heavy atoms.
Energy per nucleon in an atom as function of atomic weight.

The difference in binding energies between the initial and the final state is released as scattered motion in the produced particles, like neutrons and new elements. The energy can be expressed as a change of mass, a mass shift, through the well known relation

\[ E = mc^2 \]

where \( c \) is the speed of light in vacuum. However, the lost mass is very small compared to the rest initial mass, e.g. in fission uranium-235 losses about 1‰ of its mass and in fusion to helium deuterium (\(^2\)H) losses about 64‰ of its mass. Thus, we write instead

\[ U = \Delta mc^2 \]  \hspace{1cm} (1)

where we also use \( U \) for internal energy, in this case nuclear.

Since, a part of the released energy in most cases appear as neutrinos, which can be regarded as invisible and non interactive, i.e. useless, we may write nuclear exergy as

\[ E = \Delta mc^2 - E_{\text{neutrino}} \]  \hspace{1cm} (2)

The energy of the neutrinos may sometime exceed up to 5% of the totally released energy.

**Q:** Estimate from the Fig. above the available exergy of 1 kg uranium? Compare this with the extracted exergy (electricity), which in today’s Light Water Reactors is about 1 TJ/kg uranium. What is this difference due to and what consequences does it bring to the waste?
**Exergy and information**

Even though, thermodynamics treats the physics of systems which from a macroscopic point of view may be very small (about $10^{-15}$ cm$^3$) are they still from microscopic point of view very big, therefor containing a large amount of particles ($10^{-13}$ cm$^3$ contains about $10^9$ atoms). Thus, a detailed knowledge of the motion of every particle is impossible. In statistical mechanics we consider the large amount of particles and use statistical methods to explain the macroscopic phenomena as the result of microscopic rules. Statistical mechanics, by this means the theoretical basis of understanding thermodynamics.

Statistical mechanics is also strongly linked to information theory, where we study incomplete knowledge. Thus, many concepts and relations are valid in all these fields, thermodynamics, statistical mechanics and information theory. Let us therefore look closer into information theory.

Assume a system of $N$ unique particles. The number of allowed states $\Omega$ of the system is exponentially depending on $N$. Let the probability of the j:th state be $P_j$ and the sum of the probabilities of all states to be 1, i.e. the system is in at least one state

$$\sum_{j=1}^{\Omega} P_j = 1$$

The entropy of the system is then defined from statistical mechanics as

$$S = -k \sum_{j=1}^{\Omega} P_j \ln P_j$$

where $k$ is the Boltzmann’s constant, $1.38054 \times 10^{-23}$ J/K.

The probabilities at equilibrium $P_j^0$ are such that they maximize the entropy $S$ independent of other restrictions on the system.

$$S_{eq} = S_{max} = -k \sum_{j=1}^{\Omega} P_j^0 \ln P_j^0$$

The available negentropy, negative entropy, of the system then becomes

$$-(S - S_{eq}) = S_{eq} - S = k \left( \sum_{j=1}^{\Omega} P_j \ln P_j - \sum_{j=1}^{\Omega} P_j^0 \ln P_j^0 \right)$$

From information theory we have the information or the information capacity $I$ in binary units (bits)

$$I = \frac{1}{\ln 2} \left( \sum_{j=1}^{\Omega} P_j \ln P_j - \sum_{j=1}^{\Omega} P_j^0 \ln P_j^0 \right)$$

Let us exemplify by a system of $N$ different particles with 2 possible states each, e.g. 0 or 1. Then we have $\Omega = 2^N$. If there are no other restrictions then all $P_j^0$ must be $2^{-N}$, see the Table below.
Total information about the system (one of \(P_j\) equals 1 and all the others equal 0) gives \(I = N\),

\[
I = \frac{1}{\ln 2} \left[ 0 - \sum_{j=1}^{N} 2^{-N} \ln \left( 2^{-N} \right) \right] = \frac{1}{\ln 2} \left[ -2^{-N} \frac{1}{2N} (-N) \ln 2 \right] = N
\]

For every particle there is information corresponding to a “yes” or “no” to a specific question. Every such answer corresponds to one binary unit (bit) of information.

Thus, negentropy and information are very closely linked

\[
S_{eq} - S = k'I
\]

where \(k' = k \ln 2 \approx 1.0 \times 10^{-23} \text{[J/K]}\).

One bit of information, thus, is equivalent to \(1.0 \times 10^{-23} \text{ J/K}\) of negentropy.

Exergy and negentropy is also related from a relation we already know:

\[
E = T_0 \left( S^{tot}_{eq} - S^{tot} \right)
\]

"tot" means the total system, here we only use system. From these relations we now get the following relation between exergy and information

\[
E = k'T_0 I
\]

where \(k'T_0 \approx 2.9 \times 10^{-21} \text{ [J]}\) is the amount of exergy related to 1 bit of information at room temperature.

It is important to observe that information or information capacity, not necessarily need to be meaningful from a human point of view. Information is here used as a measure of order or structure.

Within science information is just as fundamental as energy and matter. By small amount of information, processes converting huge amount of energy and matter can be controlled. As we have seen there is a fundamental relation between exergy and information, defined from information theory. However, as we will see information usually has a very small exergy value. Thus, it should be treated as a resource of one’s own.

Let us apply the concepts above on a physical system. Consider a container of volume \(V\), with an ideal mixture of two different ideal gases 1 and 2, see the Fig. below. The total
number of molecules is \( N \), divided into \( N_1 \) and \( N_2 \) respectively. The molar concentration then becomes \( x_1 = N_1/N \) and \( x_2 = N_2/N = 1 - x_1 \).

The gases are mixed in the container with pressure \( P \).

The gases are separated with the same pressure \( P \).

If we randomly pick one molecule from a specific place in the left container in the Fig. we face a probability \( P = (x_1, x_2)^* \) to get a specific molecule. The information we gain when we discover which molecule it is, becomes according to above

\[
I = \frac{1}{\ln 2} \left[ x_1 \ln \left( \frac{1}{x_1} \right) + x_2 \ln \left( \frac{1}{x_2} \right) \right]
\]

Let us now split the container in two parts with the volumes \( V_1 = x_1 V \) and \( V_2 = x_2 V \) respectively, and arrange the molecules so that \( V_1 \) only contains molecules 1 and \( V_2 \) only contains molecules 2, see the right part of the Fig. above. If we now randomly pick one molecule from a specified part of the container, then we know which molecule we get, and our knowledge and information increase by 0. By mixing the molecules information is lost, i.e. \( I \) per molecule, which can be related to a loss of exergy as above. Let us look closer to this.

**Mixing entropy**

Let us now calculate the maximal available work when we mix the two gases 1 and 2 as above. We may then get an expression of the amount of exergy, which should be related to the information \( I \), i.e. we will check the relation above.

Assume the container is in thermal equilibrium with the ambient at temperature \( T_0 \). Since the initial and final volumes are the same we may set the ambient pressure to 0. First allow gas 1 and 2 independently expand isothermally, see the processes a to b in the Fig. below. The gases are then mixed reversible by ideal semi-permeable walls, see process b to c. Please note there is no net force on the containers during the mixing process b to c, and also there is not heat flow. So, the mixing is completely reversible, i.e. the entropy is constant.

\* Please note that we here are forced to use the same symbol for pressure and probability. This problem usually occurs when you simultaneously treat different fields of science.
A reversible mixing of the gases through an isothermal expansion (a to b), followed by an iso-exergetic mixing (b to c).

When the gases are regarded as ideal the ideal gas model is valid, i.e. $PV = nRT = NkT$ and the work is obtainable as

$$W_i = \int_{v_i}^{v'} \frac{P_i}{v_i} dv' = \int_{v_i}^{v'} \frac{N_i k T_0}{v'} dv' = N_i k T_0 \ln \left( \frac{v}{v_i} \right) = N k T_0 x_i \ln \left( \frac{1}{x_i} \right)$$

which gives

$$W = W_1 + W_2 = N k T_0 \left[ x_1 \ln \left( \frac{1}{x_1} \right) + x_2 \ln \left( \frac{1}{x_2} \right) \right] = N k T_0 \ln 2I$$

Thus, we have the following relation between the exergy per molecule $E$ and information per molecule $I$ in the ordered system

$$E = kT_0 \ln 2I$$

This is analogous to what we had above.

Since the internal energy $U$ for an ideal gas only is a function of the temperature, we have for the isothermal process (a to b) that

$$Q_1 + Q_2 = W_1 + W_2$$

according to the first law. Thus, the entropy production $S$ for the expansion (a to b) becomes

$$S = \frac{Q}{T_0} = N k \ln 2I$$
If we assume the molecules to be identical, 1 or 2, then \( I = 1 \), i.e. each molecule in the ordered system is carrying 1 bit of information. The container may then be regarded as a binary memory, and in principle one molecule is enough to store 1 bit of information. In practice you need to make the reading easy and storing safe, and therefore repeat the information by using several molecules, i.e. so-called redundancy. Therefore, it is inadvisable to say that the ordered system contains \( N \) bits of information.

By information capacity we define the amount of information that a system can store or transfer. This amount of information is limited by the available exergy, as above.

Since information needs such a small amount of exergy the demand of high efficiency* has been weak. However, one area where increasing efficiency is an absolute necessity is in highly packed integrated circuits, where the exergy losses are converted into heat which may otherwise overheat the circuit. Increased efficiency also makes the circuits faster and more powerful.

A limited efficiency is usually needed since it implies that the storage and transfer of information becomes less sensitive for noise and other disturbances. Signals in electronic systems are always subject to thermal noise, and the amount of exergy per bit must be several magnitudes above the noise level \( kT \). However, the information transfer in biological systems when reproducing information and synthesizing proteins is far more efficient and the exergy use is sometimes only 10-100 \( kT/\text{bit} \). Even though, the probability of errors, or mutations, is very low.† This is possible because of the specific environment in which the information is transferred. Thus, the transfer of genetic information occurs in a “shielded” environment. This is similar to integrated circuits operating at super conductivity conditions.

Q: Give examples of phenomena which seriously may damage the transfer of genetic information.

Exergy is a measure of how much a system differs from equilibrium with the environment. The more a system differs from the environment the more information is needed to describe the system and the more information capacity can be carried by the system. The relation between exergy and information (or information capacity) is therefore as we have seen of fundamental significance.

Ex: The net flow rate of information to the earth from the sun is about:

\[
\frac{P_{\text{ex}}}{kT_0 \ln 2} \approx \frac{P_{\text{em}} \times 0.933}{kT_0 \ln 2} \approx \frac{1.2 \times 10^{17} \times 0.933}{1.38 \times 10^{23} \times 300 \times 0.693} \approx 4 \times 10^{37} \text{[bits/s]}
\]

Of this mankind make use of only a tiny fraction or about \( 5 \times 10^{13} \) bits. The relation between used and available information capacity becomes about \( 10^{-24} \). The same relation for energy is about \( 3 \times 10^{-5} \). Thus, mankind is a poor user of available information or exergy. One reason to this will be mentioned below.

Information must be stored and transported safely. To reach this we must use redundancy (over-explicitness) in codes and in copying, which implies access dissipation of energy to make the process irreversible (safe) enough. The explicitness in the process

---

* Since the relation between transferred or treated information and the used information capacity, both measurable in exergy, is so small.
† It should also be noticed that errors in the information transfer of biological systems is a necessity. The evolution is completely depending on mutations, i.e. errors in the reproduction of DNA.
increases on behalf of the information capacity. In biological systems there is a continuous debugging or control of the transferred information. (As you might know this becomes even more necessary in our increasingly computerized society, with the belonging flourish computer viruses.)

In daily communication of information the exergy use is often far too high. Thus the exergy per bit value becomes high, which implies that only a fraction of the available information capacity is utilized, as mentioned before. In the living nature the solar exergy is converted into highly ordered structures in the green plants. From an energy point of view this is a small amount, but from an exergy or information point of view huge amount is being utilized.

Let us compare the efficiency of information transfer, exergy per bit, for different systems, see Table below. This has the dimension temperature, $T_{\text{transfer}}$. The lower temperature the more efficient is the information transfer, but if this temperature becomes too low the thermal noise in the environment may ruin the information.

<table>
<thead>
<tr>
<th>Exergy per bit [J/bit]</th>
<th>$T_{\text{transfer}}$ [K]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Electric typewriter</td>
<td>1</td>
</tr>
<tr>
<td>Radio receiver</td>
<td>$5\times10^{-4}$</td>
</tr>
<tr>
<td>Television</td>
<td>$2\times10^{-5}$</td>
</tr>
<tr>
<td>Computer memory</td>
<td>$10^{-12}$</td>
</tr>
<tr>
<td>Human speech</td>
<td>$10^{-16}$</td>
</tr>
<tr>
<td>Human ear</td>
<td>$10^{-17}$</td>
</tr>
<tr>
<td>Human eye</td>
<td>$5\times10^{-18}$</td>
</tr>
<tr>
<td>Protein biosynthesis</td>
<td>$4.6\times10^{-21}$</td>
</tr>
</tbody>
</table>

The sensitivity of the retina is such that the human eye functions near the quantum mechanical limit. It is in fact enough with only a few quanta to cause a reaction in the eye. Storage of information in a computer memory has a characteristic temperature of about $10^5$ times the temperature for sight. But on the other hand the time resolution, and thus the rate, is about $10^5$ times higher than for the eye. The conclusion is that living creatures and computers are each efficient in their use of exergy to receive and transfer information. The biosynthesis in a cell is after all many times more efficient.

Electronic circuits, man’s ear and eye, and protein biosynthesis are drawn in a logarithmic information rate (frequency) - power diagram in the Fig. below. The minimum power requirements of integrated circuits or an elementary process in a circuit, such as a measurement, a storage or a logic operation, requires an energy conversion which is large in relation to $kT \approx 4\times10^{-21}$ J (at room temperature). This is necessary to avoid thermal fluctuations which are the cause of noise in electronic circuits, Brownian movement, etc. The room temperature is marked with a straight line. A process must ordinarily be far above this line to avoid serious disruption from thermal fluctuations. The protein biosynthesis is surprisingly close to this critical line. The transfer from messenger RNA to proteins, which uses half of the power requirements, is actually below this line. This is possible because all of 4.3 bits of information are transferred at each transformation. The ear and the eye occupy strikingly large areas in the diagram, covering many orders of magnitude. Electronics is often regarded as the best technology we know today. However, from an exergy efficient viewpoint, as the figure below shows, life itself is far more efficient in its use of exergy to construct biological structures of matter.
Biological structures live by transforming energy from one form to another. The solar exergy is used to build up complicated organic matter. This information is transferred from generation to generation. The information which is stored in the genetic matter (DNA molecule) directs the construction of matter when suitable conditions are given. When biological material, e.g. wood or cellulose, is used as construction material, it is these structures and this information that we benefit from.

Both exergy and information are measures of the deviation from a reference environment. The exergy is the maximal amount of work that can be derived from such a deviation, but the work is also needed to maintain and transfer information. Thus, the relationship between exergy and information is very close.

**Q:** Consider the total information flow towards the earth during its lifetime. What “happened”, from an information perspective after about 2-3 billion years, and what was then the total amount of information that had reached the earth? What “happened”, after another 2-3 billion years? The sun is now about middle age, so if the evolution continues, what do you think will “happen” in the future, after another 2-3 billion years and another 2-3 billion years? Why is this so hard to understand?

These are some of my personal ideas about this. Let us consider the total information flow towards the earth during its lifetime, i.e. about 10 billion years, see the Figure below. Matter and the laws of nature were there from the start. After about 2-3 billion
years, when the earth had received about $10^{54}$ bits of information life was able to
develop on the earth into a myriad of life forms. Then after another 2-3 billion years the
ability to be aware of one self appeared, i.e. to be conscious, the mind or the soul. The
sun, which is “father” of our solar system is now about middle age, so if the evolution
continues, what will appear in the future, after another 2-3 billion years and another 2-3
billion years? To answer this question is as difficult for us as it would have been for the
living organisms some billions of years ago, or a single bacterium of today to realize
Homo sapiens. Matter has organized itself into things as life, from attractive forces acting
on a microscopic level. In a similar way minds might organize itself into some form of
immaterial structure, where love might be one attractive force of importance. In this
immaterial universe, which is far beyond the so called Cyberspace, each mind would be
just as simple or stupid as molecules in the material world. However, this is just personal
speculations that are up to every one to fantasize about. The main reason for bringing up
this subject is to give a perspective of the human culture, it’s believes, myths and opinion
about the importance of itself, especially the European culture, i.e. the white man.

Finally let us compare the efficiency of a modern personal computer with other means
of information transfer, see the Table below.

<table>
<thead>
<tr>
<th>Information system</th>
<th>Efficiency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reading text by lamplight</td>
<td>$10^{-20} - 10^{-21}$</td>
</tr>
<tr>
<td>Motorola Power PC or Intel Pentium processor</td>
<td>$10^{-13}$</td>
</tr>
<tr>
<td>DNA-replication, protein synthesis</td>
<td>$10^{-1} - 10^{-2}$</td>
</tr>
</tbody>
</table>

As we see a personal computer is far more efficient than reading by lamplight, but
compared with living nature a computer is still very poor. Today’s most sophisticated
computers are nothing but “steam engines” compared to future computers — please,
remember this!

*The natives of America use to say father sun and mother earth to describe the forces that creates our
world.
Summary

Let us close this Chapter with a schematic table of some forms of energy listed by decreasing quality, from “extra superior” to “valueless”. The quality of the energy is indicated by the exergy factor. The quality index ranges from 1 for potential energy, kinetic energy and electricity (which are pure exergy and thus can be totally transformed into all other forms of energy) to 0 for the exergy-lacking heat radiation from the earth. The quality index of heat energy varies considerably from 0.6 for hot steam to zero for heat radiation from the earth.

<table>
<thead>
<tr>
<th>Form of energy</th>
<th>Quality index (Exergy factor)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Extra superior</strong></td>
<td></td>
</tr>
<tr>
<td>Potential energy</td>
<td>1</td>
</tr>
<tr>
<td>Kinetic energy</td>
<td>1</td>
</tr>
<tr>
<td>Electrical energy</td>
<td>1</td>
</tr>
<tr>
<td><strong>Superior</strong></td>
<td></td>
</tr>
<tr>
<td>Nuclear energy</td>
<td>about 0.95</td>
</tr>
<tr>
<td>Sunlight</td>
<td>0.93</td>
</tr>
<tr>
<td>Chemical energy</td>
<td>about 1</td>
</tr>
<tr>
<td>Hot steam</td>
<td>0.6</td>
</tr>
<tr>
<td>District heating</td>
<td>0.3</td>
</tr>
<tr>
<td><strong>Inferior</strong></td>
<td></td>
</tr>
<tr>
<td>Waste heat</td>
<td>0.05</td>
</tr>
<tr>
<td><strong>Valueless</strong></td>
<td></td>
</tr>
<tr>
<td>Heat radiation from the earth</td>
<td>0</td>
</tr>
</tbody>
</table>

1 e.g. highly situated water resources
2 e.g. waterfalls
3 e.g. the energy in nuclear fuel
4 e.g. oil, coal, gas or peat
CYCLIC PROCESSES

Cyclic processes in general

First we will consider cyclic processes in general.

A cyclic process is a process where the working medium, e.g. a gas goes through a number of states in a cyclic pattern, i.e. each state is repeated in every cycle. We also assume the process to be reversible.

The first law applied to the state change 1 to 2 along the upper curve in Fig. below gives:

\[ Q_H = U_2 - U_1 + W_H \]

where \( Q_H \) is added heat and \( W_H \) is the performed work, represented by the area between the higher curve 1 to 2 and the \( V \)-axis, i.e. \( \int PdV \). When the medium is returned to the initial state along the lower curve 2 to 1, we get as above:

\[ -Q_L = U_1 - U_2 + (-W_L) \]

where the heat \(-Q_L\) is added and the work \(-W_L\) is extracted, now represented by the area between the lower curve 2 to 1 and the \( V \)-axis.

For the complete cycle we have:

\[ Q_H - Q_L = U_2 - U_1 + W_H + U_1 - U_2 - W_L \]

\[ Q_H - Q_L = W_H - W_L = W \]

Thus, the net supply of heat \( Q_H - Q_L \) is equivalent to the net amount of performed work \( W \), which is indicated by the lined area in the Fig.

We can now define a thermal efficiency, \( \eta_t \), of the cycle as the relation between the work output and supplied heat.

\[ \eta_t = \frac{W}{Q_H} = \frac{Q_H - Q_L}{Q_H} = 1 - \frac{Q_L}{Q_H} \]
The Carnot cycle

We will now study the Carnot cycle, the cycle which has the highest theoretical efficiency for thermal engines. According to the second law heat must go from high to low temperature in order to produce work. The maximal work, to be extracted for two heat reservoirs at temperatures $T_H$ and $T_L$, is the rectangular area in a $TS$-diagram. This cycle consists of two isothermal ($T =$ constant) and two adiabatic or isentropic ($S =$ constant) processes, see Fig. below.

A Carnot process consists of two reversible adiabatic and two reversible isothermal processes.

1-2: isothermal “expansion”
2-3: adiabatic expansion
3-4: isothermal “compression”
4-1: adiabatic compression

From the Fig. we see: $Q_H = T_H(S_2 - S_1)$ and $Q_L = T_L(S_2 - S_1)$, which gives the work $W$ and the efficiency $\eta_{\text{Carnot}}$:

\[
W = Q_H - Q_L = (T_H - T_L)(S_2 - S_1) \\
\eta_{\text{Carnot}} = \frac{W}{Q_H} = \frac{(T_H - T_L)(S_2 - S_1)}{T_H(S_2 - S_1)} = 1 - \frac{T_L}{T_H}
\]

Assume the following heat engine to realize the Carnot cycle. An ideal gas is contained in a system of two heat exchangers, a turbine and a compressor, see Fig. below. The turbine and the compressor are on the same axis, and the compressor is powered by the turbine so the output work is

\[
W = W_{\text{turbine}} - W_{\text{compressor}}
\]
We must assume that process is ideal to be able to describe it, which means no heat leakage or friction, i.e. completely reversible conditions. The high temperature in the upper heat exchanger is $T_H$ and in the lower $T_L$ and $T_H > T_L$.

Let us follow one unit mass through the cycle.

1-2: isotherm, the heat $Q_H$ at temperature $T_H$ is received in the upper heat exchanger.

2-3: reversible adiabatic, $Q = 0$, the gas is expanded in the turbine and work $W_{\text{turbine}}$ is extracted, the temperature decrease to $T_L$.

3-4: isotherm, the heat $Q_L$ at temperature $T_L$ is emitted in the lower heat exchanger.

4-1: reversible adiabatic, $Q = 0$, the gas is compressed, which uses work $W_{\text{compressor}}$, and the temperature increase to $T_H$.

Let us now analyze the process step by step.

**Isotherms:**

1-2: $Q_H = RT_H \ln \frac{V_2}{V_1}$

3-4: $Q_L = -RT_L \ln \frac{V_3}{V_4} = RT_L \ln \frac{V_4}{V_3}$

**Adiabatic:**

2-3: $T_H V_2^{\kappa - 1} = T_L V_3^{\kappa - 1}$

4-1: $T_L V_4^{\kappa - 1} = T_H V_1^{\kappa - 1}$

which gives

$$\frac{T_H}{T_L} = \left(\frac{V_3}{V_2}\right)^{\kappa - 1} = \left(\frac{V_4}{V_1}\right)^{\kappa - 1} \Rightarrow \frac{V_2}{V_1} = \frac{V_3}{V_4}$$

The thermal efficiency of the Carnot cycle becomes:
which is what we should expect.
—Why is this efficiency not reachable in practice? At first, we have a temperature difference in the heat exchangers and all mechanical parts suffer from friction.

**Ex:** The surface water in a lake becomes warm in the summer about 20°C, whereas the bottom water is only 4°C. What is the highest thermal efficiency we can achieve with this temperature difference?

**S:** The highest efficiency is the Carnot efficiency, thus:

\[
\eta_{\text{Carnot}} = 1 - \frac{T_L}{T_H} \approx 1 - \frac{273 + 4}{273 + 20} \approx 0.0546 = 5.5\%
\]

### Inverse Carnot cycle

Above we used the Carnot cycle as a heat engine to extract work from two heat reservoirs. We will now reverse the cycle, which is possible because it is reversible. Then instead work will be used to produced heat, \( Q_H \) according to the relation \( W = Q_H - Q_L \).

One example of this is a heat pump or a refrigerator, which is actually the same thing. In the heat pump we use the produced heat and in the refrigerator we use the simultaneously produced cold, i.e. the removal of heat.

For the refrigerator we have a coefficient of performance (COP) for the produced cold,

\[
\text{COP}_{\text{cold}} = \frac{\text{Removed heat}}{\text{Used work}}
\]

For a Carnot process we have:
$\text{COP}_{\text{cold, Carnot}} = \frac{Q_L}{W} = \frac{Q_L}{Q_{\text{H}} - Q_L} = \frac{1}{\frac{Q_{\text{H}}}{Q_L} - 1} = \frac{1}{\frac{T_{\text{H}}}{T_L} - 1}$

since $\frac{Q_{\text{H}}}{Q_L} = \frac{T_{\text{H}}}{T_L}$ for a Carnot cycle.

For a heat pump we get analogously,

$\text{COP}_{\text{heat}} = \frac{\text{Delivered heat}}{\text{Used work}}$

$\text{COP}_{\text{heat, Carnot}} = \frac{Q_{\text{H}}}{W} = \frac{Q_{\text{H}}}{Q_{\text{H}} - Q_L} = \frac{1}{1 - \frac{Q_L}{Q_{\text{H}}}} = \frac{1}{1 - \frac{T_L}{T_{\text{H}}}} = \frac{1}{\eta_{\text{Carnot}}}$

**Thermodynamics of steam**

Before we study steam cycles we will treat the thermodynamics of steam. Since, steam is a gas close to condensation it can not be treated as an ideal gas. Steam cycles are the most common cycles in power industry, e.g. fossil and nuclear fueled power plants. Let us first look at the steam pressure curve, which shows the different phases of water in a $PV$-diagram, see the Fig. below.

To the left of the curve we have only water as liquid, to the right only steam and gas. On the curve we have liquid and steam simultaneously, and at the critical point the difference between liquid and gas vanishes, i.e. a liquid is a compressed gas.

We will also study the transition between gas and liquid in a $PV$-diagram, see Fig. below.
Let us “walk” along an isotherm, \( T = T_1 \), and start at the left end in the \( PV \)-diagram. Here we are in the liquid region, and if the pressure drops the volume increases only slightly, the liquid is almost incompressible. At 1 we have saturated liquid that starts to boil, and along 1 to 4 the liquid gradually boils to gas, the volume increases but the pressure is constant, and at 4 all liquid has turned to saturated gas. if we continue to right the pressure will decrease and the volume increase, as for an ideal gas.

By doing the same procedure for different temperatures we get a lot of data, for saturated liquid and saturated gas. By connecting these we get the phase curves for saturated liquid and saturated gas, and between them we have the damped steam, where liquid and gas appear simultaneously.

The critical point we find at the top, where saturated liquid becomes saturated gas without increasing volume. The critical point for water is:

\[
\begin{align*}
P_{\text{critical}} &= 221.29 \times 10^5 \text{Pa} \\
T_{\text{critical}} &= 647.3 \text{ K} \iff \theta_{\text{critical}} = 374.15^\circ \text{C} \\
v_{\text{critical}} &= 3.1 \times 10^{-3} \text{ m}^3/\text{kg}
\end{align*}
\]

At temperatures higher than \( T_{\text{critical}} = 374.15^\circ \text{C} \) water can only appear as gas. Along the isotherm \( T = T_{\text{critical}} \) to the critical point the liquid turns to gas with no change of volume.

From now we assign saturated gas by “g” and saturated liquid by “f” (fluid).

The specific gas content or quality of the steam, \( x \), is the relative amount of gas in the damped steam, i.e.

\[
x = \frac{\text{kg gas}}{\text{kg damped steam}}
\]
The specific liquid content then becomes 1–x, i.e.

\[
1 - x = \frac{\text{kg liquid}}{\text{kg damped steam}}
\]

The specific volume \( v \) [\( \text{m}^3/\text{kg} \)] of the damped steam (liquid + gas) at a specific temperature can be calculated from:

\[
v = xv_g + (1 - x)v_f
\]

**Ex:** A container contains a damped steam at 3 bar. The volume is 20 liter and the mass of the mixture is 0.04 kg. What is the gas content?

**S:** First calculate the specific volume of the damped steam!

\[
v = \frac{20 \times 10^{-3}}{0.04} = 0.5 \left[ \text{m}^3/\text{kg} \right]
\]

From steam tables we find for \( P = 3 \) bar:

\[
\begin{align*}
v_f &= 0.00107 \text{ m}^3/\text{kg} \\
v_g &= 0.60567 \text{ m}^3/\text{kg}
\end{align*}
\]

By rewriting the relation between \( x, v, v_f \) and \( v_g \) we get:

\[
v = v_f (1 - x) + v_g x \Rightarrow x = \frac{v - v_f}{v_g - v_f} = \frac{0.5 - 0.00107}{0.60567 - 0.00107} \approx 0.8.
\]

**TS-diagram**

Since \( dS = \frac{\delta Q}{T} \), where \( S \) is entropy and \( \delta Q \) is heat added of temperature \( T \), then we can calculate the added heat, \( Q \), of a process 1 to 2, as:

\[
Q_{1-2} = \int_{1}^{2} TdS
\]

In a **TS**-diagram the added heat is the area below the curve \( T(S) \) and between state 1 and 2, as shown in the Fig. below.
In technical applications one usually set $h = 0$ and $s = 0$ for water as liquid at $0^\circ$C and the corresponding saturation pressure. Let us make a $Ts$-diagram for steam, see Fig. below.

The isobars almost follow the curve of saturated liquid up to the boiling point.

When we add heat to the water at constant pressure we have $q = h_2 - h_1$ [J/kg], i.e. the added heat is equal to the change of enthalpy.

Assume we have 1 kg 0 degree (273 K) water at $p_1$ to be heated to boiling point. The added heat $q$ then becomes

$$q = h_f - 0 = h_f$$

where $h_f$ is the enthalpy at the boiling point and pressure $P_1$, i.e. at the saturation curve. Thus, $q$ becomes the area in the $Ts$-diagram below the isobar $P = P_1$.

The heat of evaporation, $r$, we get in the same way if we add heat during constant pressure $P_1$, i.e.

$$r = h_g - h_f$$

where $h_g$ is the enthalpy of the saturated gas and $h_f$ is the enthalpy of the saturated liquid. $r$ is also the surface in the $Ts$-diagram below the isobar $P = P_1$, in the damped zone.

To determine the enthalpy of damped steam we use the specific gas content, $x$. In the same way as we got the specific volume of the damped steam we can now determine the specific enthalpy $h$ [J/kg] of the damped steam

$$h = x h_g + (1-x) h_f$$

if we use that $r = h_g - h_f$ we get

$$h = h_f + xr.$$
Similarly, we also get the specific entropy $s$ [J/kg K] of the damped steam

$$s = xs_g + (1-x)s_f$$

The heat content of the superheated steam then becomes

$$h_s = q + r + q_s = h_f + r + q_s = h_g + q_s$$

**R:** Make your self acquainted with steam tables!

**Ex:** Liquid water at $P = 10$ bar and $\theta = 120^\circ$C is heated to steam with $x = 0.90$ at constant pressure. What is the specific heating need?

**S:** From steam tables we get at $P = 10$ bar saturation state $179.88 \approx 180^\circ$C and $h_f = 762.63$ kJ/kg.

If we assume that $c_p$ is constant in the interval 120-180°C then the enthalpy of water at 120°C becomes

$$h_{180} = c_p(180 - 0)$$
$$h_{120} = c_p(120 - 0)$$

Which gives

$$h_{120} = \frac{h_{180}}{180} \times 120 \approx \frac{762.6}{180} \times 120 \approx 509 \text{[kJ/kg]}$$

For the damped steam we get

$$h = xh_g + (1-x)h_f \approx 0.90 \times 2778 + (1-0.9) \times 762.6 \approx 2576 \text{[kJ/kg]}$$

The added heat then becomes

$$q = h - h_{120} \approx 2576 - 509 = 2067 \text{kJ/kg}$$

**Ex:** We have a closed 4 m$^3$ container with 800 kg of water at pressure 0.1 bar. How much heat is required to increase the pressure to 20 bar?

**S:** The volume is constant, so we have

$$q = c_v \Delta T = u_2 - u_1 = h_2 - h_1 - v(P_2 - P_1)$$

the specific volume is $v = \frac{4}{800} = 0.005$ m$^3$/kg

We assume only water in the container, which will be damped steam. From steam tables we get:

State 1: $P = 0.1$ bar

$\nu_f = 1.01 \times 10^{-3}$ m$^3$/kg
$\nu_g = 14.67$ m$^3$/kg
$\nu = 0.005$ m$^3$/kg
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From \( v = v_g x_1 + (1-x_1) v_f \), we get \( x_1 = 0.00027 \)

When we know \( x_1 \) we can calculate the enthalpy, i.e. the heat content of the damped steam at \( P = 0.1 \) bar.

\[
h_1 = h_g x_1 + (1-x_1)h_f \approx 192.5 \text{ kJ/kg}
\]

State 2: \( P = 20 \) bar

In the same way we get \( x_2 = 0.0388 \) and \( h_2 = 981.9 \) kJ/kg.

The added heat then becomes

\[
Q = m[ h_2 - h_1 - v(P_2 - P_1)] \approx 800[981.9 \times 10^3 - 192.5 \times 10^3 - 0.005(20 \times 10^5 - 0.1 \times 10^5)] \approx 623 \text{ MJ}
\]

Mollier or hs-diagram

In the same way we have drawn \( PV \)-diagrams and \( Ts \)-diagrams for steam we can also make \( hs \)-diagrams or Mollier-diagrams. In the Mollier-diagram we also have curves for isobars and isotherms. See Fig. below or a steam table.

Ex: 10 kg steam per second at \( P = 20 \) bar, \( \theta = 400^\circ \)C expands adiabatically (isentropically) to \( P= 2 \) bar. Determine the available power.

S: The available power is work per unit time, and from the first law for an adiabatic process, i.e. \( Q = 0 \), we have

\[
W_i = H_1 - H_2 = m(h_1 - h_2)
\]
The steam expands adiabatically, i.e. the entropy $s$ is constant.

**State 1:** $P_1 = 20 \text{ bar}, \ \theta_1 = 400^\circ \text{C}$, gives $h_1 \approx 3.25 \text{ MJ/kg}$

**State 2:** $P_2 = 2 \text{ bar}, \ s = \text{ constant}$. Find the point $P_1, \theta_1$, then find $P_2 = 2 \text{ bar}$ along the line $s = \text{ constant}$ and get $h_2 = 2.70 \text{ MJ/kg}$.

$$w_i = h_1 - h_2 \approx 3.25 - 2.70 = 0.55 \text{ MJ/kg} = 550 \text{ kJ/kg}$$

So, when 10 kg steam per second expands the available power is $\dot{W}_i = 5500 \text{ kW}$.

**Steam power processes**

Steam is often used in industry for heating, drying and cleaning. The power industry uses steam to transfer the power or exergy in a fuel (combustion or fission) through a steam generator in a furnace to motion in a turbine connected to an electric generator.

As we have seen the Carnot process is the most efficient process to transfer exergy of heat to mechanical exergy (work). In the $TS$-diagram below the Carnot cycle is shown for a steam process.

An example of such a heat power plant is shown below.
In the evaporator (steam generator) water is converted from saturated liquid, 4 to saturated gas, 1. The heat is usually extracted from the exhaust gases by heat exchangers, and the evaporation occurs at constant pressure $P_{H}$ and constant temperature $T_{H}$. In the turbine the saturated gas expands adiabatically to 2 with a lower pressure $P_{L}$. The steam in this state of high quality, i.e. the gas content is high. According to the first law of a steady state reversible adiabatic process, we have:

$$W_{turbine} = H_{1} - H_{2}$$

The steam is condensed at constant pressure $P_{L}$ and constant temperature $T_{L}$, to state 3. The steam in this state is of low quality, i.e. the gas content is low and the liquid content is high. By a heat exchanger the heat is delivered to cooling water. After that the steam is compressed adiabatically to its initial state 4. In this compression the remaining gas will turn to liquid, i.e. the compressor faces a very big change in volume implying an big and expensive compressor. Thus, it is more economical to cool the steam to saturated liquid at pressure $P_{L}$ and temperature $T_{L}$, see Fig. below.

This process is called Clausius- Rankine process or just Rankine process. The pressure increase from state 3 to 4 can now instead be obtained with a pump, see Fig. below. State 4
will now be in the liquid region since the pressure increase is reversible, \( s_3 = s_4 \). The heating of the liquid to saturated liquid will now occur in the evaporator instead.

**Ex:** Determine how the energy and exergy conversions change when we choose a Rankine process instead of a Carnot process in the case \( P_H = 5 \text{ bar} \) and \( P_L = 1 \text{ bar} \). Also estimate the thermal energy and exergy efficiencies (\( T_0 = 293.15 \text{ K} \)).

**S:** The processes are identical beside states 3 and 4. In state 1 we have saturated gas at \( P_H = 5 \text{ bar} \), i.e. from steam tables we get

\[
\begin{align*}
  h_1 &\approx 2748.79 \text{ kJ/kg} \\
  s_1 &\approx 6.8219 \text{ kJ/kg K} \\
  e_1 &= h_1 - T_0 s_1 \approx 2748.79 - 293.15 \times 6.8219 \approx 748.95 \text{ kJ/kg}
\end{align*}
\]

We now must determine the quality of steam in state 2, \( x_2 \). The entropy in states 2 and 1 are the same, i.e.

\[
  s_1 = s_2 \approx x_2 \cdot 7.3590 + (1 - x_2) \cdot 1.3028 \approx 6.8219 \Rightarrow x_2 \approx 0.91131.
\]

From steam tables, we can now calculate the enthalpy and exergy in state 2:

\[
\begin{align*}
  h_2 &\approx 417.550 + 0.91131 \times 2257.71 \approx 2475.03 \text{ kJ/kg} \\
  s_2 &\approx 0.08869 \times 1.3028 + 0.91131 \times 7.3590 \approx 6.8219 \text{ kJ/kg K} \\
  e_2 &= h_2 - T_0 s_2 \approx 2475.03 - 293.15 \times 6.8219 \approx 475.19 \text{ kJ/kg}
\end{align*}
\]

In state 4 we have for the Carnot process saturated liquid at \( P_H = 5 \text{ bar} \), i.e. from steam tables we get:

\[
\begin{align*}
  h_4 &\approx 640.16 \text{ kJ/kg} \\
  s_4 &\approx 1.8604 \text{ kJ/kg K} \\
  e_4 &= h_4 - T_0 s_4 \approx 640.16 - 293.15 \times 1.8604 \approx 94.78 \text{ kJ/kg}
\end{align*}
\]

For the Rankine process we have liquid at \( P_H = 5 \text{ bar} \) and \( s_4 = s_3 \). Let us therefor wait to calculate this state. First we determine the exergy in state 3 for the Carnot process.
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Then we need to know the quality of the steam, \( x_3 \). The entropy in states 3 and 4 are the same, i.e. from steam tables we get

\[
\begin{align*}
  s_4 &= s_3 \approx x_3 \times 7.3590 + (1 - x_3)1.3028 \approx 1.8604 \Rightarrow x_3 \approx 0.09207. \\
  h_1 &\approx 417.550 + 0.09207 \times 2257.71 \approx 625.42 \text{ kJ/kg} \\
  s_3 &\approx 0.90793 \times 1.3028 + 0.09207 \times 7.3590 \approx 1.86039 \text{ kJ/kg K} \\
  e_3 &\approx 625.42 - 293.15 \times 1.86039 \approx 80.05 \text{ kJ/kg}
\end{align*}
\]

For the Rankine process it is easier since we have saturated liquid

\[
\begin{align*}
  h_3 &\approx 417.550 \text{ kJ/kg} \\
  s_3 &\approx 1.3028 \text{ kJ/kg K} \\
  e_3 &\approx 417.550 - 293.15 \times 1.3028 \approx 35.63 \text{ kJ/kg}
\end{align*}
\]

Thus we know, for state 4 that \( P_{\text{H}} = 5 \text{ bar} \) and \( s_4 = s_3 \approx 1.3028 \text{ kJ/kg K} \), which principally is enough to determine the state in detail, but it is better to first calculate the pumping work. We know the specific volume of the liquid to be pumped and the pressure levels. If we assume the liquid to be incompressible we get:

\[
\begin{align*}
  w_{\text{pump}} &= v(P_{\text{H}} - P_{\text{L}}) \\&\approx 0.00107(5 - 1)\frac{105}{1000} \approx 0.43 \text{ kJ/kg}
\end{align*}
\]

Where we used the average value of the specific volume in the two states. As we see the pump work is almost negligible. Thus, the state becomes

\[
\begin{align*}
  h_4 &= h_3 + w_{\text{pump}} \approx 417.55 + 0.43 \approx 417.98 \text{ kJ/kg} \\
  s_4 &= s_3 \approx 1.3028 \text{ kJ/kg K} \\
  e_4 &= e_3 + w_{\text{pump}} \approx 35.63 + 0.43 \approx 36.06 \text{ kJ/kg}
\end{align*}
\]

Thus, for the enthalpy we have:

\[
\begin{align*}
  h_1 &\approx 2748.79, h_2 \approx 2475.03, h_3 \approx 625.42 \text{ (Carnot)}, h_3 \approx 417.55 \text{ (Rankine)}, h_4 \approx 640.16 \text{ kJ/kg (Carnot)}, \text{ and } h_4 \approx 417.98 \text{ (Rankine)}
\end{align*}
\]

For the Carnot process the compressor work becomes:

\[
\begin{align*}
  w_{\text{compressor}} &= h_4 - h_3 \approx 640.16 - 625.42 \approx 15 \text{ kJ/kg}.
\end{align*}
\]

For the Rankine process the pump work becomes:

\[
\begin{align*}
  w_{\text{pump}} &= h_4 - h_3 \approx 417.98 - 417.55 \approx 0.43 \text{ kJ/kg}, \text{ as we already know.}
\end{align*}
\]

For the Carnot process the heat to cooling water becomes:

\[
\begin{align*}
  q_L &= h_2 - h_3 \approx 2475.03 - 625.42 \approx 1850 \text{ kJ/kg}.
\end{align*}
\]

For the Rankine process the heat to cooling water becomes:

\[
\begin{align*}
  q_L &= h_2 - h_3 \approx 2475.03 - 417.55 \approx 2057 \text{ kJ/kg}.
\end{align*}
\]

For the Carnot process the added heat becomes:

\[
\begin{align*}
  q_H &= h_1 - h_4 \approx 2748.79 - 640.16 \approx 2109 \text{ kJ/kg}.
\end{align*}
\]

For Rankine process the added heat becomes:

\[
\begin{align*}
  q_H &= h_1 - h_4 \approx 2748.79 - 417.98 \approx 2331 \text{ kJ/kg}.
\end{align*}
\]

The utilized energy in the turbine, which is the same in both cases becomes:

\[
\begin{align*}
  w_{\text{turbine}} &= h_1 - h_2 \approx 2748.79 - 2475.03 \approx 274 \text{ kJ/kg}.
\end{align*}
\]

For the exergy we have:

\[
\begin{align*}
  e_1 &\approx 748.95, e_2 \approx 475.19, e_3 \approx 80.05 \text{ (Carnot)}, e_3 \approx 35.63 \text{ (Rankine)}, e_4 \approx 94.78 \text{ kJ/kg (Carnot)}, \text{ and } e_4 \approx 36.06 \text{ (Rankine)}, \text{ which implies:}
\end{align*}
\]
Carnot: $w_{\text{compressor}} = e_4 - e_3 \approx 94.78 - 80.05 \approx 15$ kJ/kg, as above.
Rankine: $w_{\text{pump}} = e_4 - e_3 \approx 36.06 - 35.63 \approx 0.43$ kJ/kg, as above.
Carnot: $q_L = e_2 - e_3 \approx 475.19 - 80.05 \approx 395$ kJ/kg.
Rankine: $q_L = e_2 - e_3 \approx 475.19 - 35.63 \approx 440$ kJ/kg.
Carnot: $q_H = e_1 - e_4 \approx 748.95 - 94.78 \approx 654$ kJ/kg.
Rankine: $q_H = e_1 - e_4 \approx 748.95 - 36.06 \approx 713$ kJ/kg.
Carnot and Rankine: $w_{\text{turbine}} = e_1 - e_2 \approx 748.95 - 475.19 \approx 274$ kJ/kg, as above.

The thermal energy efficiency, i.e. $\eta_{\text{en}}$ becomes in the two cases:

$$\eta_{\text{en,Carnot}} = \frac{w_{\text{turbine}} - w_{\text{compressor}}}{q_H} \approx \frac{274 - 15}{2109} \approx 12.3\%$$

$$\eta_{\text{en,Clausius–Rankine}} = \frac{w_{\text{turbine}} - w_{\text{pump}}}{q_H} \approx \frac{w_{\text{turbine}}}{q_H} \approx \frac{274}{2331} \approx 11.8\%$$

The thermal exergy efficiency, i.e. $\eta_{\text{ex}}$ becomes in the two cases:

$$\eta_{\text{ex,Carnot}} = \frac{w_{\text{turbine}} - w_{\text{compressor}}}{q_H} \approx \frac{274 - 15}{654} \approx 39.6\%$$

$$\eta_{\text{ex,Clausius–Rankine}} = \frac{w_{\text{turbine}} - w_{\text{pump}}}{q_H} \approx \frac{w_{\text{turbine}}}{q_H} \approx \frac{274}{713} \approx 38.4\%$$

As we expect the Rankine process has a lower efficiency than the Carnot process, which is the most efficient process.

**Q:** Check that we have both energy and exergy balances for the processes, i.e. that both the first and second law is valid. What is the reason for the big difference between the thermal energy and exergy efficiencies and why are they so poor?

Let us now also put the Rankine process in a Mollier diagram, i.e. a $hs$-diagram, see the Fig. below.
Let us see how to improve the efficiency of the Rankine process:

1. Increase the pressure before the turbine, which means that the conversion 1-2 is moved to left in the $h_s$-diagram above. The difference $h_1 - h_2 = e_1 - e_2 = w_{\text{turbine}}$ then increases, i.e. more work out.

2. Lowering the pressure after the turbine implies that the cooling loss $q_L = e_2 - e_3$ reduces and we utilize exergy which otherwise would be lost with the cooling water.

3. Superheating; in a real turbine we can not allow the quality of the steam to become less than about 0.90, i.e. in state 2. The liquid will otherwise ruin the turbine blades. Therefore the gas in state 1 must be superheated, which principally also increases the efficiency – according to Carnot. However, material restrictions in the turbine put an upper limit of about 600°C in today’s conventional constructions.

4. Feed water heating; an important reason of the poor efficiency is that a large amount of the heating content of the steam is due to evaporation. By pre heating of the water to the evaporator the efficiency could be improved. This is usually done by draining the turbine of steam, which is mixed with the feed water to increase its temperature.

In the treatment above we have assumed no losses in the components. In reality we have a lot of losses, but with adequate combination of high pressures and superheating before the turbine, low pressures after the turbine, and feed water heating we can still reach efficiencies of about 40%.

We will now look closer at the losses. Before, we concluded that the available work from a reversible adiabatic steady state process, i.e. $Q = 0$ and $S = \text{constant}$, is $W_i = W_s = H_1 - H_2 = E_1 - E_2$.

In reality we have losses, i.e. $Q \neq 0$ and $S \neq \text{constant}$, so the output work is less and we must add more work to the compressor or the pump. We can represent the internal losses by the energy and exergy efficiency of the turbine, $\eta_{\text{en}}$ and $\eta_{\text{en}}$, which are defined:
Let us see what this implies for the turbine and the compressor in the Carnot process.

**Turbine**

The maximum work appear when $\Delta S = 0$, but as we said before $\Delta S > 0$ for all real processes. $1-2$ gives maximum work, $h_1 - h_2$ and $1-2'$ gives the real work, $h_1 - h_2'$, see Fig. above. As we see $h_1 - h_2 > h_1 - h_2'$. The above is valid if no heat loss occur. The efficiency of the turbine becomes:

$$\eta_t = \frac{h_1 - h_2}{h_1 - h_2'}$$

which usually is about 0.80-0.85.

**Compressor**

The energy and exergy efficiencies of the compressor becomes accordingly:

$$\eta_{c,ea} = \frac{\text{Reversible work}}{\text{Actual work}} = \frac{w_e}{w_a} = \eta_{c,ea} = \eta_c$$

$\Delta S > 0$ for the compressor since the process is irreversible, thus, we have
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\[ \eta_k = \frac{h_4 - h_1}{h_3 - h_k} \]

which is usually about 0.80-0.85.

Let us now return to the Rankine cycle and see how it usually looks, see Fig. below.

For a reversible Rankine cycle we have:

1-2 The steam expands adiabatically, i.e. \( \Delta S = 0 \), to pressure \( P_L \), just below saturation. Work is transferred through the turbine to an electric generator in a power plant.

2-3 The steam is condensed at pressure \( P_L \), we remove heat \( Q_L \).

3-4 Liquid water is pumped isentropically, i.e. \( \Delta S = 0 \), to the boiler and the pressure increases to \( P_H \). We feed the pump work \( W_p \).

Net produced work becomes from first law:

\[ W_{net} = Q_H - Q_L - W_p \]

The pump work becomes, if we assume the liquid water to be incompressible and the specific volume \( v = 0.001 \text{ m}^3/\text{kg} \).

\[ W_p \approx 0.001 \Delta P = 0.001(P_H - P_L) \text{ [J/kg]} \]

The theoretical thermal energy efficiency of the Rankine cycle then becomes:

\[ \eta_{t,en} = \frac{\text{Output work}}{\text{Input enthalpy}} = \frac{h_4 - h_2}{h_3 - h_2} \]

And analogously for the theoretical thermal exergy efficiency:

\[ \eta_{t,ex} = \frac{\text{Output work}}{\text{Input exergy}} = \frac{e_4 - e_2}{e_4 - e_3} \]
As we already have concluded it is advantageously to superheat the steam to extract more work from the turbine. In practice the pressure is below 200 bar and the temperature below 550-600°C at the admission state 1. As we see from the Fig. of the Rankine cycle above, the actual work is depending of the pressure drop between the admission state 1 and the final state 2. The lower pressure (back pressure) the more work we get, however, the content of liquid water in the steam must not exceed 10-12 weight-%, which gives the lower limit of the pressure.

An other way to improve the steam power cycle is back pressure and cogeneration of power and heat for district heating. If we have a simultaneous need of power and heat this may be a good solution.

In the condensing power cycle we extract more power, but the low condensing temperature makes the heat useless. In the cogeneration power cycle we extract less power, but the condensing temperature is now high enough to make the heat useful. The heat can be used for process heat or space heating. In this way the energy efficiency increases, but the exergy efficiency is more or less the same.

There are a number of efficiencies to describe the different losses in a steam power plant. Let us just mention some of them in energy units.
- Combustion losses in the boiler, the boiler energy efficiency:

\[
\eta_b = \frac{\text{Energy in steam}}{\text{Energy in fuel}} \approx 0.9 \text{ for big plants.}
\]

- The theoretical thermal energy efficiency depends on the design of the steam power cycle:

\[
\eta_t \approx 0.5 \text{ for a good design.}
\]

- The efficiency of the turbine (energy and exergy):

\[
\eta_t \approx 0.8-0.9
\]

- Mechanical (friction) and electrical losses in the turbine and generator. The energy and exergy efficiencies are usually:

\[
\eta_{m+el} \approx 0.9-0.95 \text{ for big plants.}
\]
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The total energy efficiency from fuel to electricity then becomes about

\[ \eta_{tot} = \eta_b \eta_t \eta_{tt} \eta_{m+el} \approx 0.35 - 0.4 \text{ (NB, the pump is not included!)} \]

Losses are also due to the use of power at the power plant, e.g. for pumps, fans and internal transportation. These losses often add to between 2 and 5% of produced electricity.

**Ex:** A steam turbine has the admission state 60 bar, 500°C, and the steam flow 10 ton/h. What is the power output if the back-pressure is 6 bar? (\( \eta_t = 0.80 \))

**S:** From above we have:

\[ \eta_t = \frac{\text{Actual work}}{\text{Reversible work}} = \frac{h_1 - h_2}{h_1 - h_2} \]

Reversible work: \( w_s = h_1 - h_2 \)

Actual work: \( w_a = h_1 - h_2 = \eta_t(h_1 - h_2) \)

From steam tables we get: \( h_1 = 3425 \text{ kJ/kg and } h_2 = 2810 \text{ kJ/kg} \)

(We find \( h_2 \) by first finding state 1 in the Mollier chart and then follow an isentropic line until it cross the pressure \( P_L = 6 \text{ bar} \) then we have state 2.

\[ P_{\text{turbine}} = \dot{m} \eta_t(h_1 - h_2) \approx \frac{10 \times 10^3}{3600} \times 0.8 \times (3425 - 2810) \approx 1370 \text{kW} \]

In addition to this we also have mechanical and electrical losses, i.e. \( \eta_{m+el} \approx 0.9 - 0.95 \), so \( P_{el} \) in reality becomes even less.

**Ex:**

a) An industrial cogeneration plant is working with the admission state 100 bar, 500°C and back-pressure 5 bar. What is the maximum electricity production if the heating need is 15 MW, \( \eta_t = 0.82 \) and \( \eta_{el+m} = 0.94 \).

b) The plant is used only for production of electricity, and the pressure after the turbine is 0.08 bar. Determine the electric power and the specific amount of steam at the real state after the turbine. c) Calculate the exergy output in the two cases. \((T_0 = 20°C)\)

**S:**

a) \( P_{hi} = 100 \text{ bar} \& \theta = 500°C \Rightarrow h_1 = 3.37 \text{ MJ/kg} \)

\( P_{L2} = 5 \text{ bar} \& s = \text{constant} \Rightarrow h_2 = 2.65 \text{ MJ/kg} \)

\[ \eta_t = \frac{h_1 - h_2}{h_1 - h_2} \Rightarrow h_2 = h_1 - \eta_t(h_1 - h_2) \approx 3.37 - 0.82 \times (3.37 - 2.65) \approx 2.78 \]

The condensing heat is used when the steam is condensed. The actual enthalpy after the turbine is \( h_2 \). If \( h_3 \) is the state when all the steam is condensed, i.e. saturated liquid, then we get from steam tables:

\( P_{L2} = 5 \text{ bar and saturated liquid} \Rightarrow h_3 = 0.64 \text{ MJ/kg} \)

The condensing heat is \( q_L = h_2 - h_3 = 2.78 - 0.64 = 2.14 \text{ MJ/kg} \)
We know that the heating need is 15 MW (15 MJ/s). From this we can determine how much steam that must be condensed per second.

\[
\frac{15 \times 10^6}{2.14 \times 10^6} \approx 7 \text{[kg/s]}
\]

Thus, 7 kg steam is expanded in the turbine per second, which gives:

\[
P_{el} = \dot{W}_{el} = \dot{m}(h_1 - h_2)\eta_{\text{el}} \approx 7 \times (3.37 - 2.65) \times 0.82 \times 0.94 \approx 3.9\text{[MW]}
\]

b) The theoretical state after the turbine now instead becomes, from steam tables:

\[
P_{L1} = 0.08 \text{ bar} \quad \& \quad s = \text{constant} \Rightarrow h_2 = 2.06 \text{ MJ/kg}
\]

The real state is 2': \( h_2 = h_1 - \eta(h_1 - h_2) = 2.30 \text{ MJ/kg} \)

Thus, we have at this state: \( h_2 = 2.30 \text{ MJ/kg} \) and \( P_2 = 0.08 \text{ bar, and from the hs-diagram we get the gas content of the steam to: } x \approx 0.88 \)

The electric output becomes:

\[
P_{el} = \dot{W}_{el} = \dot{m}(h_1 - h_2)\eta_{\text{el}} \approx 7 \times (3.37 - 2.06) \times 0.82 \times 0.94 \approx 7.1\text{[MW]}
\]

c) The exergy in the produced heat in the cogeneration case becomes:

\[
P_{ex,a} = \dot{W}_{ex} = \dot{m} \left[ (h_3 - h_b) - T_0(s_3 - s_b) \right] \approx 7 \times [(640.16 - 83.90) - (273.15 + 20)(1.8604 - 0.296)] \approx 684\text{[kW]} \approx 0.7\text{[MW]}
\]

The total exergy power then becomes: \( P_{ex} = P_{L,ex} + P_{el} \approx 0.7 + 3.9 \approx 4.6 \text{ [MW]} \)

And in the case of pure condensation: \( P_{ex} = P_{el} \approx 7.1 \text{ [MW]} \)

Let us summarize:

a) Cogeneration gives the energy power: 3.9 MW electricity and 15 MW heat.

b) The plant gives 7.1 MW electricity and the steam quality is 0.88.

c) \( P_{ex,a} \approx 4.6 \text{ MW} \) (\( P_{en,a} \approx 18.9 \text{ MW} \)) and \( P_{ex,b} \approx 7.1 \text{ MW} \) (\( \approx P_{en,b} \))

**Refrigerators and heat pumps**

We have seen that heat can move from lower to higher temperature by adding work to a Carnot cycle going backwards. This is what happens in refrigerators and heat pumps.

The most common systems are based on expansion, compression and absorption. We will treat the compression process, since it is the most common.

The compression process is similar to a steam power cycle running backwards, see the Fig. below. In short the boiling temperature of the refrigerant, i.e. the working fluid, is moved by changing the pressure. A liquid boils (evaporates) at a low pressure, i.e. takes up heat at low temperature, and then it condenses at high pressure, i.e. gives away heat at high temperature.
For an ideal process we have:
1-2 The refrigerant is adiabatically, i.e. $\Delta s = 0$, compressed to pressure $P_H$.
2-3 The superheated refrigerant (gas) condenses at pressure $P_H$ and then releases heat $Q_H$ at temperature $T_H$.
3-4 The refrigerant expands by an expansion valve to pressure $P_L$. The expansion occurs at constant enthalpy ($H = \text{constant}$) since neither heat nor work is involved (First Law).
4-1 The refrigerant is in the damp region (gas + liquid). The liquid part evaporates and takes up the heat $Q_L$ at temperature $T_L$.

In the Figs. below we see the process in a $Ts$ and $Ph$-diagram.

In the ideal process the expansion occurs at constant enthalpy, which implies that energy is neither added nor removed. However, a lot of exergy is lost in the expansion. Let us apply the First Law:

$$W_{\text{compressor}} = Q_H - Q_L$$

$$Q_L = H_1 - H_4 = H_1 - H_3, \text{ since } H_3 = H_4$$

$$W_{\text{compressor}} = H_2 - H_1$$
EXERGETICS

\[ Q_{H} = H_2 - H_3 \]

The efficiency as a refrigerator is defined by the Coefficient of Performance, COP\(_{\text{cooling}}\):

\[
\text{COP}_{\text{cooling}} = \frac{\text{Received heat}}{\text{Added work}} = \frac{Q_L}{W_{\text{compressor}}} = \frac{H_1 - H_3}{H_2 - H_1}
\]

The efficiency as a heat pump is similarly defined by COP\(_{\text{heating}}\):

\[
\text{COP}_{\text{heating}} = \frac{\text{Released heat}}{\text{Added work}} = \frac{Q_{H}}{W_{\text{compressor}}} = \frac{H_2 - H_3}{H_2 - H_1}
\]

The highest COP we get for a Carnot process:

\[
\text{COP}_{\text{cooling,Carnot}} = \frac{Q_L}{Q_{H} - Q_L} = \frac{T_L}{T_H - T_L}
\]

\[
\text{COP}_{\text{heating,Carnot}} = \frac{Q_{H}}{Q_{H} - Q_L} = \frac{T_H}{T_H - T_L} = 1 + \text{COP}_{\text{cooling}}
\]

N.B.! \( T \) is the absolute temperature, i.e. in Kelvin.

In practice COP\(_{\text{cooling}}\) = 0.4 to 0.6×COP\(_{\text{cooling,Carnot}}\) and if all heat from the heat pump is being used then COP\(_{\text{heating}}\) = 1 + 0.4 to 0.6×COP\(_{\text{cooling,Carnot}}\).

Ex:
A house is kept at 20°C by a compressor heat pump a cold winter day (–20°C).
The heat source is the ground at 0°C. The refrigerant is R-12, working between –5°C and 45°C, which gives 40°C in the radiators. a) Calculate the COP\(_{\text{heating}}\) if the system works ideally between –5°C and 45°C. b) What is then the COP\(_{\text{heating,Carnot}}\)?
c) Estimate the COP in practice.

S:
a) From steam tables for R-12, we find:
State 1, \( \theta_l = -5°C \), saturated gas \( \Rightarrow P_L \approx 2.61 \) bar & \( h_1 \approx 349 \) kJ/kg.
State 3, \( \theta_H = 45°C \), saturated liquid \( \Rightarrow P_H \approx 10.84 \) bar & \( h_3 \approx 244 \) kJ/kg.
We now have to determine the enthalpy at state 2. Look for state 1 in the Ph-diagram. Assume reversible process, i.e. \( s \) is constant. Follow the isentropic curve to \( P_H = 10.8 \) bar, and find \( h \approx 376 \) kJ/kg.

\[
\text{COP}_{\text{heating}} = \frac{h_2 - h_1}{h_2 - h_1} \approx \frac{376 - 244}{376 - 349} \approx 4.9
\]

b) \[
\text{COP}_{\text{heating,Carnot}} = \frac{T_H}{T_H - T_L} \approx \frac{45 + 273}{(45 + 273) - (-5 + 273)} \approx 6.4
\]

c) In practice we have \( \text{COP}_{\text{heating}} \approx 1 + 0.4 \) to \( 0.6 \times \text{COP}_{\text{cooling,Carnot}} \approx 1 + 0.4 \) to \( 0.6 \times \frac{-5 + 273}{(45 + 273) - (-5 + 273)} \approx 3.1-4.2. \) Thus the result above seem to optimistic, after all we did assume no losses.

Ex: A one stage refrigerator should have the cooling power 58 kW. The refrigerant is ammonia, evaporating at \(-10^\circ\text{C}\) and condensing at \(25^\circ\text{C}\). Determine: a) ammonium flow, b) COP, c) ammonium flow and COP when super cooling occur at \(15^\circ\text{C}\) in the condenser and d) the theoretically highest COP in b) and c).

S: From the Ph-diagram for ammonia, we have:
At evaporation: \( \theta_L = -10^\circ\text{C} \), \( P_L = 2.9 \) bar and \( h_1 = 1452 \) kJ/kg
At condensation: \( \theta_H = 25^\circ\text{C} \), \( P_H = 10.0 \) bar and \( h_3 = 318 \) kJ/kg
At condensation and super cooling: \( \theta_H' = 15^\circ\text{C} \), \( P_H' = 10.0 \) bar and \( h_3' = 270 \) kJ/kg
From the Ph-diagram we have \( h_2 \approx 1620 \) kJ/kg

Cooling power: \( P_{\text{cooling}} = \dot{m}(h_1 - h_3) \) where \( \dot{m} \) is the refrigerant flow

Coefficient of Performance: \( \text{COP}_{\text{cooling}} = \frac{h_1 - h_3}{h_2 - h_1} \)

The theoretically highest COP: \( \text{COP}_{\text{Carnot}} = \frac{T_L}{T_H - T_L} \)

a) \( \dot{m} = \frac{P_{\text{cooling}}}{h_1 - h_3} \approx \frac{58}{1452 - 318} \approx 0.051 \) [kg/s]

b) \( \text{COP}_{\text{cooling}} = \frac{h_1 - h_3}{h_2 - h_1} \approx \frac{1452 - 318}{1620 - 1452} \approx 6.6 \)

c) \( \dot{m} = \frac{P_{\text{cooling}}}{h_1 - h_3'} \approx \frac{58}{1452 - 270} \approx 0.049 \) [kg/s] and

\( \text{COP}_{\text{cooling}} = \frac{h_1 - h_3'}{h_2 - h_1} \approx \frac{1452 - 270}{1620 - 1452} \approx 6.8 \)

d) Case b: \( \text{COP}_{\text{Carnot}} = \frac{T_L}{T_H - T_L} \approx \frac{273 - 10}{273 + 15 - (273 - 10)} \approx 7.5 \)

Case c: \( \text{COP}_{\text{Carnot}} = \frac{T_L}{T_H - T_L} \approx \frac{273 - 10}{273 + 25 - (273 - 10)} \approx 10.5 \)
HEAT TRANSFER

Heat* is as we have mentioned before a consequence of that two bodies with different temperature exchange internal energy. From the second law of thermodynamics heat (internal energy) will spontaneously go from the warmer to the colder body. Heat should therefore rather be regarded as a process like work, they are not true forms of energy like mechanical and electrical energies. How heat is being transferred is a very complicated science, i.e. involving material properties. This makes this area to a scientific field beside thermodynamics. Heat can principally be transferred in three different ways:

1. Heat conduction
2. Heat convection, by self-convection or by external force
3. Heat radiation

Heat conduction

In a solid body heat is transferred from the warmer to the colder part by direct contact between the micro particles in the body. The temperature drops linearly through a homogenous body of rectangular shape.

The energy power $P_{\text{en}}$ that is transferred through conduction is:

$$P_{\text{en}} = \frac{\lambda}{d} A(T_H - T_L) \text{ [W = J/s]}$$

where $T_H$ and $T_L$ are the different temperatures [K], since it is a difference it is also valid for °C,

$\lambda$ is the area which the heat flow is facing [m²],

$d$ is the distance the heat flow has to transfer [m] and

$\lambda$ is the heat conduction [W/m°C or W/mK] for the body.

The exergy power $P_{\text{ex}}$ that is transferred through the body consists of firstly the exergy flow in to the body at the temperature $T_H$:

* Heat is here regarded both as energy and exergy.
EXERGETICS

\[ P_{\text{ex,}l,H} = \left( \frac{E}{Q} \right) P_{\text{en},l} = \frac{T_{H} - T_{0}}{T_{H}} P_{\text{en},l} \]

where \( T_{0} \) is the environmental temperature, and secondly of the exergy flow that leaves the body at the temperature \( T_{L} \):

\[ P_{\text{ex,}l,L} = \left( \frac{E}{Q} \right) P_{\text{en},l} = \frac{T_{L} - T_{0}}{T_{L}} P_{\text{en},l} \]

The difference between these flows becomes:

\[
\Delta P_{\text{ex},l} = P_{\text{ex,}l,H} - P_{\text{ex,}l,L} = \frac{T_{H} - T_{0}}{T_{H}} P_{\text{en},l} - \frac{T_{L} - T_{0}}{T_{L}} P_{\text{en},l} = \\
= \left( \frac{T_{H} - T_{0}}{T_{H}} \right) T_{L} \left( \frac{T_{L} - T_{0}}{T_{L}} \right) P_{\text{en},l} = \frac{T_{0} T_{H} - T_{L} T_{0}}{T_{H} T_{L}} P_{\text{en},l} = \\
= \lambda \frac{T_{0}}{d} A \left( \frac{T_{H} - T_{L}}{T_{H} T_{L}} \right)^{2}
\]

This is the exergy needed to "fuel" the process, i.e. to maintain the temperature difference.

The heat conductivity, \( \lambda \), denotes how much heat passes per unit time through 1 m\(^2\) of the body when this is 1 m thick and the temperature difference is 1 K (here equals 1°C). \( \lambda \) is a material constant. The higher the \( \lambda \) the more heat is conducted through the material. If we want a good heat insulator, then we should look for a small \( \lambda \). Metals are good heat conductors (\( \lambda \approx 45 \) for steel), which is an advantage in e.g. heat radiators, whereas mineral wool (\( \lambda \approx 0.036 \)) and other porous materials are poor heat conductors, because of enclosed stationary air. In liquids and gases we also have to consider convection, i.e. mass flows, because of the temperature dependence of the density. This we will study below, but first some numerical examples.

Ex: A glass window has an area of 1m\(^2\), outside temperature is \(-5^\circ C\) and inside is \(+17^\circ C\). The thickness of the glass is 5 mm. What is the heat rate (energy power) through the window, if we only consider heat conduction?

S: \( A = 1 \text{ m}^2, \theta_{H} = 17^\circ C, \theta_{L} = -5^\circ C, d = 5 \text{ mm} = 5 \times 10^{-3} \text{ m}, \lambda = 0.9 \text{ W/m}^\circ\text{C} \) at 20°C, from tables. The \( \lambda \) value is depending on the temperature, however in most cases we can neglect this dependence. From the equations above we get the energy power:

\[
P_{\text{en},l} = \frac{\lambda}{d} A(T_{H} - T_{L}) = \frac{\lambda}{d} A(\theta_{H} - \theta_{L}) = \frac{0.9}{5 \times 10^{-3}} \times 1 \times [17 - (-5)] = 3960 \approx 4 \text{ kW}
\]

Thus, a considerable amount of energy and energy loss. For typical two glass windows the value for \( \lambda/d \) is about 2 W/m\(^2\)C and is called overall heat transfer coefficient and indicated by the symbol \( U \).

Ex: What is the exergy loss in the example above?
The energy power leaking through the window is $P_{\text{en,l}}$ as above. Assume the environmental temperature is equal to the outdoor temperature, i.e. $T_0 = T_L$ then the exergy will be zero for the heat when it reaches the outside temperature. The exergy factor at the temperature $T_H$ becomes:

$$
\left( \frac{E}{Q} \right)_H = \frac{T_H - T_0}{T_H} = \frac{T_H - T_L}{T_H} = \frac{\theta_H - \theta_L}{T_H} \approx \frac{17 - (-5)}{273.15 + 17} \approx 0.075 = 7.5\%.
$$

The exergy loss then becomes:

$$
\Delta P_{\text{ex}} = P_{\text{ex,l}} = \frac{E}{Q} P_{\text{en,l}} \approx 0.075 \times 3960 \approx 300[W]
$$

The needed exergy power to maintain the temperature difference, thus, is far less than the energy power. Let us compare this with a situation when we try to keep a leaking bucket, which leaks 300 liter per hour full of water. Then adding more than 300 liters per hour will only flow away, and to pour on more 4000 liter per hour is ridiculous!

Since, the heat transfer is towards the environment the exergy flow only becomes a loss, i.e. no exergy is being transferred.

**Ex:** Let us compare dry and wet mineral wool. The area is 2 m$^2$ and the temperatures are 0°C on outside and +20°C on inside, the thickness is 10 cm. What are the energy flows and the exergy losses in the two cases?

**S:** We have: $A = 2$ m$^2$, $\theta_H = 20$°C, $\theta_L = 0$°C, $d = 10$ cm = 0.1 m, $\lambda = 0.04$ for dry wool and for water we have $\lambda = 0.60$ from tables. The energy flows in the two cases become, if we neglect the wool in the wet case:

$$
P_{\text{en,l,dry}} = \frac{\lambda}{d} A (T_H - T_L) = \frac{\lambda}{d} A (\theta_H - \theta_L) = \frac{0.036}{0.1} \times 2 \times (20 - 0) = 14.4[W]
$$

$$
P_{\text{en,l,wet}} = \frac{0.60}{0.1} \times 2 \times (20 - 0) = 240[W]
$$

Thus, insulation is very sensitive for water or moist. (Moist is also good if you want to grow mold and fungi.)

The exergy factor becomes, in both cases, since $T_0 = T_L$:

$$
\frac{E}{Q} = \frac{\theta_H - \theta_L}{T_H} \approx \frac{200}{273.15 + 20} \approx 0.068
$$

Thus, the exergy losses becomes:

$$
\Delta P_{\text{ex,dry}} = P_{\text{ex,l,dry}} = \frac{E}{Q} P_{\text{en,l,dry}} \approx 0.068 \times 14.4 \approx 1[W]
$$

$$
\Delta P_{\text{ex,wet}} = P_{\text{ex,l,wet}} = \frac{E}{Q} P_{\text{en,l,wet}} \approx 0.068 \times 240 \approx 16[W]
$$

The energy or exergy need to maintain the temperature difference increase by 16 times if the insulation is wet.
Heat conduction is of course also acting in gases and liquids, but heat transfer in these phases mainly instead relates to mass transfer or convection, see below. This implies that heat conduction is only of interest in gases and liquids if mass transfer is not aloud.

### Heat convection

Convection is heat transfer by transfer of mass. The heat you can feel over a hot radiator is due to that hot air rises when its density decreases, i.e. the air becomes lighter. In the same way water on the stove is heated when the bottom becomes warm, the water rises and replaces by colder heavier water, i.e. natural stirring. This does not occur in a microwave oven, where the heat is being generated inside the substance, so we need to manually “convect” the water, stir it. Thus, we differ between free and forced convection.

#### Free convection – free current

A wall of temperature $T_H$ is in contact with a gas (or liquid) of temperature $T_L$, $T_H > T_L$. From heat conduction, i.e. direct contact heat is being transferred to the medium in contact with the wall. This medium then gets a higher temperature and lower density and rises. Then the left space is being replaced by colder media, and so on. A free current of the media has evolved.

![Diagram of free convection](image)

Transferred energy power by convection then becomes:

$$P_{\text{en,c}} = \alpha A (T_H - T_L)$$

where $\alpha = \text{heat transfer coefficient [W/m}^2\text{K]}$

$A$ is the area exposed to convection [m$^2$]

The heat transfer coefficient $\alpha$, denotes how much heat [W] is transferred per unit time and unit area at a temperature difference of 1 K [°C] when we have convection.

As for heat conduction the exergy power becomes:

$$P_{\text{ex,c,H}} = \frac{T_H - T_0}{T_H} P_{\text{en,c}} = \alpha A \frac{T_H - T_0}{T_H} (T_H - T_L)$$
\[
\begin{align*}
P_{\text{ex,c,l}} &= \frac{T_L - T_0}{T_L} P_{\text{en,c}} = \alpha A \frac{T_L - T_0}{T_L} (T_H - T_L) \\
\Delta P_{\text{ex,c}} &= \frac{T_0 (T_H - T_L)}{T_H T_L} P_{\text{en,c}} = \alpha A \frac{T_0}{T_H T_L} (T_H - T_L)^2
\end{align*}
\]

**Ex:** Assume hot water surrounding a pipe with the diameter 55 mm and length 4 m. How much heat (energy and exergy) per unit time is transferred from the water to the pipe if the water temperature is 50°C and the pipe is 20°C and if the heat transfer coefficient is 5000 W/m²K? \((\theta_0 = 0°C)\)

**S:** The area \(A\) is the area of the pipe, i.e. \(A = \pi dL \approx 3.14 \times 55 \times 10^{-3} \times 4 \approx 1\ m^2\), \(\theta_h = 50°C\), \(\theta_l = 20°C\), \(d = 5\ mm = 5 \times 10^{-3}\ m\). From the relations above we have:

\[
P_{\text{en,c}} = \alpha A (T_H - T_L) = \alpha \pi dL (\theta_h - \theta_l) \approx 5000 \times 3.14 \times 55 \times 10^{-3} \times 4(50-20) \approx 104\ [kW]
\]

The exergy power becomes:

\[
P_{\text{ex,c,h}} = \frac{T_H - T_0}{T_H} P_{\text{en,c}} = \frac{\theta_H - \theta_0}{T_H} P_{\text{en,c}} \approx \frac{500}{273+50} \times 104 \approx 16\ [kW]
\]

\[
P_{\text{ex,c,l}} = \frac{T_L - T_0}{T_L} P_{\text{en,c}} = \frac{\theta_L - \theta_0}{T_L} P_{\text{en,c}} \approx \frac{20-0}{273+20} \times 104 \approx 7\ [kW]
\]

\[
\Delta P_{\text{ex,c}} = \frac{T_0 (T_H - T_L)}{T_H T_L} P_{\text{en,c}} \approx \frac{273 \times (50-20)}{(273+50) \times (273+20)} \times 104 \approx 9\ [kW]
\]

Thus more than half of the exergy being transferred is lost in the process.

**Forced convection**

Force convection appear when we increase the convection by external forces, e.g. by a pump or a fan. As we are familiar with the heat transfer increases with the speed of the fluid, e.g. we experience more heat losses when it is windy.

We have the following relations for the heat transfer coefficient at forced convection:

**Air and steam**

In pipes between +20°C and +100°C

\[
\alpha = c_1 (\nu \rho)^{0.79} \ [W/m³K]
\]

where \(c_1\) is a constant depending on the shape of the pipe and the flowing medium, the following approximate values are usually used:
Pipe diameter [mm] | 10 | 20 | 50  
---|---|---|---
$c_1$ for air | 8.1 | 7.2 | 6.4  
$c_1$ for steam | 13.5 | 12.0 | 10.7

$v$ is the speed of the flowing medium [m/s]

$\rho$ is the density of the flowing medium [kg/m³]

For flat areas

$$\alpha = 5.8 + 3.95v \quad v < 5 \text{ m/s}$$

$$\alpha = 7.15v^{0.78} \quad v > 5 \text{ m/s}$$

Water in pipes

$$\alpha = c_2 \theta^{0.87} \text{ [W/m²]}$$

At pipe diameters between 10 and 30 mm and temperatures between 10 to 50°C $c_2$ is evaluated from:

$$c_2 = 3370 + 55\theta \quad (\theta \text{ is the temperature in } ^\circ\text{C})$$

For condensing water we have $\alpha \approx 10000 \text{ W/m²K}$.

**Ex:** A hot pipe, length 10 m and diameter 10 cm, with water at the temperature 60°C passes a cold area at environmental temperature 0°C. How much energy and exergy is lost in this area? Assume that the area of the pipe can be regarded as a flat surface, and assume that the surface of the pipe has the same temperature as the flowing water.

**S:** The area $A$ becomes: $A = \pi d L \approx 3.14 \times 10 \times 10^{-2} \times 10 = 3.14 \text{ m}^2$.

The heat transfer coefficient becomes from above (air surrounding a flat area): $\alpha = 5.8 + 3.95v = 5.8 \text{ W/m²K}$, since $v = 0 \text{ m/s}$.

The energy power becomes: $P_{en,c} = \alpha A (\theta_H - \theta_L) = 5.8 \times 3.14 \times (60 - 0) \approx 1.1 \text{ [kW]}$

The exergy power, being lost, becomes: $\Delta P_{ex,c} = \frac{T_H (T_H - T_L)}{T_H T_L} P_{en,c} =$

$$= \frac{T_H - T_L}{T_H} P_{en,c} = \frac{\theta_H - \theta_L}{T_H} P_{en,c} \approx \frac{60 - 0}{273 + 60} \times 1100 \approx 200 \text{ [W]}$$

A very efficient heat transfer occurs if the convection appears simultaneously as a phase change, e.g. if a liquid is evaporation from a surface. This we experience when we are wet or when we are sweating. At the evaporation heat is taken from the skin, which then becomes extra cold.
Heat radiation

A body of temperature above the absolute zero (0K or −273.15°C) emits electromagnetic radiation, as light and heat radiation. High frequency radiation (blue light, ultra violet, or x-ray) has more energy than low frequency radiation (red, infra-red or heat radiation). The radiation is a way of transporting energy or exergy between body which are not in physical contact. Thus, energy and exergy can be transported in vacuum and long distances. The energy and exergy flow from the sun to the earth is one example of this.

As we know the color has an important effect on the radiation. Dark clothes absorbs more heat than light clothes. A black body, by definition absorbs all incoming radiation and it is at all temperatures the most emitting and absorbing body. A white body, by definition reflects all incoming radiation. A gray body absorbs an equal amount of the incoming radiation at all frequencies. A colored body radiates at certain frequencies defined by the color.

A reflecting surface reflects the radiation at the same angle as the incoming radiation and a rough surface reflects the incoming radiation in all directions, as scattered light.

The so called emission coefficient $\varepsilon$ denotes the amount of the radiation which is emitted, i.e. leaves the surface. A surface with a low emission coefficient emits less of the radiation to the environment and more of the radiation is reflected back into the body. A mirror reflects most of the incoming radiation and only a small part is absorbed. A shiny and white surface has a low emission coefficient, whereas a rough and black surface has a higher emission coefficient and high absorption. A surface that absorbs all incoming radiation or emits all outgoing radiation, has the emission coefficient $\varepsilon = 1$, i.e. a black body.

Q: Perhaps you have seen a little wheel with wings on a vertical axis in a small glass bulb. Usually four wings, like a water-wheel, one side black the other side white. If you put it in the sunlight it starts to move, in which direction and why?

The emitted radiation per unit area and time from a black body is determined by Stefan-Boltzmanns law:

$$P_{em} = \sigma A T^4 \approx 5.67 \times 10^{-8} A T^4$$

The energy power from a gray body instead becomes:

$$P_{em} = \varepsilon \sigma A T^4 \approx \varepsilon 5.67 \times 10^{-8} A T^4$$

where $A$ is the emitting surface [m²]

$\varepsilon$ is the emission coefficient or absorption coefficient, which is found in tables.

$T$ is the temperature in Kelvin.

As we see the radiation depends on the temperature raised to the 4th power, which implies that when the temperature doubles the radiation increase by a factor of 16!
Q: Assume that we concentrate sunlight by a big concave mirror. What is the maximal temperature we could get in the focus and why? There are two different explanations!

From above we have that the exergy of radiation is:

\[ P_{\text{ex},r} = \left[ 1 + \frac{1}{3} \left( \frac{T_0}{T} \right)^4 - \frac{4}{3} \frac{T_0}{T} \right] P_{\text{en},r} \]

**Radiation between different bodies**

Since every body (over 0K) emits radiation we must consider the exchange of radiation between different bodies to be able to calculate the net radiation from the warmer to the colder body. Let us first consider two parallel surfaces of equal size, but with different temperatures \(T_H, T_L\) and emission coefficients \(\varepsilon_H, \varepsilon_L\), see below.

We have the following radiation situation between the surfaces

\[
\begin{aligned}
P_{\text{en},r,H} &= \varepsilon_H \sigma A T_H^4 \approx \varepsilon_H 5.67 \times 10^{-8} A T_H^4 \\
P_{\text{en},r,L} &= \varepsilon_L \sigma A T_L^4 \approx \varepsilon_L 5.67 \times 10^{-8} A T_L^4
\end{aligned}
\]

The hot surface the receives the energy power \(P_{\text{en},r,H}\) and the surface will absorb the energy power \(\varepsilon_H P_{\text{en},r,H}\), while the rest \((1-\varepsilon_H)P_{\text{en},r,H}\) is reflected back to the surface of lower temperature, where the energy power \(\varepsilon_L(1-\varepsilon_H)P_{\text{en},r,H}\) is absorbed, while the rest \((1-\varepsilon_L)(1-\varepsilon_H)P_{\text{en},r,H}\) is reflected back, etceteras. The net energy flow, which of course always is directed towards the surface of lower temperature, \(T_L\) becomes:

\[
P_{\text{en},r,H} = \frac{1}{\varepsilon_H + \frac{1}{\varepsilon_L} - 1} \sigma A (T_H^4 - T_L^4)
\]

which is valid for all colored surfaces (black or white) and liquid surfaces.

The net exergy flow becomes from above:
EXERGETICS

\[
P_{ex,r,IL} = \frac{1}{\frac{1}{\varepsilon_H} + \frac{1}{\varepsilon_L} - 1} \sigma A \left\{ T_H^4 \left[ 1 + \frac{1}{3} \left( \frac{T_0}{T_H} \right)^4 - \frac{4}{3} \frac{T_0}{T_H} \right] - T_L^4 \left[ 1 + \frac{1}{3} \left( \frac{T_0}{T_L} \right)^4 - \frac{4}{3} \frac{T_0}{T_L} \right] \right\}
\]

For a hot surface completely covered by an other surface at lower temperature, see Fig below, we get the net energy flow:

\[
P_{ex,r,HL} = \frac{1}{\frac{1}{\varepsilon_H} A_H + \frac{1}{\varepsilon_L} \frac{1}{A_L} - 1} \sigma \left( T_H^4 - T_L^4 \right)
\]

which is valid for all kinds of rooms where the hot body can be randomly placed. If the net power \( P \) is negative then \( T_H < T_L \). The exergy net power becomes as above:

\[
P_{ex,r,HL} = \frac{1}{\frac{1}{\varepsilon_H} A_H + \frac{1}{\varepsilon_L} \frac{1}{A_L} - 1} \sigma \left\{ T_H^4 \left[ 1 + \frac{1}{3} \left( \frac{T_0}{T_H} \right)^4 - \frac{4}{3} \frac{T_0}{T_H} \right] - T_L^4 \left[ 1 + \frac{1}{3} \left( \frac{T_0}{T_L} \right)^4 - \frac{4}{3} \frac{T_0}{T_L} \right] \right\}
\]

Often the radiation conditions are more complicated and thus difficult to calculate, but from handbooks and tables you usually find enough instructions. Other wise, you have to simplify the problem to be able to solve it.

**Ex:** How much heat (energy and exergy) does a naked person lose (surface temperature 35°C, \( \varepsilon_H = 0.7 \) and area 1.5 m²) from radiation in a room with black walls, \( \varepsilon_L = 1 \), \( \theta_L = 20°C \) and area 20 m²? \( T_0 = T_L \)

**S:** We have a body contained in an other body, thus we have for the energy power, see relation above, where \( T_H \approx 35+273 = 308 \) [K] and \( T_L \approx 20+273 = 293 \) [K]:

\[
P_{en,r,HL} \approx \frac{1}{0.7 \times 1.5 + \frac{1}{20} \left( \frac{1}{1} - 1 \right)} \times 5.67 \times 10^{-8} \times 1.5 \times \left( 308^4 - 293^4 \right) \approx 145 \text{[W]}
\]

The person will probably freeze, which we also might guess.
For the exergy we have:

\[ P_{\text{ex},\text{HL}} \approx \frac{1}{0.7 \times 1.5} + \frac{1}{20} \left( \frac{1}{1} - 1 \right) \times 1.5 \times 308^4 \left[ 1 + \frac{1}{3} \left( \frac{293}{308} \right)^4 - \frac{4}{3} \times \frac{293}{308} \right] \approx 3.7 \text{[W]} \]

Thus, there are three ways for energy and exergy to be transferred as heat between bodies: conduction, which was simple to describe, convection and radiation, which were more complicated to describe. At temperatures close to ambient, i.e. ±20-30°C we can assume the following relation:

\[ P_{\text{en, total}} = P_{\text{en, conduction}} + P_{\text{en, convection}} + P_{\text{en, radiation}} \approx UA(T_H - T_L) \]

Hereby, all phenomena are summarized in one expression and concept, the overall heat coefficient \( U \). Analogously, we have for the exergy flows:

\[ P_{\text{ex, total}, H} = P_{\text{ex, conduction}, H} + P_{\text{ex, convection}, H} + P_{\text{ex, radiation}, H} \approx \frac{T_H - T_0}{T_H} P_{\text{en, total}} \]

\[ P_{\text{ex, total}, L} = P_{\text{ex, conduction}, L} + P_{\text{ex, convection}, L} + P_{\text{ex, radiation}, L} \approx \frac{T_L - T_0}{T_L} P_{\text{en, total}} \]

The exergy loss then becomes:

\[ \Delta P_{\text{ex, total}} = P_{\text{ex, total}, H} - P_{\text{ex, total}, L} \approx \frac{T_0}{T_H} \left( T_H - T_L \right) P_{\text{en, total}} \approx UA \frac{T_0}{T_H T_L} \left( T_H - T_L \right)^2 \]

As we see, the energy is always conserved, the first law, whereas exergy is always lost, the second law. We also see that the exergy loss increases by the square of the temperature difference \( T_H - T_L \), which is an important conclusion.

Q: Which form of heat has the highest exergy factor:

- conduction: \[ \left( \frac{P_{\text{ex}}}{P_{\text{en}}} \right)_{\text{conduction}} = \frac{T - T_0}{T} \]

- or radiation: \[ \left( \frac{P_{\text{ex}}}{P_{\text{en}}} \right)_{\text{radiation}} = \left[ 1 + \frac{1}{3} \left( \frac{T_0}{T} \right)^4 - \frac{4}{3} \frac{T_0}{T} \right] \]

Thus, which form of heat is most ordered?
**EXERGETICS**

**Over all heat coefficient, \( U \)**

Regard the heat transport through a homogenous wall

\[
P_{en} = \alpha_1 A (T_1 - T_{1w}) \\
\frac{P_{en}}{\lambda A} d = (T_{1w} - T_{2w}) \\
\frac{P_{en}}{\alpha_2 A} = (T_{2w} - T_2)
\]

By adding all these expressions we get:

\[
\frac{P_{en}}{\alpha_1 A} + \frac{P_{en}d}{\lambda A} + \frac{P_{en}}{\alpha_2 A} = T_1 - T_{1w} + T_{1w} - T_{2w} + T_{2w} - T_2
\]

or:

\[
\frac{P_{en}}{A} \left( \frac{1}{\alpha_1} + \frac{d}{\lambda} + \frac{1}{\alpha_2} \right) = T_1 - T_2
\]

which can be written:

\[
P_{en} = UA(T_1 - T_2)
\]
where the overall heat coefficient is defined as 

$$ U = \frac{1}{\frac{1}{\alpha_1} + \frac{d}{\lambda} + \frac{1}{\alpha_2}} $$

or 

$$ \frac{1}{U} = \frac{1}{\alpha_1} + \frac{d}{\lambda} + \frac{1}{\alpha_2} $$

The unit of $U$ is W/m²K, i.e. it gives the energy that per unit time and unit area passes through the construction when the temperature difference is 1 K [°C]. The $U$-coefficient depends on the construction, $d$ and $\lambda$ and the heat transfer coefficients, $\alpha_1$ and $\alpha_2$. We also see that at heat insulation, e.g. in houses the $U$-coefficient should be small, but at heat conduction, e.g. in heat exchangers the $U$-coefficient should be large.

For a construction with several layers of materials, $i$ and air, i.e. a sandwich construction we write the $U$-coefficient accordingly:

$$ \frac{1}{U} = \frac{1}{\alpha_1} + \frac{1}{\alpha_2} + \sum_i \frac{d_i}{\lambda_i} + \sum_i \frac{1}{U_{\text{air}}} $$

where $U_{\text{air}}$ combine conduction, convection and radiation for each air layer.

**Ex:** A wall with the area 20 m² has a mineral wool insulation with thickness 170 mm. The indoor temperature is +20°C and outdoor is −5°C. How much heat (energy and exergy) per unit time leaks through the wall if the wind velocity is 1 m/s? ($\theta_0 = −5°C$)

**S:** We have the following data: $d = 170$ mm = 0.17 m, $A = 20$ m², $\theta_1 = +20°C$, $\theta_2 = −5°C$ and $\lambda = 0.04$ W/m°C.

$$ P_{\text{en}} = UA(\theta_1−\theta_2) \text{ where } \frac{1}{U} = \frac{1}{\alpha_1} + \frac{d}{\lambda} + \frac{1}{\alpha_2}, \text{ since we only have one layer.}$$

For flat surfaces we have from tables $\alpha = 5.8+3.95v$ if $v<5$ m/s

$\alpha_i$ is the inside heat transfer coefficient

inside is $v = 0 \Rightarrow \alpha_1 = 5.8$ W/m²°C

$\alpha_i$ is the outside heat transfer coefficient

outside is $v = 1$ m/s $\Rightarrow \alpha_2 = 5.8+3.95 = 9.75$ W/m²°C

$$ \frac{1}{U} = \frac{1}{5.8} \frac{0.17}{0.04} + \frac{1}{9.75} = 5 $$

$$ U = \frac{1}{5} = 0.2 \text{ [W/m²K]}$$

$$ P_{\text{en}} = UA(\theta_1−\theta_2) = 0.2\times20(20−(−5)) = 0.2\times20\times25 = 100 \text{ W}$$

$$ T_L = T_0 \Rightarrow P_{\text{ex},L} = 0 \Rightarrow \Delta P_{\text{ex}} = P_{\text{ex},H} \approx \frac{T_0(T_{\text{H}}−T_L)}{T_{\text{H}}T_L} P_{\text{en,total}} = $$

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EXERGETICS

\[ \frac{T_0(T_H - T_0)}{T_H^2} P_{en,\text{total}} = \frac{T_H - T_0}{T_H^2} P_{en,\text{total}} = \frac{T_H - \theta}{T_H^2} P_{en,\text{total}} \approx \frac{20 - (-5)}{273 + 20} \times 100 \approx 8.5 \text{[W]} \]

Thus, 100 W energy is leaking out and 8.5 W exergy is lost.

There are two ways of determine the over all heat coefficient \( U \): the \( \lambda \)-method and \( U \)-method.

\( \lambda \)-method

In this method we consider each layer of the construction. The \( \lambda \)-value for a layer is the average value of the \( \lambda \)-values of the different areas in proportion to its area perpendicular to the direction of the heat flow, i.e. \( \lambda_a \), for a mixed layer is

\[ \lambda_a = \frac{\sum \lambda_i A_i}{\sum A_i} = \frac{\lambda_1 A_1 + \lambda_2 A_2 + \lambda_3 A_3 + \ldots}{A_1 + A_2 + A_3 + \ldots} \]

where \( \lambda_i \) is the \( \lambda \)-value of layer \( i \) [W/mK]

\( A_i \) is the layer \( i \)'s area perpendicular to the heat flow [m²].

The overall heat coefficient \( U \) [W/m²K] then becomes:

\[ U_{\lambda} = \frac{\lambda_a}{d} \]

where \( d \) is the thickness [m].

\( \lambda \)-method gives a slightly to high \( U \)-value compared to experiments.

**Q**: Why?

\( U \)-method

We now consider each cross-section of the construction along the direction of the heat flow. The \( U \)-value for each section is calculated separately, and the total \( U \)-value is given by the sum of the \( U \)-values for each cross-section in proportion to its area, i.e.

\[ U = \frac{\sum U_i A_i}{\sum A_i} = \frac{U_1 A_1 + U_2 A_2 + U_3 A_3 + \ldots}{A_1 + A_2 + A_3 + \ldots} \]

where \( U_i \) is the \( U \)-value of section \( i \) [W/m²K]

\( A_i \) is the area of section \( i \) perpendicular to the direction of the heat flow [m²]

The \( U \)-method give a slightly lower \( U \)-value compared to experiments.

**Q**: Why?
Ex: Assume a wall, 20 m², that from outside consists of 100 mm wood ($\lambda = 0.14$ W/mK), 100 mm mineral wool ($\lambda = 0.036$ W/mK) between wood bars (10% of the area) and towards the inside a board, 40 mm ($\lambda = 0.05$ W/mK). How much heat leaks through the construction per unit time if the outdoor temperature is –5°C and the indoor temperature is +20°C? a) According the $\lambda$-method b) According the $U$-method.

S: a) $\lambda$-method: We calculate $\lambda_a$ for the mineral wool and the wood bars:

$$\lambda_a = \frac{\dot{\lambda}_1 A_1 + \dot{\lambda}_2 A_2}{A_1 + A_2} = \frac{0.036 \times 0.9 + 0.14 \times 0.1}{0.9 + 0.1} \approx 0.046 \text{ [W/m}^2\text{K]}$$

The $U$-value for the construction becomes

$$\frac{1}{U} = \sum_i \frac{d_i}{\lambda_i} \approx \frac{0.1}{0.14} + \frac{0.1}{0.046} + \frac{0.04}{0.05} \approx 3.69 \Rightarrow U_{wall} \approx 0.27 \text{ [W/m}^2\text{K]}$$

The energy power becomes $P_{en} = U A (\theta_1 - \theta_2) \approx 0.27 \times 20 \times 25 = 135$ [W]

The exergy power becomes $P_{ex} = \frac{\theta_1 - \theta_2}{T_1} P_{en} \approx \frac{20 - (-5)}{273 + 20} \times 135 \approx 11.5$ [W]

b) $U$-method: the $U$-value where we have the wood bars becomes

$$\frac{1}{U_{wood}} = \sum_i \frac{d_i}{\lambda_i} \approx \frac{0.1}{0.14} + \frac{0.1}{0.14} + \frac{0.04}{0.05} \approx 2.23 \Rightarrow U_{wood} = 0.45 \text{ [W/m}^2\text{K]}$$

The $U$-value where we have the mineral wool becomes

$$\frac{1}{U_{mineral\ wool}} = \sum_i \frac{d_i}{\lambda_i} \approx \frac{0.1}{0.14} + \frac{0.1}{0.036} + \frac{0.04}{0.05} \approx 4.29 \Rightarrow U_{mineral\ wool} = 0.23 \text{ [W/m}^2\text{K]}$$

The $U$-value for the construction becomes

$$U = \frac{U_{1} A_1 + U_2 A_2}{A_1 + A_2} \approx \frac{0.45 \times 0.1 + 0.23 \times 0.9}{0.1 + 0.9} \approx 0.25 \text{ [W/m}^2\text{K]}$$

The energy power becomes $P_{en} = U A (\theta_1 - \theta_2) \approx 0.25 \times 20 \times 25 = 125$ [W]

The exergy power becomes $P_{ex} = \frac{\theta_1 - \theta_2}{T_1} P_{en} \approx \frac{20 - (-5)}{273 + 20} \times 125 \approx 10.7$ [W]

Thus, the $\lambda$-method gives that 135 W energy is leaking out and that 11.5 W exergy is lost, but the $U$-method gives 125 W energy and 10.7 W exergy respectively.
**EXERGETICS**

**Ex:** Determine the $U$-value, the position where the temperature is 0°C and where condensation may occur in the following wall, from outside to inside: 100 mm wood, 100 mm mineral wool and 40 mm board.

<table>
<thead>
<tr>
<th>Inside</th>
<th>Outside</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air speed [m/s]</td>
<td>0</td>
</tr>
<tr>
<td>Temperature [°C]</td>
<td>20</td>
</tr>
<tr>
<td>Relative humidity [%]</td>
<td>80</td>
</tr>
</tbody>
</table>

**A:** From tables we get

The $U$-value $\approx 0.23$ W/m²K, the relative humidity exceeds 100% between the wood and the mineral wool, where condensation may occur.

The temperature 0°C passes in the mineral wool. The temperature increases linearly in the wool. If we assume that 0°C is $x$ mm in the mineral wool from the outside, then we have:

$$\frac{x}{100} = \frac{0 - (-4.6)}{12.9 - (-4.6)} \Rightarrow x \approx 26 \text{ mm.}$$ (The problem can also be solved graphically.)

---

**Heat exchangers**

We will now look closer into heat exchangers, which are used to transfer heat between two different media by heat transfer. We find heat exchangers as heat radiators, hot water tanks, solar panels and car engine cooler. There are two main kinds of heat exchangers, parallel and counter flow. Let us assume a simple model one tube in an other, see Fig. below.

In a parallel heat exchanger the final temperature of the colder flow never can exceed the final temperature of the hot flow, i.e. $T_{H2} > T_{C2}$. However, in a counter flow heat exchanger we may have that $T_{H2} < T_{C2}$.

The energy power being transferred in a heat exchanger can be determined from

$$P_{en} = UA \Delta T_m \text{ [W]}$$

where $U$ is the overall heat coefficient [W/m²K],

$A$ is the area of heat transfer [m²],

$\Delta T_m$ is the average temperature difference, i.e.

$$\Delta T_m = \frac{\Delta T_1 - \Delta T_2}{\ln \left( \frac{\Delta T_1}{\Delta T_2} \right)}$$

where $\Delta T_1$ and $\Delta T_2$ is defined according to the Fig. below. (To use temperatures in degrees Celsius is also allowed because the relation only contains temperature differences.)
**Ex:** In a counter flow heat exchanger 2 kg per second of lubrication oil for a ship engine is cooled from 70°C to 40°C by water. The water is then heated from 20°C to 40°C. The transfer surface is 6 m². What is the $U$-value if the heat capacity of the oil $c$ is 1.9 kJ/kgK? What are the exergy losses if the ambient temperature is $\theta_0 = 20°C$? Use: $P_{en} = \dot{m}c(\theta_1 - \theta_2)$

**S:**

$\Delta \theta_1 = 70–40 = 30°C$ and $\Delta \theta_2 = 40–20 = 20°C$

The average temperature difference is: 

$$\Delta \theta_m = \frac{\Delta \theta_1 - \Delta \theta_2}{\ln \left( \frac{\Delta \theta_1}{\Delta \theta_2} \right)} = \frac{30 - 20}{\ln \left( \frac{30}{20} \right)} \approx 24.7°C$$

The transferred energy power becomes: $P_{en} = UA\Delta \theta_m$

For the oil we have: $P_{en,oil} = \dot{m}c(\theta_{hi} - \theta_{ho})$, where $\dot{m}$ is the mass flow [kg/s], i.e.

$$P_{en,oil} = 2 \times 1.9(70–40) = 114 [kW]$$

For the water we have: $P_{en,water} = U\dot{m}\Delta \theta_m$, i.e.

$$114 \times 10^3 = U \times 6 \times 24.7 \Rightarrow U \approx 770 [W/m²K]$$

For water we further have: $P_{en} = \dot{m}_{water}c_{water}(\theta_{hi} - \theta_{ho})$, i.e.

$$\dot{m}_{water} = \frac{P_{en}}{c_{water}(\theta_{hi} - \theta_{ho})} \approx \frac{114}{4.18 \times (40 – 20)} \approx 1.4 [kg/s]$$

**Exergetics**
EXERGETICS

For a heat content, which decreases its temperature with the heat revealed, we have, according to the section above on exergy of heat and cold, if the heat capacity is constant,

\[
\frac{E}{Q} = 1 - \frac{T_0}{T - T_0} \ln \frac{T}{T_0}
\]

which gives the following exergy flows for the hot oil:

\[
P_{ex,H1} = \dot{m}_{oil} c_{oil} \left( T_{H1} - T_0 - T_0 \ln \frac{T_{H1}}{T_0} \right)
\]

\[
P_{ex,H2} = \dot{m}_{oil} c_{oil} \left( T_{H2} - T_0 - T_0 \ln \frac{T_{H2}}{T_0} \right)
\]

The exergy power that the oil looses in the heat exchanger then becomes:

\[
\Delta P_{ex,H} = P_{ex,H1} - P_{ex,H2} = \dot{m}_{oil} c_{oil} \left( T_{H1} - T_{H2} - T_0 \ln \frac{T_{H1}}{T_{H2}} \right) = \\
= \dot{m}_{oil} c_{oil} \left( \theta_{H1} - \theta_{H2} - T_0 \ln \frac{T_{H1}}{T_{H2}} \right) \approx \\
\approx 2 \times 1.9 \times \left( 70 - 40 - (273 + 20) \ln \frac{273 + 70}{273 + 40} \right) \approx 12.1 [kW]
\]

Similarly we have for the water on the cold side. The exergy power being received by the water in the heat exchanger becomes:

\[
\Delta P_{ex,C} = P_{ex,C2} - P_{ex,C1} = \dot{m}_{water} c_{water} \left( \theta_{C2} - \theta_{C1} - T_0 \ln \frac{T_{C2}}{T_{C1}} \right) \approx \\
\approx 1.4 \times 4.18 \times \left( 40 - 20 - (273 + 20) \ln \frac{273 + 40}{273 + 20} \right) \approx 3.7 [kW]
\]

Thus, the exergy loss in the heat exchanger becomes:

\[
\Delta P_{ex} = \Delta P_{ex,H} - \Delta P_{ex,C} \approx 8.4 [kW]
\]

The exergy efficiency of the heat exchanger becomes:

\[
\eta_{ex} = \frac{\Delta P_{ex,C}}{\Delta P_{ex,H}} \approx \frac{3.7}{12.1} \approx 31%
\]

The energy efficiency is of course as we assumed 100%.
**EXERGETICS**

**Ex:** A condenser heats water by steam at temperature 100°C. The water temperature increases from 30°C to 75°C and the condenser has a surface of 200 m² and the $U$-value is 3000 W/m²K. How much hot water is produced per hour? What are the exergy losses and the exergy efficiency when $\theta_0 = 20$°C?

**S:** When steam is condensed the heat of evaporation is delivered to the colder flow. The temperature differences at the in and out let becomes: $\Delta \theta_1 = 100–30 = 70$°C and $\Delta \theta_2 = 100–75 = 25$°C. The average temperature then becomes:

$$\Delta \theta_m = \frac{\Delta \theta_1 - \Delta \theta_2}{\ln \left( \frac{\Delta \theta_1}{\Delta \theta_2} \right)} = \frac{70 - 25}{\ln \left( \frac{70}{25} \right)} \approx 44^\circ C$$

The energy power which is being transferred to the water becomes: $P_{en} = UA\Delta \theta_m \approx 3000 \times 200 \times 44 = 26.4$ [MW].

The water is being heated from 30 to 75°C. Added energy power to the water is $P_{en,w} = \dot{m}c(\theta_1 - \theta_2)$ where $c \approx 4.18$ [kJ/kgK], i.e. the specific heat capacity of water from table. Thus we have

$$P_{en,w} = \dot{m}c(\theta_1 - \theta_2)$$

which gives

$$\dot{m} = \frac{P_{en}}{c(\theta_1 - \theta_2)} \approx \frac{26.4 \times 10^6}{4.18 \times 10^3 \times (75 - 30)} \approx 140 \text{ [kg/s]}$$

From above we get the following exergy power being released from the steam

$$\Delta P_{ex,H} = \frac{T_H - T_0}{T_H} P_{en} = \frac{\theta_H - \theta_0}{T_H} P_{en} \approx \frac{100 - 20}{273 + 100} \times 26.4 \approx 5.7 \text{ [MW]}$$

since the temperature is constant at the heat transfer.

In the same way as in the previous example we have for the water, which receives heat, that the exergy power received becomes:

$$\Delta P_{ex,C} = P_{ex,C2} - P_{ex,C1} = \dot{m}_{water} c_{water} \left( \theta_{C2} - \theta_{C1} - T_0 \ln \frac{T_{C2}}{T_{C1}} \right) \approx 140 \times 4.18 \times \left( 75 - 30 - (273 + 20) \ln \frac{273 + 75}{273 + 30} \right) \approx 2.6 \text{ [MW]}$$

The exergy loss at the heat exchange then becomes:

$$\Delta P_{ex} = \Delta P_{ex,H} - \Delta P_{ex,C} \approx 3.1 \text{ [MW]}$$

The exergy efficiency becomes:

$$\eta_{ex} = \frac{\Delta P_{ex,C}}{\Delta P_{ex,H}} \approx \frac{2.6}{5.7} \approx 46\%$$

Please, remember that the energy efficiency usually is assumed to be 100%!
COMBUSTION

First some common concepts:
nm³ – normal cubic meter. The gas volume is usually measured in nm³, which is the amount of gas at the temperature 0°C, i.e. 273.15 K and the pressure 1 bar, i.e. 0.1 MPa. Normal cubic meter, nm³.
mol – one mol is the number of molecules or atoms that are in 0.012 kg of the element carbon, C 12. Thus, one mol is a number, actually $6.023 \times 10^{23}$ pieces. This means that 1 mol of a substance has the same weight in gram as is given by its atom weight, and 1 kmol corresponds to kg.

$H_s$ – Calorimetric heat value or high heat value – is measured by the amount of enthalpy being released when a certain mass is combusted in a bomb calorimeter, principally a completely isolated container. The combustion products are cooled so that the moist in the fuel and the produced steam is condensed. This means that the enthalpy of the generated steam is included in $H_s$.

$H_i$ – Effective heat value or low heat value – is the enthalpy released at normal combustion, i.e. the generated steam is excluded. Thus $H_i \leq H_s$.

The combustion reaction $2H_2 + O_2 \rightarrow 2H_2O$ means that if 1 kmol $H_2$, i.e. 2 kg, burns you get 1 kmol $H_2O$, i.e. 18 kg. In weight, you get 9 times as much water as hydrogen. Thus, if we know the amount of hydrogen and moist in the fuel we may approximately calculate $H_i$ from $H_s$. The enthalpy of steam is about 2.5 MJ/kg at 293 K ($\approx 20^\circ C$).

For solid and liquid fuels we have accordingly:

$$H_i = H_s - 2.5(9H + F) \text{ [MJ/kg]}$$

where $H$ is the weight percentage of hydrogen
$F$ is the weight percentage of moist (water)

For dry fire wood, independent of kind we have $H_i \approx 19.2 \text{ MJ/kg}$ and for damped:

$$H_i = 19.2 - 21.7F \text{ [MJ/kg]}$$

Ex: At fuel oil combustion, $H_i = 42 \text{ MJ/kg}$ the air surplus is 40%. Calculate the amount of exhaust gas and the combustion temperature?

S: $H_i = 42 \text{ MJ/kg}$ Ø theoretical amount of exhaust gas $g_o = 11.8 \text{ nm}^3/\text{kg}$, from tables and theoretical amount of air (dry air) $l_o = 11.1 \text{ nm}^3/\text{kg}$

The air surplus is 40%, i.e. $\frac{l-l_o}{l_o} = 0.4 \Rightarrow \text{airfactor} \ m = \frac{l}{l_o} = 1.4$

Real amount of exhaust gas $g = g_o + l_o(m - l) = 11.8 + 11.1(1.4-1) = 16.24 \text{ nm}^3/\text{kg}$

The heat content of the exhaust gas $h_{max} = \frac{H_i}{g} = \frac{42}{16.24} \approx 2600 \text{ kJ} / \text{nm}^3$

and from tables we get $\approx 1920 \text{ K}$. 

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**Ex:** A boiler combusts 2 kg oil/hr, \( H_i = 42 \text{ MJ/kg} \), with an air surplus of 20%. The temperature of the exhaust gases are 620 K. Estimate the heat power, losses and efficiency of the boiler.

### Enthalpy, Gibbs’ function and exergy of fuels

When we have chemical reactions it is necessary to define a common reference state. This is usually defined at 25°C (298.15 K) and 101.3 kPa (\( T_0, P_0 \)) and that gases are treated as ideal gases. Regard the following stationary combustion process, solid carbon is combusted with oxygen (ideal gas), where both are supplied at the reference state, \( T_0, P_0 \). The produced carbon dioxide (ideal gas) is leaving the combustion chamber at the reference state, \( T_0, P_0 \). If we could measure the heat transfer to the process (the reaction chamber) it would be \(-393,522 \text{ kJ/kmol CO}_2\) (the minus sign because heat is released, an exothermic reaction).

![Chemical Reaction Diagram](image)

The chemical reaction is:

\[
C + O_2 \rightarrow CO_2
\]

The first law gives:

\[
Q = H_R = H_P
\]

where the indices \( R \) and \( P \) refer to reactants and products. First law is usually written

\[
Q + \sum_R n_R \bar{h}_R = \sum_P n_P \bar{h}_P
\]

where the sum involves all reactants and products respectively, \( n \) the number of mol and \( \bar{h} \) indicates the enthalpy per mol of the substance. The enthalpy of formation is tabulated for most substances in standard states at \( T_0, P_0 \). Usually this is put to zero for the substance in its standard state, pure and normal form. For carbon, C this is pure carbon in solid state and for oxygen as \( O_2 \) in gas state, i.e. \( \bar{h}_C^{0, \text{graphite}} = 0 \) and \( \bar{h}_O^{0, \text{g}} = 0 \). Thus the enthalpy of formation for carbon dioxide becomes, \( \bar{h}_C^{0, \text{g}} = -393,522 \text{ kJ/kmol} \). If we instead assume the enthalpy of formation of \( O_2 \) and of \( CO_2 \) as gases to zero, i.e. \( \bar{h}_O^{0, \text{g}} = 0 \) and \( \bar{h}_C^{0, \text{g}} = 0 \), then we get the enthalpy of formation of C as solid \( \bar{h}_C^{0, \text{graphite}} \), or shorter \( \bar{h}_C = 393,522 \text{ kJ/kmol} \). This is usually called the heat content of the fuel at constant pressure (and temperature), in the same way the internal energy of formation \( U_C \) the heat content at constant volume (and temperature). Sometimes you also say the “high” and “low” heat content as above. The high value refers to the case when
The enthalpy at an arbitrary temperature is:

$$h_{T,P} = (h^0_j) + \Delta h_{T_0,P_0 \rightarrow T,P}$$

where \(\Delta h_{T_0,P_0 \rightarrow T,P}\) represents the difference in enthalpy between a given state at \(T_P\) and at \(T_0,P_0\).

If we apply the second law to this combustion process and change the heat extracted \(Q\) by the maximal extractable (reversible process) exergy \(E\) we get

$$E = E_R - E_p.$$ 

As above this can be written

$$E = \sum_R n_i \overline{e}_i - \sum_P n_e \overline{e}_e$$

where the sum includes all reactants and products respectively and \(\overline{e}\) is the exergy per mol of the substance in relation to its environmental state, which is called exergy of formation and is tabulated for the most common substance, see App. 4.

When the reactants and the products are in pressure and temperature equilibrium with the environment we can write

$$E = \sum_R n_i \overline{g}_i - \sum_P n_e \overline{g}_e$$

where \(g\) is the Gibbs’ function per mol.

Let us calculate the change in Gibbs’ function when forming CO\(_2\) from the reaction

$$C + O_2 \rightarrow CO_2$$

where C, O\(_2\) and CO\(_2\) are all separated and at \(T_0,P_0\).

The change in Gibbs’ function can be calculated accordingly

$$G_p - G_R = H_p - H_R - T_0(S_p - S_R)$$

i.e.

$$\sum_P n_i (\overline{g}_f^0)_i - \sum_R n_i (\overline{g}_f^0)_r = \sum_R n_i (\overline{h}_f^0)_r - \sum_R n_i (\overline{h}_f^0)_r - T_0 \left[ \sum_P n_e (\overline{s}_{298}^0)_e - \sum_R n_e (\overline{s}_{298}^0) \right]$$

From tables, e.g. Table A.13, Van Wylen, G. J. and Sonntag, R. E., *Fundamentals of Classical Thermodynamics*, Wiley (1985) we get

$$(\overline{g}_f^0)_p - (\overline{g}_f^0)_r \approx (\overline{h}_f^0)_{CO_2} - 0 - 298.15[(\overline{s}_{298}^0)_{CO_2} - (\overline{s}_{298}^0)_C - (\overline{s}_{298}^0)_{O_2}]$$

$$\approx -393522 - 298.15(213.795 - 5.686 - 205.142) \approx -394407 \text{ kJ/kmol}.$$ 

If the Gibbs’ function for the reactants are set to zero, i.e. \((\overline{g}_f^0)_r = 0\), the Gibbs’ function for forming CO\(_2\) becomes

$$(\overline{g}_f^0)_p = (\overline{g}_f^0)_{CO_2} \approx -394407 \text{ kJ/kmol}.$$
If we instead assume Gibbs’ function of $O_2$ and $CO_2$ to zero, as we did above for the enthalpy, we have that $\bar{g}_C = (\bar{g}^0_j)_C \approx 394407 \text{ kJ/kmol}$. Thus, we see that $h_C < g_C$, i.e. the enthalpy is less than the Gibbs’ function for $C$, because the entropy $S$ of the products are much larger than for the reactants – “heat” $Q = T_0S$ is captured by the products.

Let us now calculate the exergy change for this reaction. The reactants and the products are now instead related to their individual environmental states. The difference between the enthalpy and Gibbs’ function is that in Gibbs’ function we also include the change of entropy of the reactants and the products in relation to their individual standard states. The difference between Gibbs’ function and the exergy is that in exergy we also include the environment state, i.e. in what regard the reactants and products differ from environmental state, e.g. in concentration or chemically. From tables of exergy for different substances, App. 4 we have

\[
(\bar{\varepsilon}^0_j)_{C,\text{graphite}} \approx 410260 \text{ kJ/kmol}
\]
\[
(\bar{\varepsilon}^0_j)_{O_2,\text{g}} \approx 3970 \text{ kJ/kmol}
\]
\[
(\bar{\varepsilon}^0_j)_{CO_2,\text{g}} \approx 19870 \text{ kJ/kmol},
\]

which gives the exergy change

\[
\bar{\varepsilon}_C = \sum n_j \bar{\varepsilon}_j - \sum n_p \bar{\varepsilon}_e \approx 410260 + 3970 - 19870 = 394360.
\]

Let us summarize, for $C$ we have

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Enthalpy</td>
<td>$\bar{h}_C \approx 393522 \text{ kJ/kmol}$</td>
</tr>
<tr>
<td>Gibbs’ function</td>
<td>$\bar{g}_C \approx 394407 \text{ kJ/kmol}$</td>
</tr>
<tr>
<td>Exergy</td>
<td>$\bar{\varepsilon}_C \approx 394360 \text{ kJ/kmol}$</td>
</tr>
</tbody>
</table>

The relation between the exergy and enthalpy for $C$ is

\[
\frac{\bar{\varepsilon}_C}{\bar{h}_C} \approx \frac{394360}{393522} \approx 1.002 \text{ or } 100.2%.
\]

Q: Why is enthalpy less than exergy?

We have seen that from exergy tables of different substances we may calculate the exergy change of any chemical reaction.

In same way as for enthalpy we may also calculate exergy at any temperature

\[
\bar{\varepsilon}_{T,P} = (\bar{\varepsilon}^0_j)_{T,P_0} + \Delta \bar{\varepsilon}_{T,P_0 \rightarrow T,P}
\]

the term $\Delta \bar{\varepsilon}_{T,P_0 \rightarrow T,P}$ represents the difference in exergy between a given state at $T,P$ and the exergy at $T_0,P_0$. This exergy sometimes is called the thermal exergy to differ from the chemical exergy.

Finally, we will just see what happens with the exergy in a real process, e.g. an combustion engine, see the figure below.
Exergy

Compression Work

Combustion

Exergy

Losses

from Irreversibilities

Combustion

Expansion Work, etc., i.e. Exergy to the Environment

Heat transfer

Losses and Pollutants to the Environment

Mechanical Work, i.e. the Exergy Output

Utility

Turning Point of the Piston

As we see most of the exergy is used to get the exhaust gases into the environment, i.e. a pressure-volume work, $P_0\Delta V$, performed on the surrounding air.

Q: Reflect on what this means for a car with a combustion engine.

Example: 1000 ton of CO$_2$ or 1000 ton of cooling water

Let us compare an emission of 1000 ton of CO$_2$ at ambient pressure and temperature with 1000 ton of cooling water. From exergy tables we have for the different chemical compounds of C and H:

<table>
<thead>
<tr>
<th>Substance</th>
<th>State</th>
<th>Mol mass $m$ [kg/kmol]</th>
<th>Enthalpy of formation ($\tilde{h}^0$) [kJ/mol]</th>
<th>Exergy ($\tilde{e}^0$) [kJ/mol]</th>
</tr>
</thead>
<tbody>
<tr>
<td>C</td>
<td>s, graphite</td>
<td>12.01115</td>
<td>393.509</td>
<td>410.26</td>
</tr>
<tr>
<td>C</td>
<td>s, diamond</td>
<td>12.01115</td>
<td>395.406</td>
<td>413.16</td>
</tr>
<tr>
<td>CCl$_4$</td>
<td>l</td>
<td>153.823</td>
<td>578.95</td>
<td>473.1</td>
</tr>
<tr>
<td>CN</td>
<td>g, cyano</td>
<td>26.01785</td>
<td>858.00</td>
<td>845.0</td>
</tr>
<tr>
<td>C$_2$N$_2$</td>
<td>g, cyanogen</td>
<td>52.0357</td>
<td>1096.14</td>
<td>1118.9</td>
</tr>
<tr>
<td>CO</td>
<td>g</td>
<td>28.0105</td>
<td>282.984</td>
<td>275.10</td>
</tr>
<tr>
<td>CO$_2$</td>
<td>g</td>
<td>44.0095</td>
<td>0</td>
<td>19.87</td>
</tr>
<tr>
<td>...</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>H$_2$O</td>
<td>g</td>
<td>18.01534</td>
<td>0</td>
<td>9.5</td>
</tr>
<tr>
<td>H$_2$O</td>
<td>l</td>
<td>18.01534</td>
<td>–44.012</td>
<td>0.9</td>
</tr>
<tr>
<td>H$_3$PO$_4$</td>
<td>s</td>
<td>98.0013</td>
<td>–76.26</td>
<td>104.0</td>
</tr>
<tr>
<td>H$_2$S</td>
<td>g</td>
<td>34.080</td>
<td>946.61</td>
<td>812.0</td>
</tr>
<tr>
<td>H$_2$SO$_4$</td>
<td>l</td>
<td>98.077</td>
<td>153.25</td>
<td>163.4</td>
</tr>
</tbody>
</table>

...
Thus we have for 1000 ton of $\text{CO}_2$

$$E_{\text{CO}_2} = \frac{19.87 \times 1000 \times 1000}{44.0095} \left[ \begin{array}{c} \text{kJ} \times \text{kg} \\ \text{mol} \times \frac{\text{kg}}{\text{kmol}} \end{array} \right] \approx 4.5 \times 10^5 [\text{MJ}] = 0.45 [\text{TJ}]$$

For water the chemical exergy becomes:

$$E_{\text{H}_2\text{O},\text{chemical}} = \frac{0.9 \times 1000 \times 1000}{18.01534} \approx 5 \times 10^4 [\text{MJ}] = 0.05 [\text{TJ}]$$

The thermal exergy becomes:

$$E_{\text{thermal}} = \int_{T_0}^{T} C(T') \left( 1 - \frac{T_0}{T'} \right) dT'$$

If the heat capacity $C$ is not depending on temperature, the exergy becomes

$$E_{\text{thermal}} = C \left( T - T_0 - T_0 \ln \frac{T}{T_0} \right)$$

Assume $C = 4.2 \text{ kJ/kg}$ and $T_0 = 298.15 \text{ K}$, then $E_{\text{thermal}} = 0.4 \text{ TJ}$ correspond to a temperature increase for 1000 ton of water of about 400K, i.e. some of the water will be steam. The exergy of the water at boiling temperature, i.e. at 373.15 K is

$$E_{\text{thermal}} = 4.2 \times 10^3 \times 1000 \times 10^3 \left( 373.15 - 298.15 - 298.15 \ln \frac{373.15}{298.15} \right) \approx 3.4 \times 10^{10} [\text{J}]$$

Thus 0.4–0.034 = 0.366 TJ = 366 GJ is left for steam production at ambient pressure. The enthalpy of steam is about 2300 kJ/kg so the exergy of steam becomes

$$e_{\text{steam}} = h_{\text{steam}} \left( 1 - \frac{T_0}{T} \right) \approx 2300 \left( 1 - \frac{298.15}{373.15} \right) \approx 462 \left[ \frac{\text{kJ}}{\text{kg}} \right]$$

The mass converted to steam then becomes

$$m_{\text{steam}} \approx \frac{E_{\text{steam}}}{462} \approx \frac{366}{462} \left[ \frac{\text{GJ}}{\text{kJ/kg}} \right] \approx 0.792 \times 10^6 [\text{kg}] = 792 [\text{ton}]$$

Thus, from an exergy point of view, an emission of 1000 ton of $\text{CO}_2$ is equivalent to 792 ton of steam and 208 ton of water at 373.15 K, if the environment is at ambient pressure and temperature, i.e. 101.3 kPa and 298.15 K.
APPENDIX

Appendix 1 Internal energy and entropy

To be written.
Appendix 2 Exergy

A derivation of the exergy concept can be made from simple thermodynamic relations of state changes which are related to the concept of work.

Fig. 1 The system $A$ in an environment $A_0$

Assume we have a system $A$ in a very large homogenous environment (reservoir) $A_0$ which is defined by the intensive parameters $P_0$, $T_0$ and $\tilde{\mu}_i$ (pressure, temperature and generalized chemical potential*). The intensive parameters of $A$ are $P$, $T$ and $\tilde{\mu}_i$. The corresponding extensive parameters of $A$ and $A_0$ are $U$, $V$, $S$ and $n_i$ (internal energy, volume, entropy and number of mol of different substances) respectively $U_0$, $V_0$, $S_0$ and $n_{i0}$ for $A_0$, Fig. 1. Also assume that $A$ and $A_0$ each are in internal equilibrium.

Assume that all extensive parameters of $A$ are much smaller than those of $A_0$ and further that the total system $A \cup A_0$ is isolated from the surrounding except for the extraction of work $W$ which is extracted from the total system, then we have the following relations

\[
\begin{align*}
U & \ll U_0 \\
V & \ll V_0 \\
n_i & \ll n_{i0}
\end{align*}
\]

\[
\begin{align*}
U + U_0 + W & = \text{constant} \\
V + V_0 & = \text{constant} \\
n_i + n_{i0} & = \text{constant}
\end{align*}
\]

\[
\begin{align*}
dU + dU_0 + dW & = 0 \\
dV + dV_0 & = 0 \\
dn_i + dn_{i0} & = 0
\end{align*}
\]

Interaction between $A$ and $A_0$ can take place in a controlled way through the interface of $A$. Since $A$ is small, Eq. 1, this does not change the intensive parameters of $A_0$.

* The generalized chemical potential includes all potentials related to the substance, e.g. chemical, electrical, magnetical, mechanical, and gravitational potentials.
The entropy differential of the environment \( A_0 \) is

\[
dS_0 = \frac{1}{T_0} (dU_0 + P_0 dV_0 - \sum_i \tilde{\mu}_i d\tilde{n}_i)
\]

which can be written by using Eq. 3

\[
dS_0 = -\frac{1}{T_0} \left( dU + P_0 dV - \sum_i \tilde{\mu}_i d\tilde{n}_i \right) - \frac{dW}{T_0}
\]

The total entropy differential of the system and the environment is

\[
dS^{\text{tot}} = dS + dS_0 = -\frac{1}{T_0} \left( dU + P_0 dV - T_0 dS - \sum_i \tilde{\mu}_i d\tilde{n}_i \right) - \frac{dW}{T_0}
\]

This may be written as

\[
dS^{\text{tot}} = -\frac{1}{T_0} (dE + dW)
\]

where we have introduced \textbf{exergy} \( E \),

\[
E = U + P_0 V - T_0 S - \sum_i \tilde{\mu}_i \tilde{n}_i
\]

If we use the Gibbs relation

\[
U = TS - PV + \sum_i \tilde{\mu}_i n_i
\]

in (9) we get

\[
E = S(T - T_0) - V(P - P_0) + \sum_i n_i (\tilde{\mu}_i - \tilde{\mu}_{i0})
\]

which shows that \( E \) vanishes at equilibrium, i.e.

\[
\begin{align*}
P &= P_0 \quad \text{and} \quad T = T_0 \quad \iff \quad E = 0 \quad \text{at equilibrium.}
\end{align*}
\]
Assume now that \( A \) evolves towards equilibrium with its environment \( A_0 \) and the work \( \Delta W \) is performed during this process. The exergy is then changed by \(-E\) from \( E \) to 0 and the total entropy \( S^{\text{tot}} \) is changed by \( \Delta S^{\text{tot}} \). By integrating (8) we then get

\[
\Delta S^{\text{tot}} = -\frac{1}{T_0} \left(-E + \Delta W\right)
\]

and thus

\[
\Delta W = E - T_0 \Delta S^{\text{tot}} \tag{14}
\]

Since according to the second law

\[
\Delta S^{\text{tot}} \geq 0 \tag{15}
\]

we get

\[
\Delta W \leq E \tag{16}
\]

where equality only holds when \( \Delta S^{\text{tot}} = 0 \), i.e. reversible processes.

Thus, the exergy \( E \) is the maximum work that can be extracted from a system through interaction with its environment.

We may subtract from (A.7) the corresponding equation at equilibrium, i.e.

\[
E_{\text{eq}} = U_{\text{eq}} + P_{\text{eq}} V_{\text{eq}} - T_{\text{eq}} S_{\text{eq}} - \sum_i \tilde{\mu}_{i,0} n_{i,\text{eq}} \tag{17}
\]

Since \( E \) vanishes at equilibrium, i.e. \( E_{\text{eq}} = 0 \) we then find

\[
E = U - U_{\text{eq}} + P_0 (V - V_{\text{eq}}) - T_0 (S - S_{\text{eq}}) - \sum_i \tilde{\mu}_{i,0} (n_i - n_{i,\text{eq}}) \tag{18}
\]

which is a useful relation for practical determinations of exergy.

In special cases exergy differences are related to differences of other, better known, thermodynamic potentials, e.g. Gibbs’ function, Helmholtz’ function and enthalpy as described in the Table below. By differentiating the definition of exergy, Eq. 9, we can easily find the following relations to these potentials

<table>
<thead>
<tr>
<th>Case</th>
<th>( \Delta )</th>
<th>Definition of ( X )</th>
<th>Usually named</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \Delta n_i = 0 )</td>
<td>( \Delta E = \Delta G )</td>
<td>( G_0 = U + P_0 V - T_0 S )</td>
<td>Gibbs’ function</td>
</tr>
<tr>
<td>( \Delta n_i = 0, \Delta V = 0 )</td>
<td>( \Delta E = \Delta F )</td>
<td>( F_0 = U - T_0 S )</td>
<td>Helmholtz’ function</td>
</tr>
<tr>
<td>( \Delta n_i = 0, \Delta S = 0 )</td>
<td>( \Delta E = \Delta H )</td>
<td>( H_0 = U + P_0 V )</td>
<td>Enthalpy</td>
</tr>
<tr>
<td>( \Delta n_i = 0, P = P_0, T = T_0 )</td>
<td>( \Delta E = \Delta G )</td>
<td>( G = U + PV - TS )</td>
<td>Gibbs’ function</td>
</tr>
<tr>
<td>( \Delta n_i = 0, \Delta V = 0, T = T_0 )</td>
<td>( \Delta E = \Delta F )</td>
<td>( F = U - TS )</td>
<td>Helmholtz’ function</td>
</tr>
<tr>
<td>( \Delta n_i = 0, \Delta S = 0, P = P_0 )</td>
<td>( \Delta E = \Delta H )</td>
<td>( H = U + PV )</td>
<td>Enthalpy</td>
</tr>
</tbody>
</table>
Appendix 3 Heat exchange between many systems

Let us derive an expression for the exergy when heat is exchanged between many systems.

We have:

Energy conservation: \[ \sum_i \delta Q_i + dE = 0 \] (1)

Entropy conservation: \[ \sum_i dS_i = 0 \] (reversible process) (2)

We have for the entropy: \[ dS_i = \frac{\delta Q_i}{T_i} \] (3)

and for the heat: \[ \delta Q_i = C_i(T_i) dT_i \] (4)

Eqs. 1 and 4: \[ dE = \sum_i C_i(T_i) dT_i \] (5)

Eqs. 2, 3, and 4:
\[ \sum_i C_i(T_i) \frac{dT_i}{T_i} = 0 \] (6)

Integration gives:
\[ E = -\sum_i \int_{T_i^0}^{T_i^f} C_i(T_i) dT_i \] (7)

\[ \sum_i \int_{T_i^0}^{T_i^f} C_i(T_i) dT_i = 0 \] (8)

where \( T_i^0 \) is the initial temperatures and \( T_i^f \) is the final temperature.

Thus, we have two equations which completely describes the available exergy of the system. If \( T_i^f = T^f \) for all \( i \) then Eq. 8 gives \( T^f \) which in Eq. 7 gives the exergy.
Assume that the heat capacities are constants and that the final temperature is the same for all subsystems, i.e. $C_i(T_i) = C_i$ and $T_i^f = T^f$:

$$E = \sum_i C_i T_i^0 - T^f \sum_i C_i$$  \hspace{1cm} (9)

$$T^f = \left( \prod_i T_i^0 \right)^{\frac{1}{\sum C_i}}$$  \hspace{1cm} (10)

Equation 9 may be written:

$$E = \sum_i C_i (T_i^0 - T^f)$$  \hspace{1cm} (11)

where

$$T^0 = \frac{\sum C_i T_i^0}{\sum C_i}$$  \hspace{1cm} (12)

$T^0$ is a weighted arithmetic average value and $T^f$ is a weighted geometric average value.

We can also find that the work obtainable from the system becomes:

$$\Delta S^{\text{tot}} = \sum_i C_i (\ln T_i^0 - \ln T^f)$$  \hspace{1cm} (13)

And the exergy becomes:

$$E = -T^* \Delta S^{\text{tot}}$$  \hspace{1cm} (14)

where

$$T^* = -\frac{T^0 - T^f}{\ln T^0 - \ln T^f}$$  \hspace{1cm} (15)

Thus, we are able to calculate the exergy of a system of arbitrary numbers of bodies with arbitrary heat capacity and temperature and with a reference environment. Let us look at some simple special cases, which we already are familiar with.

**Ex:** Assume only two systems, one limited and the other unlimited (ambient). We get:

$$E = CT_0 \left( \frac{T}{T_0} - 1 - \ln \frac{T}{T_0} \right) = C \left( T - T_0 - T_0 \ln \frac{T}{T_0} \right)$$

where $T$ and $C$ are temperature and heat capacity of the limited system and $T_0$ is ambient temperature. This we recognize from before.

**Ex:** Assume all subsystems are identical, but with different temperatures, i.e.:
C_i = C \text{ for all } i \text{ then we get:}

\[ E = nC \left\{ \sum_{i} \frac{T_i^0}{n} - \left( \prod_{i} T_i^0 \right)^{\frac{1}{n}} \right\} \]

where \( n \) is number of subsystems. For \( n = 2 \) we get:

\[ E = C \left( \sqrt{T_1^0} - \sqrt{T_2^0} \right) \]
## Appendix 4 Reference states†

Table A.4.1 Enthalpy and exergy of formation for inorganic substances at reference state \( (T_0 = 298.15 \text{ K}, P_0 = 101.325 \text{ kPa}) \)

<table>
<thead>
<tr>
<th>Substance</th>
<th>State</th>
<th>Molar mass [kg/kmol]</th>
<th>Enthalpy [kJ/mol]</th>
<th>Exergy [kJ/mol]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ag</td>
<td>s</td>
<td>107.870</td>
<td>47.48</td>
<td>70.2</td>
</tr>
<tr>
<td>Ag(_2)CO(_3)</td>
<td>s</td>
<td>275.749</td>
<td>–17.38</td>
<td>115.0</td>
</tr>
<tr>
<td>AgCl</td>
<td>s</td>
<td>143.323</td>
<td>0</td>
<td>22.2</td>
</tr>
<tr>
<td>AgF</td>
<td>s</td>
<td>126.868</td>
<td>47.60</td>
<td>118.5</td>
</tr>
<tr>
<td>AgNO(_3)</td>
<td>s</td>
<td>169.875</td>
<td>–76.91</td>
<td>43.1</td>
</tr>
<tr>
<td>Ag(_2)O</td>
<td>s</td>
<td>231.739</td>
<td>63.91</td>
<td>57.6</td>
</tr>
<tr>
<td>Ag(_2)O(_2)</td>
<td>s</td>
<td>247.739</td>
<td>70.69</td>
<td>172.1</td>
</tr>
<tr>
<td>Ag(_2)S</td>
<td>s, (\alpha)</td>
<td>247.804</td>
<td>787.79</td>
<td>709.5</td>
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### Table A.4.2 Enthalpy and exergy of formation for organic substances at reference state $(T_0 = 298.15 \, \text{K}, P_0 = 101.325 \, \text{kPa})$

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**Chemical Name**

Table A.4.2 Enthalpy and exergy of formation for organic substances at reference state $(T_0 = 298.15 \, \text{K}, P_0 = 101.325 \, \text{kPa})$
**EXERGETICS**

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<th>molar exergy</th>
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**Acetylene hydrocarbons CₙH₂ₙ-2**

| C₂H₂      | g     | 26.03824       | 1255.6         | 1265.8       |
| C₃H₄      | g     | 40.06533       | 1850.9         | 1899.5       |
| C₄H₆      | g     | 54.09242       | 2465.6         | 2552.3       |
| C₆H₁₀     | g     | 82.1466        | 3696.3         | 3865.1       |
| C₇H₁₂     | g     | 96.17369       | 4311.4         | 4520.5       |
| C₈H₁₄     | g     | 110.20078      | 4923.2         | 5170.3       |
| C₉H₁₆     | g     | 124.22787      | 5537.9         | 5825.1       |

**Diene hydrocarbons CₙH₂ₙ-2**

| C₃H₄      | g     | 40.06533       | 1472.1         | 1523.8       |
| C₅H₈      | g     | 68.11951       | 2789.2         | 2914.8       |

**Aromatic hydrocarbons (benzene derivatives)**

| C₆H₆      | g     | 78.11472       | 3171.6         | 3303.6       |
|           | l     | 3137.7         | 3298.5         |              |
| C₇H₈      | g     | 92.14181       | 3774.4         | 3943.4       |
|           | l     | 3736.4         | 3931.0         |              |
| C₈H₁₀     | g     | 106.1689       | 4390.0         | 4598.8       |
|           | l     | 4347.7         | 4587.9         |              |
| C₈H₁₂     | l     | 106.1689       | 4332.8         | 4573.1       |
| C₉H₁₄     | l     | 120.19599      | 4957.5         | 5249.1       |
| C₁₀H₁₄    | l     | 134.22308      | 5567.7         | 5892.0       |
| C₁₆Hₒ₆    | l     | 218.38562      | 9198.3         | 9700.8       |

**Solid hydrocarbons**

| C₁₀H₈     | s     | 128.17526      | 4984.2         | 5255.0       |
| C₁₀H₁₄    | s     | 134.22308      | 5533.0         | 5880.0       |
| C₁₀H₁₀    | s     | 142.20235      | 5574.9         | 5881.4       |
| C₁₁H₁₆    | s     | 148.25017      | 6131.6         | 6516.0       |
| C₁₂H₁₈    | s     | 162.27726      | 6739.1         | 7171.0       |
| C₁₄H₁₀    | s     | 178.2358       | 6850.9         | 7218.1       |
| C₁₄H₁₀    | s     | 178.2358       | 6835.9         | 7201.8       |
| C₁₈H₃₈    | s     | 254.50356      | 11116.7        | 11937.4      |
| C₁₉H₁₆    | s     | 244.33937      | 9579.7         | 10109.2      |
| C₂₄H₁₈    | s     | 306.41106      | 11850.1        | 12490.3      |

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<th>Molar Exergy</th>
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<tr>
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## Exergetics

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### Organic compounds containing oxygen

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### Table A.4.4
Exergy of substances in ideal water solution (molarity 1 mol/kg H₂O), \((T_0 = 298.15 \text{ K}, P_0 = 101.325 \text{ kPa})\)

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